

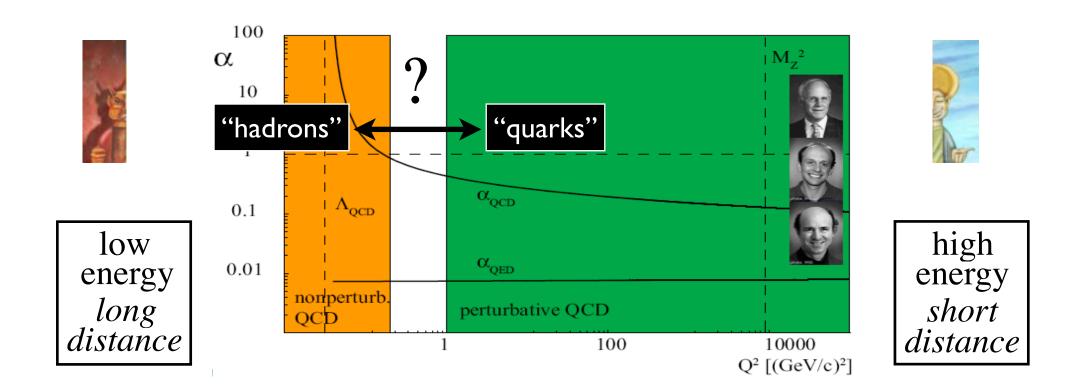




Quark-Hadron Duality & Transition Form Factors

Wally Melnitchouk

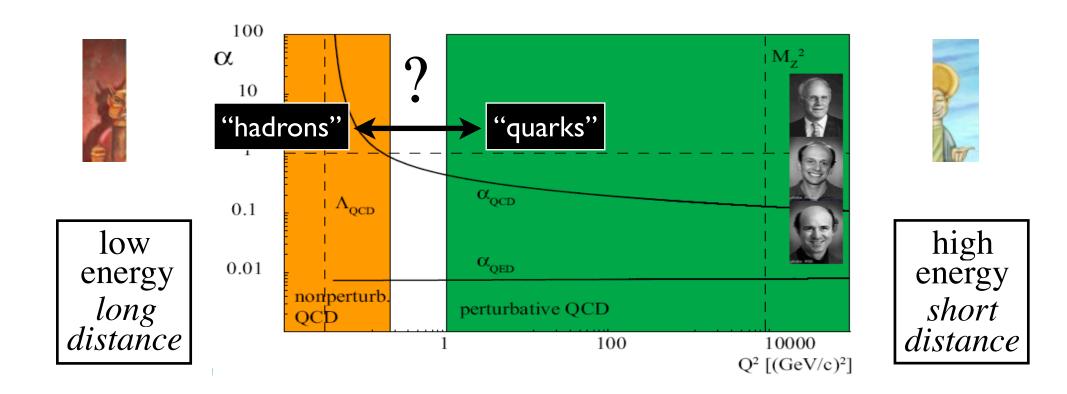




Duality hypothesis: complementarity between quark and hadron descriptions of observables

$$\sum_{hadrons} = \sum_{quarks}$$

can use either set of complete basis states to describe physical phenomena

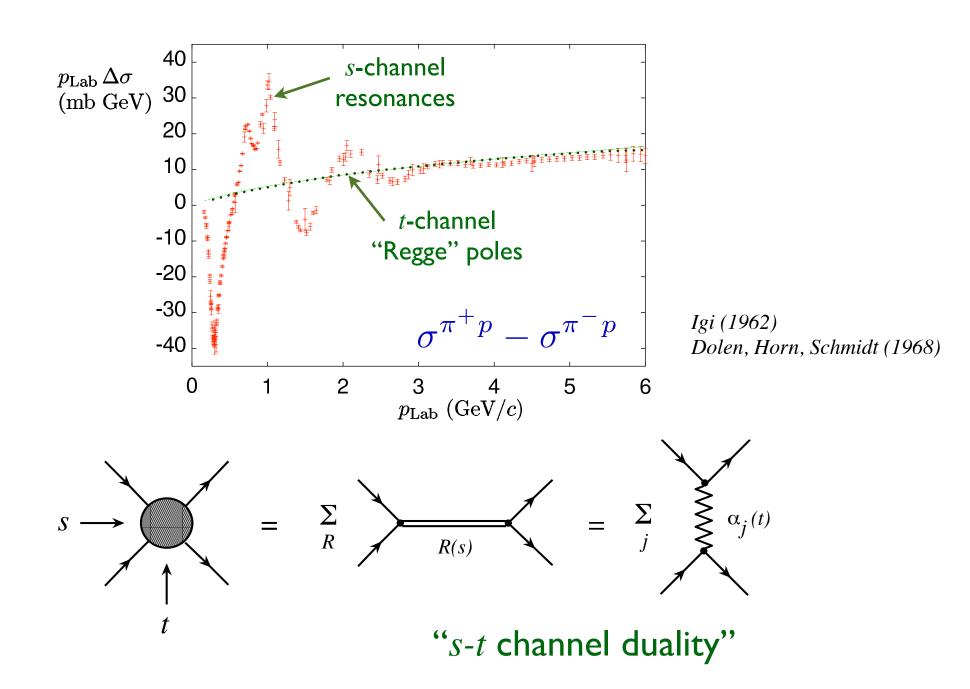


In practice, at finite energy typically have access only to *limited* set of basis states



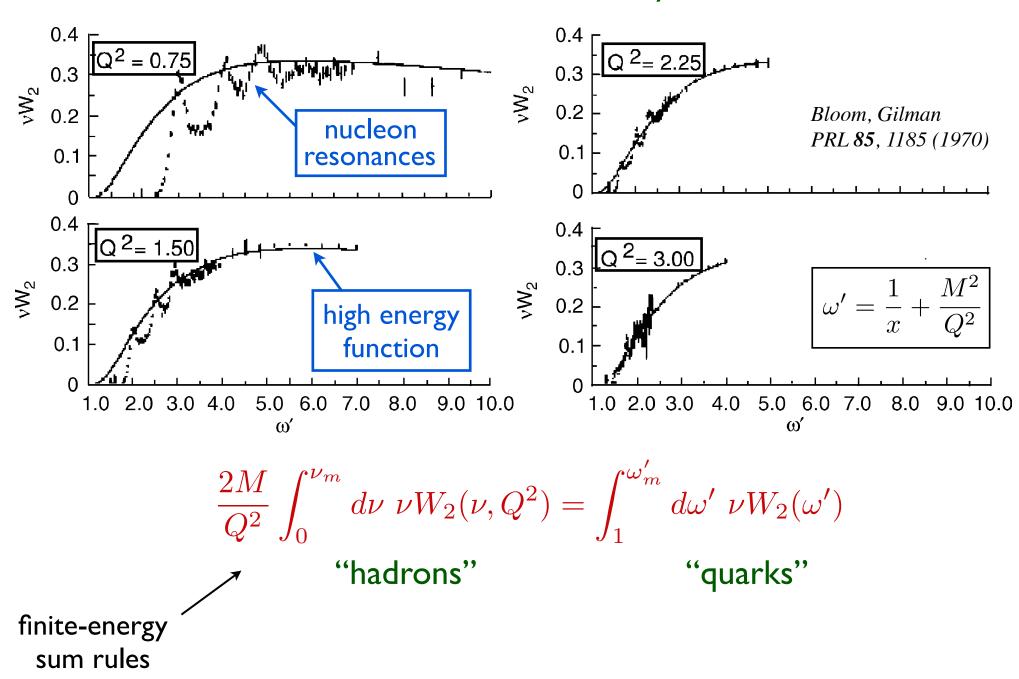
- In practice, at finite energy typically have access only to *limited* set of basis states
- Question is not why duality exists, but how it arises where it exists, and how we can make use of it

Duality in hadron-hadron scattering

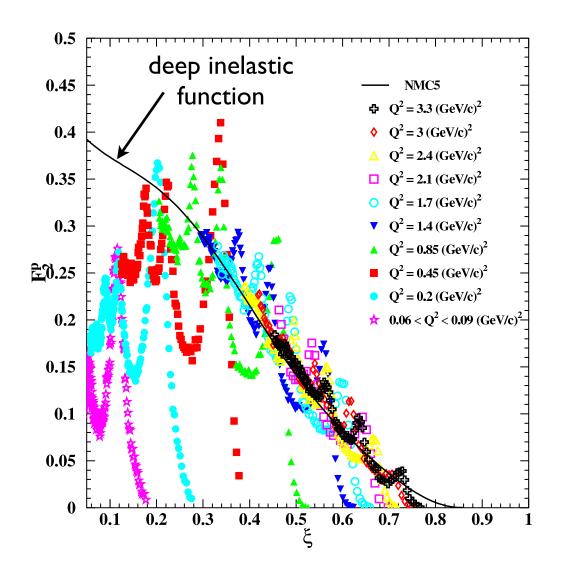


Duality in electron-nucleon scattering

"Bloom-Gilman duality"



Duality in electron-nucleon scattering

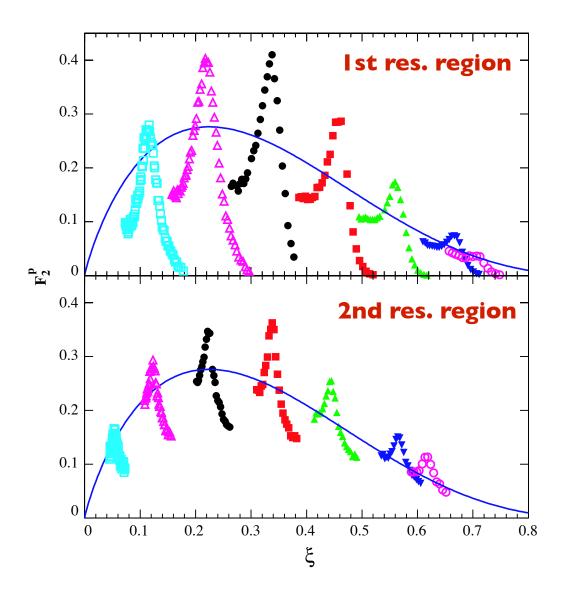


Niculescu et al., PRL **85**, 1182 (2000) WM, Ent, Keppel, PRep. **406**, 127 (2005) average over (strongly Q^2 dependent)
resonances $\approx Q^2$ independent scaling function

"Nachtmann" scaling variable

$$\xi = \frac{2x}{1 + \sqrt{1 + 4M^2x^2/Q^2}}$$

Duality in electron-nucleon scattering



 \rightarrow also exists locally in individual resonance regions

Duality in electron mucleon scattering

- $\rightarrow free \ quark \ scattering \cdot g \cdot \overline{\psi} \ \gamma_{\mu} \ \psi$
- In resonance quark scattering will be a state of the solution of the solution
- Resonance in multi-quark ρτ quark-gluon correlations τος in multi-quark ρτ quark-gluon correlations ψ
 - -> resonances an integral party of scaling structure function

e.g. in large- N_c limit, spectrum of zero-width resonances is "maximally dual" to quark-level (smooth) structure function

- Earliest attempts predate QCD
 - \rightarrow e.g. harmonic oscillator spectrum $M_n^2 = (n+1)\Lambda^2$ including states with spin = 1/2, ..., n+1/2 (n even: I=1/2, n odd: I=3/2)

 Domokos et al., PRD 3, 1184 (1971)
 - \rightarrow at large Q^2 magnetic coupling dominates

$$G_n(Q^2) = \frac{\mu_n}{(1 + Q^2 r^2 / M_n^2)^2}$$
 $r^2 \approx 1.41$

 \longrightarrow in Bjorken limit, $\sum_n \longrightarrow \int dz$, $z \equiv M_n^2/Q^2$

$$F_2 \sim (\omega' - 1)^{1/2} (\mu_{1/2}^2 + \mu_{3/2}^2) \int_0^\infty dz \frac{z^{3/2} (1 + r^2/z)^{-4}}{z + 1 - \omega' + \Gamma_0^2 z^2}$$

 \longrightarrow scaling function of $\omega' = \omega + M^2/Q^2$ $(\omega = 1/x)$

Earliest attempts predate QCD

 \rightarrow e.g. harmonic oscillator spectrum $M_n^2 = (n+1)\Lambda^2$ including states with spin = 1/2, ..., n+1/2 (n even: I=1/2, n odd: I=3/2)

Domokos et al., PRD 3, 1184 (1971)

 \longrightarrow in $\Gamma_n \to 0$ limit

$$F_2 \sim (\mu_{1/2}^2 + \mu_{3/2}^2) \frac{(\omega' - 1)^3}{(\omega' - 1 + r^2)^4}$$

cf. Drell-Yan-West relation

$$G(Q^2) \sim \left(\frac{1}{Q^2}\right)^m \iff F_2(x) \sim (1-x)^{2m-1}$$

→ similar behavior found in many models

Einhorn, PRD 14, 3451 (1976) ('t Hooft model) Greenberg, PRD 47, 331 (1993) (NR scalar quarks in HO potential) Pace, Salme, Lev, PRC 57, 2655 (1995) (relativistic HO with spin) Isgur et al., PRD 64, 054005 (2001) (transition to scaling)

••••

- \blacksquare More recent phenomenological analyses at finite Q^2
 - additional constraints from threshold behavior at $\mathbf{q} \to 0$ and asymptotic behavior at $Q^2 \to \infty$ Davidovsky, Struminsky, Phys. Atom. Nucl. 66, 1328 (2003)

$$\left(1 + \frac{\nu^2}{Q^2}\right) F_2^R = M\nu \left[|G_+^R|^2 + 2|G_0^R|^2 + |G_-^R|^2 \right] \delta(W^2 - M_R^2)$$

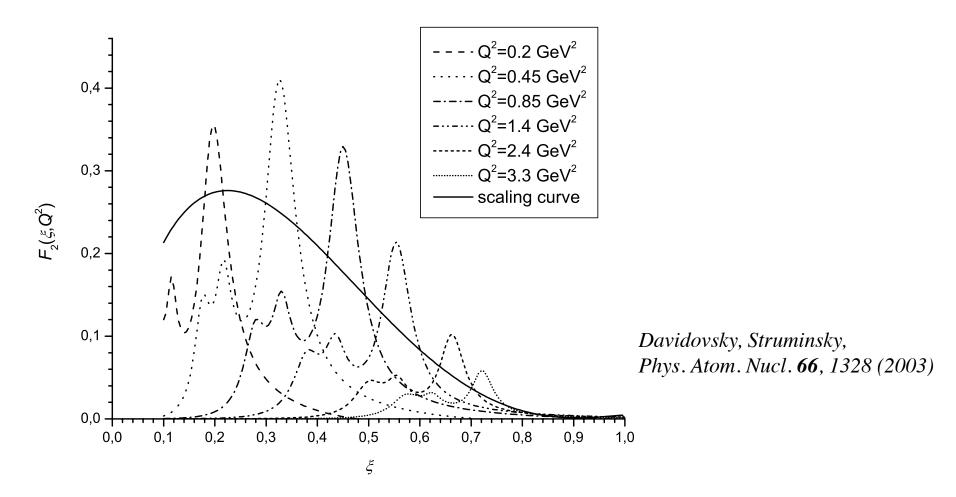
 \rightarrow 21 isospin-1/2 & 3/2 resonances (with mass < 2 GeV)

$$\begin{aligned} \left| G_{\pm}^{R}(Q^{2}) \right|^{2} &= \left| G_{\pm}^{R}(0) \right|^{2} \left(\frac{|\vec{q}|}{|\vec{q}|_{0}} \frac{\Lambda^{'2}}{Q^{2} + \Lambda^{'2}} \right)^{\gamma_{1}} \left(\frac{\Lambda^{2}}{Q^{2} + \Lambda^{2}} \right)^{m_{\pm}} \\ \left| G_{0}^{R}(Q^{2}) \right|^{2} &= C^{2} \left(\frac{Q^{2}}{Q^{2} + \Lambda^{''2}} \right)^{2a} \frac{q_{0}^{2}}{|\vec{q}|^{2}} \left(\frac{|\vec{q}|}{|\vec{q}|_{0}} \frac{\Lambda^{'2}}{Q^{2} + \Lambda^{'2}} \right)^{\gamma_{2}} \left(\frac{\Lambda^{2}}{Q^{2} + \Lambda^{2}} \right)^{m_{0}} \end{aligned}$$

 \longrightarrow in $x \to 1$ limit,

$$F_2(x) \sim (1-x)^{m_+}$$

lacksquare More recent phenomenological analyses at finite Q^2



→ duality visible for low-W resonances; at higher W need nonresonant background

Duality and QCD

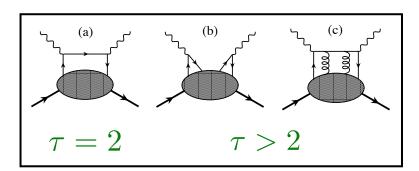
- Operator product expansion
 - \rightarrow expand *moments* of structure functions in powers of $1/Q^2$

$$M_n(Q^2) = \int_0^1 dx \ x^{n-2} \ F_2(x, Q^2)$$

$$= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \cdots$$

matrix elements of operators with specific "twist" au

$$\tau = \text{dimension} - \text{spin}$$



Duality and QCD

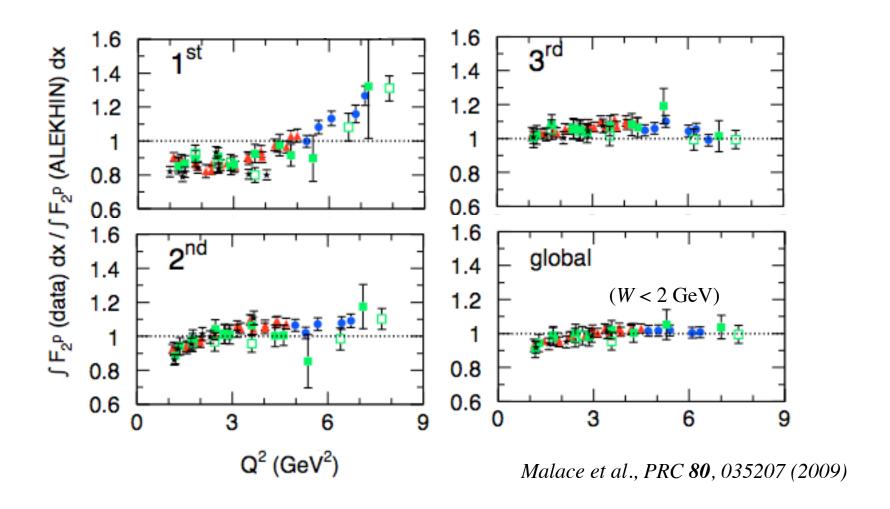
- Operator product expansion
 - \rightarrow expand *moments* of structure functions in powers of $1/Q^2$

$$M_n(Q^2) = \int_0^1 dx \ x^{n-2} F_2(x, Q^2)$$
$$= A_n^{(2)} + \frac{A_n^{(4)}}{Q^2} + \frac{A_n^{(6)}}{Q^4} + \cdots$$

de Rujula, Georgi, Politzer Ann. Phys. 103, 315 (1975)

- lacksquare If moment pprox independent of ${\it Q}^2$
 - \longrightarrow "higher twist" terms $A_n^{(\tau>2)}$ small
- Duality → suppression of higher twists

lacksquare Analysis of (latest) JLab F_2^p resonance region data



 \rightarrow higher twists < 10-15% for $Q^2 > 1 \text{ GeV}^2$

Resonances & twists

- Total "higher twist" is *small* at scales $Q^2 \sim \mathcal{O}(1~{
 m GeV}^2)$
- On average, nonperturbative interactions between quarks and gluons not dominant (at these scales)
 - -> nontrivial interference between resonances

- Can we understand this dynamically, at quark level?
 - → is duality an accident?
- Can we use resonance region data to learn about leading twist structure functions (and vice versa)?
 - expanded data set has potentially significant implications for global quark distribution studies

 Consider simple quark model with spin-flavor symmetric wave function

low energy

 \rightarrow coherent scattering from quarks $d\sigma \sim \left(\sum_{i} e_{i}\right)^{2}$

high energy

- \rightarrow incoherent scattering from quarks $d\sigma \sim \sum_i e_i^2$
- For duality to work, these must be equal
 - → how can <u>square of a sum</u> become <u>sum of squares</u>?

Dynamical cancellations

 \rightarrow e.g. for toy model of two quarks bound in a harmonic oscillator potential, structure function given by

$$F(\nu, \mathbf{q}^2) \sim \sum_{n} |G_{0,n}(\mathbf{q}^2)|^2 \delta(E_n - E_0 - \nu)$$

- ightharpoonup charge operator $\Sigma_i \ e_i \exp(i \mathbf{q} \cdot \mathbf{r}_i)$ excites even partial waves with strength $\propto (e_1 + e_2)^2$ odd partial waves with strength $\propto (e_1 - e_2)^2$
- → resulting structure function

$$F(\nu, \mathbf{q}^2) \sim \sum_{n} \left\{ (e_1 + e_2)^2 \ G_{0,2n}^2 + (e_1 - e_2)^2 \ G_{0,2n+1}^2 \right\}$$

 \rightarrow if states degenerate, *cross terms* ($\sim e_1e_2$) *cancel* when averaged over nearby *even and odd parity* states

Dynamical cancellations

→ duality is realized by summing over at least one complete set of <u>even</u> and <u>odd</u> parity resonances

Close, Isgur, PLB **509**, 81 (2001)

 \rightarrow in NR Quark Model, even & odd parity states generalize to 56 (L=0) and 70 (L=1) multiplets of spin-flavor SU(6)

representation	² 8 [56 ⁺]	⁴ 10 [56 ⁺]	² 8 [70 ⁻]	⁴ 8[70 ⁻]	² 10 [70 ⁻]	Total
$F_1^p \ F_1^n$	$9\rho^2$ $(3\rho+\lambda)^2/4$	$8\lambda^2$ $8\lambda^2$	$9\rho^2$ $(3\rho-\lambda)^2/4$	$0 \\ 4\lambda^2$	λ^2 λ^2	$18\rho^2 + 9\lambda^2 (9\rho^2 + 27\lambda^2)/2$

 λ (ρ) = (anti) symmetric component of ground state wave function

Close, WM, PRC 68, 035210 (2003)

Dynamical cancellations

 \rightarrow in SU(6) limit $\lambda = \rho$, with relative strengths of $N \rightarrow N^*$ transitions

SU(6):	$[{f 56}, {f 0}^+]^{f 28}$	$[{f 56}, {f 0}^+]^{f 4}{f 10}$	$[70, 1^-]^2 8$	$[70, 1^{-}]^{4}8$	$[70, 1^{-}]^{2}10$	total
F_1^p	9	8	9	0	1	27
F_1^n	4	8	1	4	1	18

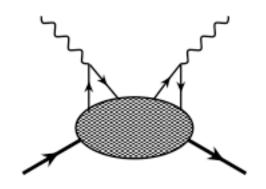
 \rightarrow summing over all resonances in 56^+ and 70^- multiplets

$$\frac{F_1^n}{F_1^p} = \frac{18}{27} = \frac{2}{3}$$

 \rightarrow at the quark level, n/p ratio is

$$\frac{F_1^n}{F_1^p} = \frac{4d+u}{d+4u} = \frac{6}{9} = \frac{2}{3} \quad \text{if } u = 2d$$

Accidental cancellations of charges?



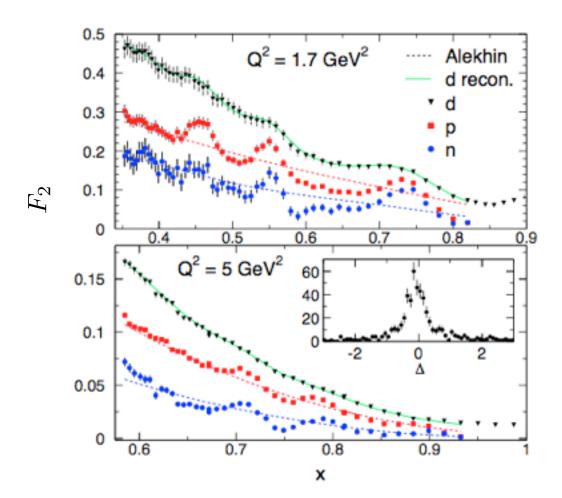
cat's ears diagram (4-fermion higher twist $\sim 1/Q^2$)

proton HT
$$\sim 1 - \left(2 \times \frac{4}{9} + \frac{1}{9}\right) = 0!$$

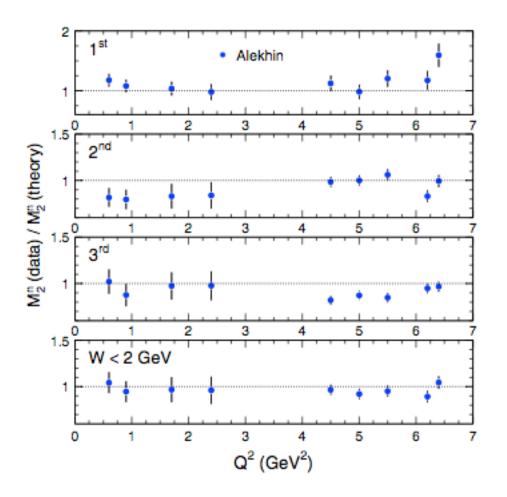
neutron HT
$$\sim 0 - \left(\frac{4}{9} + 2 \times \frac{1}{9}\right) \neq 0$$
 Brodsky hep-ph/0006310

- → duality in proton a coincidence!
- → should <u>not</u> hold for neutron

- Duality in *neutron* more difficult to test because of absence of free neutron targets
- New extraction method (using iterative procedure for solving integral convolution equations) has allowed first determination of F_2^n in resonance region & test of neutron duality



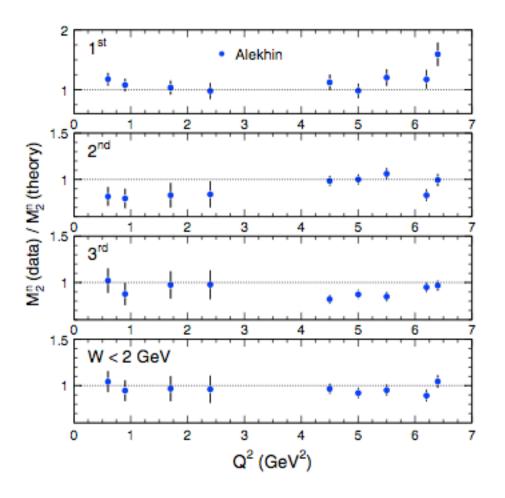
Malace, Kahn, WM, Keppel PRL **104**, 102001 (2010)



- \rightarrow "theory": fit to W > 2 GeV data Alekhin et al., 0908.2762 [hep-ph]
- → locally, violations of duality in resonance regions < 15-20% (largest in Δ region)
- \rightarrow globally, violations < 10%

Malace, Kahn, WM, Keppel PRL **104**, 102001 (2010)





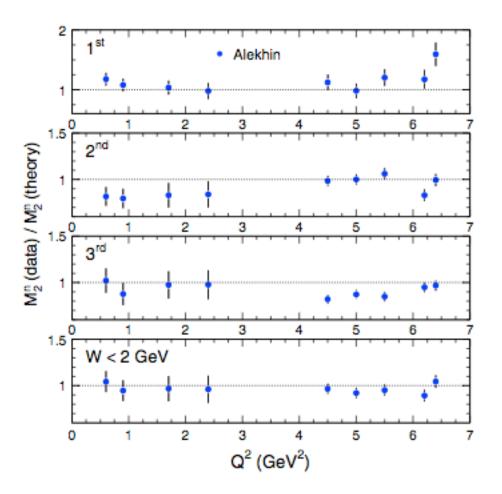
- \rightarrow "theory": fit to W > 2 GeV data

 Alekhin et al., 0908.2762 [hep-ph]
- → locally, violations of duality in resonance regions < 15-20% (largest in Δ region)
- \rightarrow globally, violations < 10%

Malace, Kahn, WM, Keppel PRL **104**, 102001 (2010)



analysis using recent (model-independent) BoNuS data in progress



- \rightarrow "theory": fit to W > 2 GeV data

 Alekhin et al., 0908.2762 [hep-ph]
- → locally, violations of duality in resonance regions < 15-20% (largest in Δ region)
- \rightarrow globally, violations < 10%

Malace, Kahn, WM, Keppel PRL **104**, 102001 (2010)



use resonance region data to learn about leading twist structure functions?

CTEQ-JLab (CJ) global PDF analysis *

- New global NLO analysis of expanded set of p and d data (DIS, pp, pd) including large-x, low- Q^2 region
- Systematically study effects of $Q^2 \& W$ cuts
 - \longrightarrow down to $Q \sim m_c$ and $W \sim 1.7 \text{ GeV}$

```
cut0: Q^2 > 4 \text{ GeV}^2, W^2 > 12.25 \text{ GeV}^2

cut1: Q^2 > 3 \text{ GeV}^2, W^2 > 8 \text{ GeV}^2

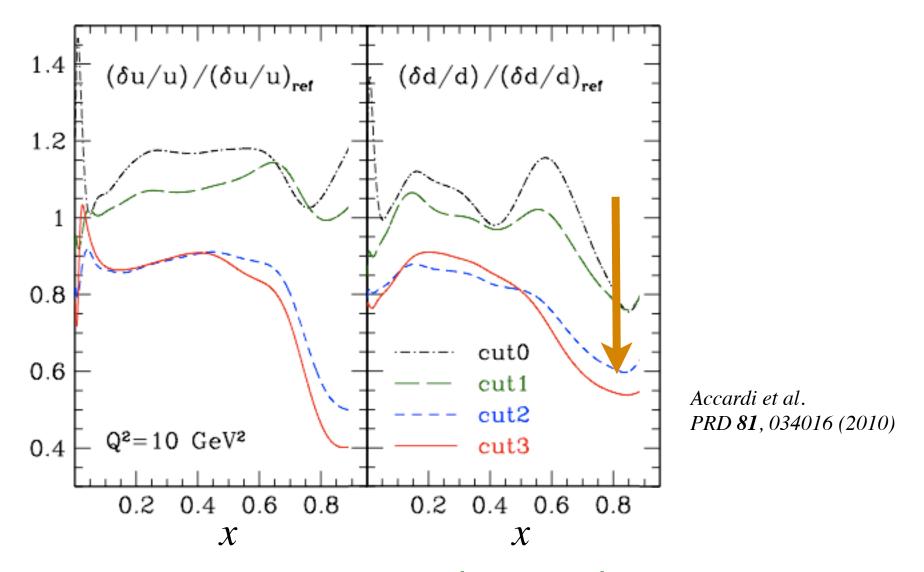
cut2: Q^2 > 2 \text{ GeV}^2, W^2 > 4 \text{ GeV}^2

cut3: Q^2 > m_c^2, W^2 > 3 \text{ GeV}^2

in DIS data from cut0 \rightarrow cut3
```

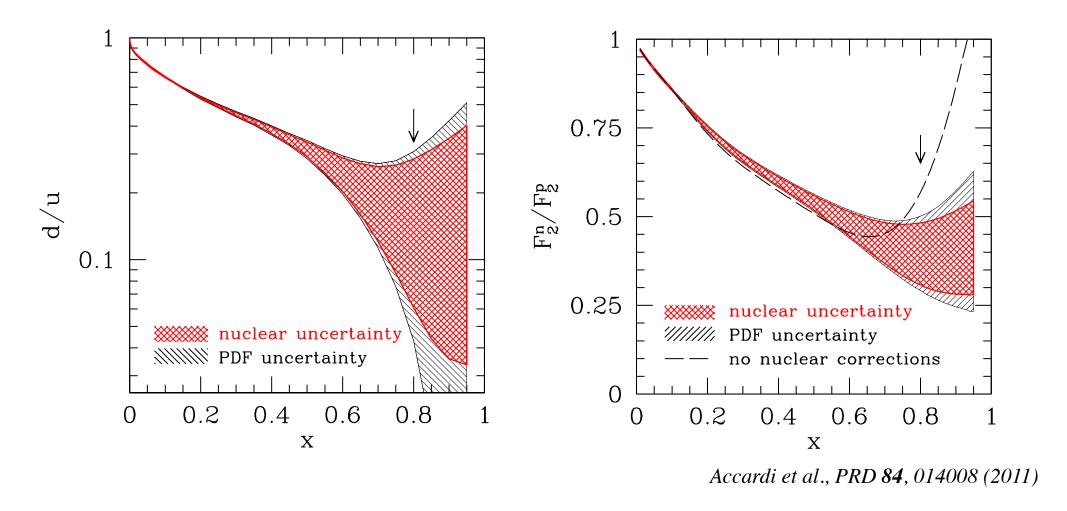
* CJ collaboration: http://www.jlab.org/CJ

■ Larger database with weaker cuts leads to significantly reduced errors, especially at large *x*



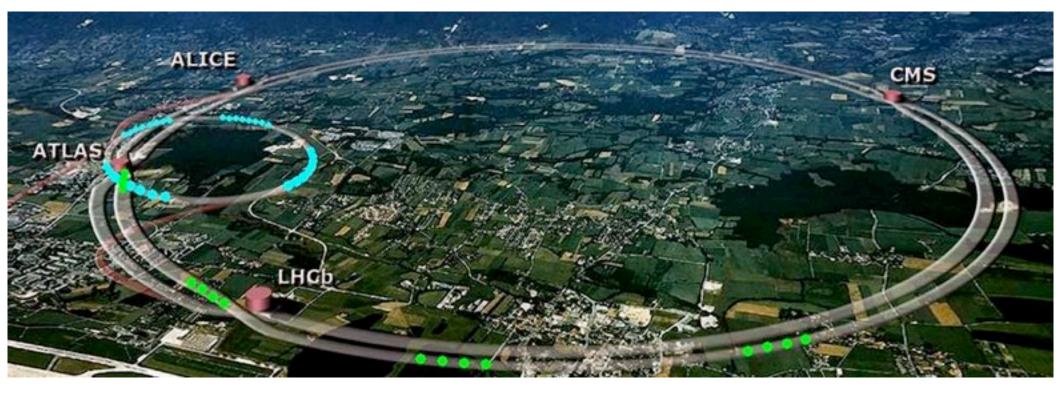
→ up to 40-60% error reduction when cuts extended into resonance region

■ Vital for large-x analysis, which currently suffers from large uncertainties (mostly due to nuclear corrections)

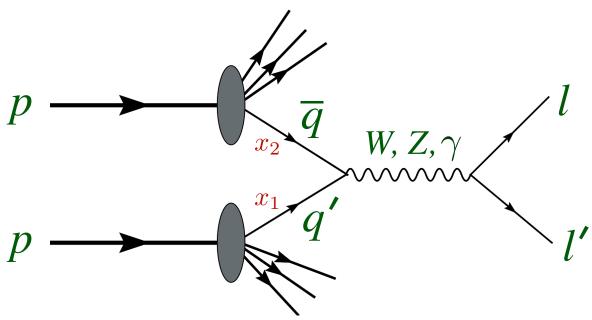


 \rightarrow uncertainty in d feeds into larger uncertainty in g at high x (important for LHC physics!)

Large Hadron Collider (CERN)

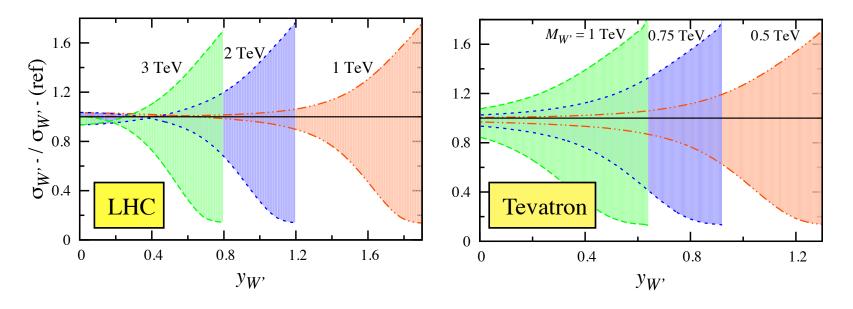


$$pp$$
 collisions at $\sqrt{s}=7~{
m TeV}$



Heavy Z', W' boson production

- Observation of new physics signals requires accurate determination of QCD backgrounds depend on PDFs! (since $x_{1,2} \sim M_{Z',W'}$, large-x uncertainties scale with mass!)
 - for W'^- production



 \longrightarrow dominated by $d*\bar{u}$

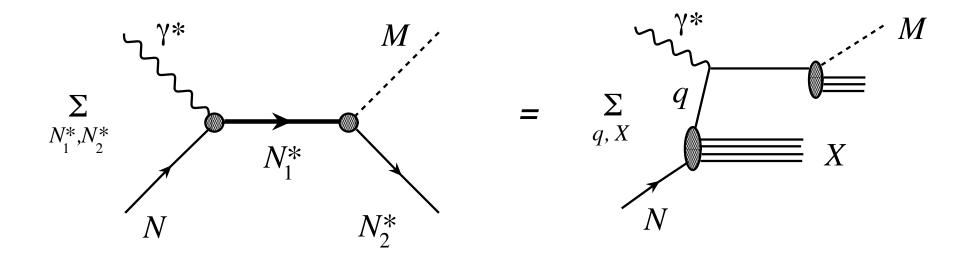
 \rightarrow dominated by d*u+u*d

> 100% uncertainties at large y!

Brady et al., JHEP 1206, 019 (2012)

Duality in (semi-inclusive) meson production

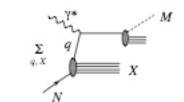
Can duality be extended to less inclusive processes, such as meson production?



s-channel resonance excitation and decay

parton level scattering and fragmentation

Partonic description

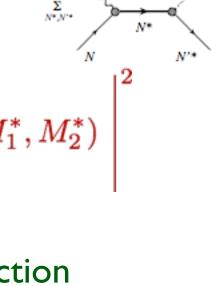


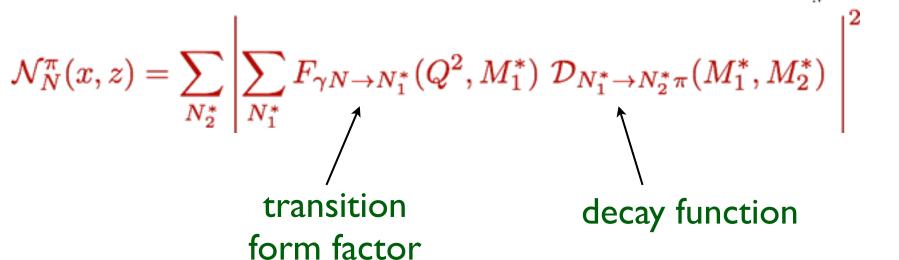
$$\mathcal{N}_{N}^{\pi}(x,z) = e_{u}^{2} u^{N}(x) D_{u}^{\pi}(z) + e_{d}^{2} d^{N}(x) D_{d}^{\pi}(z)$$

 $q \rightarrow \pi$ fragmentation function

 $z=E_{\pi}/\nu$ fractional energy carried by pion

Hadronic description





Partonic description

$$\sum_{q,X} q$$

$$X$$

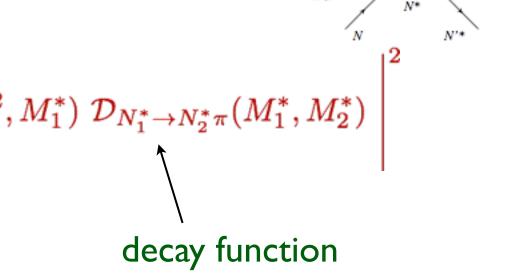
$$X$$

$$\mathcal{N}_N^{\pi}(x,z) \, = \, e_u^2 \, u^N(x) \, D_u^{\pi}(z) \, + \, e_d^2 \, d^N(x) \, D_d^{\pi}(z)$$

→ ratios given by quark charges

$$\frac{\mathcal{N}_n^{\pi^+}}{\mathcal{N}_p^{\pi^-}} = \frac{\mathcal{N}_p^{\pi^+}}{\mathcal{N}_n^{\pi^-}} = \frac{e_u^2}{e_d^2} = 4$$

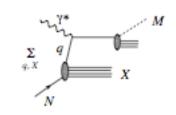
Hadronic description



$$\mathcal{N}_N^\pi(x,z) = \sum_{N_2^*} \left| \sum_{N_1^*} F_{\gamma N o N_1^*}(Q^2,M_1^*) \; \mathcal{D}_{N_1^* o N_2^*\pi}(M_1^*,M_2^*) \, \right|^2$$
 transition decay function form factor

Partonic description

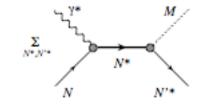
$$\mathcal{N}_N^{\pi}(x,z) \, = \, e_u^2 \, u^N(x) \, D_u^{\pi}(z) \, + \, e_d^2 \, d^N(x) \, D_d^{\pi}(z)$$



→ ratios given by quark charges

$$\frac{\mathcal{N}_n^{\pi^+}}{\mathcal{N}_p^{\pi^-}} = \frac{\mathcal{N}_p^{\pi^+}}{\mathcal{N}_n^{\pi^-}} = \frac{e_u^2}{e_d^2} = 4$$





 \longrightarrow magnetic interaction operator for $\gamma N \to N_1^*$

$$\sum_{i} e_{i} \, \sigma_{i}^{+}$$

 \longrightarrow pion emission operator for $N_1^* \to N_2^* \, \pi^\pm$

$$\sum_i \, \tau_i^{\mp} \, \sigma_{zi}$$

Relative probabilities \mathcal{N}_N^{π} in $\mathrm{SU}(6)$ symmetric quark model (summed over N_1^*)

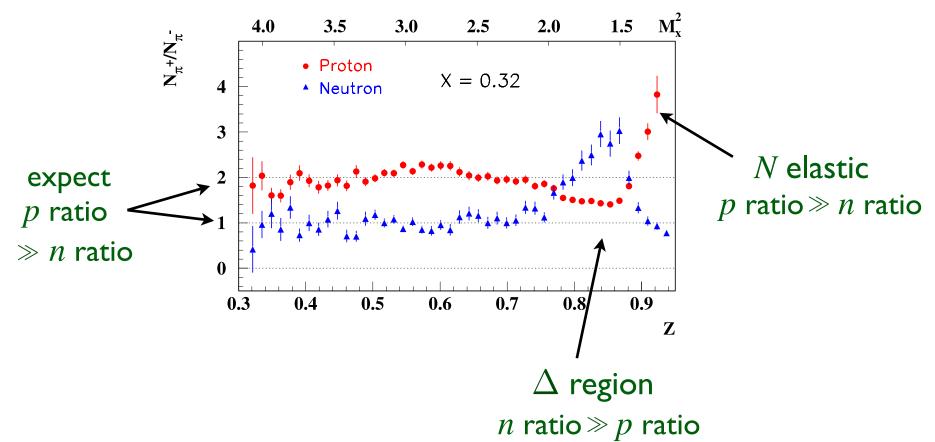
Close, WM, PRC 79, 055202 (2009)

\blacksquare π^-/π^+ ratios for p and n targets (summing over N_2^*)

$$\frac{\mathcal{N}_{p}^{\pi^{-}}}{\mathcal{N}_{p}^{\pi^{+}}} = \frac{1}{8} , \qquad \frac{\mathcal{N}_{n}^{\pi^{-}}}{\mathcal{N}_{n}^{\pi^{+}}} = \frac{1}{2} \qquad \qquad \frac{\mathcal{N}_{n}^{\pi^{+}}}{\mathcal{N}_{p}^{\pi^{+}}} = \frac{\mathcal{N}_{p}^{\pi^{-}}}{\mathcal{N}_{n}^{\pi^{-}}} = \frac{1}{2} , \qquad \frac{\mathcal{N}_{n}^{\pi^{+}}}{\mathcal{N}_{p}^{\pi^{-}}} = \frac{\mathcal{N}_{p}^{\pi^{+}}}{\mathcal{N}_{n}^{\pi^{-}}} = 4$$

- Consistent with parton model in SU(6) limit, d/u = 1/2
 - \rightarrow inclusive results recovered by summing over π^+, π^-

Comparison with data (JLab Hall C)



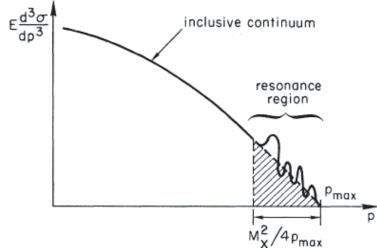
Duality in exclusive reactions

- Exclusive-inclusive correspondence principle:
 - continuity of dynamics from one (known) region to another (poorly known)

$$\int_{p_{\text{max}}-M_X^2/4p_{\text{max}}}^{p_{\text{max}}} dp \left| E \frac{d^3 \sigma}{dp^3} \right|_{\text{incl}} \sim \sum_{\text{res}} E \frac{d\sigma}{dp_T^2} \Big|_{\text{excl}}$$

$$\uparrow \qquad \qquad \uparrow$$

$$\gamma^* N \to M X \qquad \qquad \gamma^* N \to M N^*$$



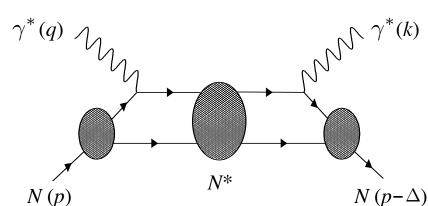
resonance contribution to $d\sigma$ should be comparable to the continuum contribution extrapolated from high energy

$$\frac{E}{\sigma} \frac{d^3 \sigma}{dp^3} \equiv f(x, p_T^2, sQ^2) \longrightarrow f(x, p_T^2, sQ^2) \stackrel{s \to \infty}{\longrightarrow} f(x, p_T^2)$$

Bjorken, Kogut, PRD 8, 1341 (1973)

Duality in (D)VCS

- If duality applies to DVCS, partonic (GPD) interpretation may be valid down to low Q^2
 - \rightarrow generalized response function in scalar CQM with harmonic oscillator potential, for N even (=2n) or N odd (=2n+1)



$$R_{L} = \sum_{N(n)} \frac{1}{4E_{0}E_{N}} (E_{0} \pm E_{N})^{2} \delta(\nu + E_{0} \mp E_{N})$$

$$\times \left\{ \sum_{l=0(1)}^{N} \left[(e_{1} + e_{2})^{2} F_{0,2n}^{(l)}(\vec{q}) F_{0,2n}^{(l)}(\vec{k}) + (e_{1} - e_{2})^{2} F_{0,2n+1}^{(l)}(\vec{q}) F_{0,2n+1}^{(l)}(\vec{k}) \right] \sqrt{\frac{4\pi}{(2l+1)}} Y_{l0}(\theta) \right\}$$

 \rightarrow summing over l, sum or difference over all states N gives

$$\left(\sum_{N=\text{even}} \pm \sum_{N=\text{odd}}\right) F_{0,N}(\vec{q}) \ F_{N,0}(\vec{k}) = \exp\left(-\frac{(\vec{q} \mp \vec{k})^2}{4\beta^2}\right) \ \equiv \ F_{0,0}(|\vec{q} \mp \vec{k}|)$$

■ Integrating over energy, obtain sum rule

$$S \equiv \int_{-\infty}^{+\infty} d\nu \ R_L = (e_1^2 + e_2^2) F_{0,0}(|\vec{q} - \vec{k}|) + 2e_1 e_2 F_{0,0}(|\vec{q} + \vec{k}|)$$

 \longrightarrow for $Q^2 \gg |\vec{\Delta}^2|$, first term dominates

$$S \longrightarrow (e_1^2 + e_2^2) F_{0,0}(\vec{\Delta}^2)$$

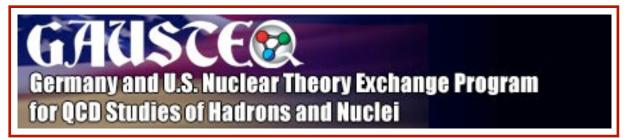
- → partonic (incoherent scattering) result!
- Generalize to spin-1/2 quarks, non-degenerate multiplets, flavor non-diagonal transitions (γ^*NN^* form factors)

Summary

- Confirmation of duality (experimentally & theoretically) suggests origin in dynamical cancelations between resonances
 - \rightarrow explore more realistic descriptions based on phenomenological γ^*NN^* form factors
 - → incorporate *nonresonant* background in same framework
- Practical application of duality
 - \rightarrow use resonance region data to constrain PDFs at high x (better knowledge of resonances could be relevant for LHC!)
- lacktriangle Models for exclusive/inclusive π production show similar duality as for inclusive DIS
 - → application to DVCS / GPDs

The End







- Newly approved DOE program for US-Germany exchange in hadron/nuclear theory, centered around <u>JLab</u> and <u>GSI-FAIR</u> (& Helmholz Institut <u>Mainz</u>)
- Fully funds US-based physicists for up to 2-4 week collaborative visits to Germany (~ 5 visits planned already)
- See http://www.jlab.org/GAUSTEQ or contact one of the PIs (Jo Dudek, WM, Christian Weiss) at gausteq@jlab.org
 - → for reciprocal German program contact Klaus Peters K.Peters@gsi.de