

Exclusive electroproduction of pions

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Outline:

- **Introduction: The handbag approach**
- **Evidence for strong $\gamma_T^* \rightarrow \pi$ transitions**
- **Transversity in the handbag approach**
- **Pion electroproduction**
- **Vector mesons**
- **Summary**

Hard exclusive scattering within the handbag approach

rigorous proofs of collinear factorization in generalized Bjorken regime:

for $\gamma_L^* \rightarrow V_L(P)$ and $\gamma_T^* \rightarrow \gamma_T$ amplitudes ($Q^2, W \rightarrow \infty, x_{Bj}$ fixed)

Radyushkin, Collins et al, Ji-Osborne

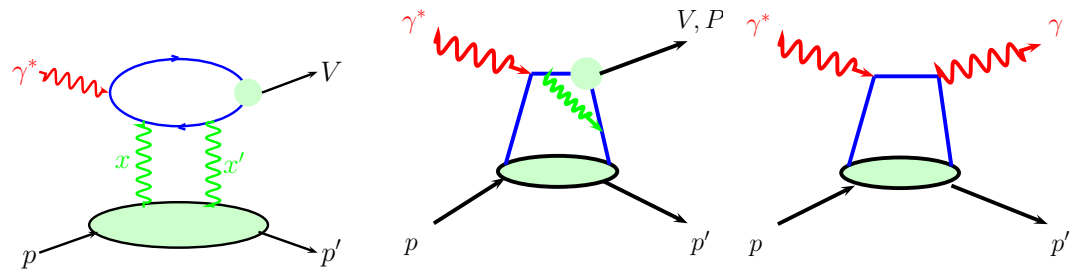
hard subprocesses

$$\gamma^* g \rightarrow V g,$$

$$\gamma^* q \rightarrow V(P, \gamma)q$$

and GPDs and meson w.f.

(encode the soft physics)



$$\mathcal{M} \sim \int_{-1}^1 dx \mathcal{H}(x, \xi, Q^2, t = 0) K(x, \xi, t)$$

$$d\sigma/dt \sim |\mathcal{M}|^2 + \mathcal{O}(1/Q^2)$$

power corrections are theoretical not under control

Exp: strong power corrections from γ_T^* and $\gamma_L^* \rightarrow V_L(P)$

GPDs – a reminder

Müller et al (94), Ji(97), Radyushkin (97)

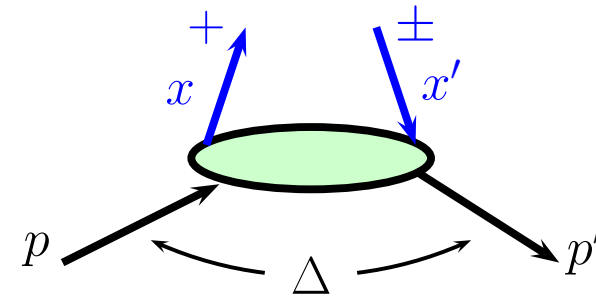
GPDs: $K = K(\bar{x}, \xi, t)$

$$K = H, E, \tilde{H}, \tilde{E}, H_T, E_T, \tilde{H}_T, \tilde{E}_T$$

$$x = \frac{\bar{x} + \xi}{1 + \xi} \quad x' = \frac{\bar{x} - \xi}{1 - \xi}$$

for quarks ($\xi < \bar{x} < 1$) and gluons

(antiquarks for $-1 < \bar{x} < -\xi$, $q\bar{q}$ pairs $-\xi < \bar{x} < \xi$)



properties:

reduction formula $H^q(\bar{x}, \xi = t = 0) = q(\bar{x})$, $\tilde{H}^q \rightarrow \Delta q(\bar{x})$, $H_T^q \rightarrow \delta^q(\bar{x})$

sum rules (proton form factors): $F_1^q(t) = \int d\bar{x} H^q(\bar{x}, \xi, t)$, $F_1 = \sum e_q F_1^q$

$$E \rightarrow F_2, \tilde{H} \rightarrow F_A, \tilde{E} \rightarrow F_P$$

polynomiality, universality, evolution, positivity constraints

Ji's sum rule $J_q = \frac{1}{2} \int_{-1}^1 d\bar{x} \bar{x} [H^q(\bar{x}, \xi, t = 0) + E^q(\bar{x}, \xi, t = 0)]$

FT $\Delta \rightarrow \mathbf{b}$ ($\Delta^2 = -t$): information on parton localization in trans. position space

An almost model-independent argument

consider pion electroproduction

sum and difference of single-flip ampl.

($\sim \sqrt{-t'}$ for $t' \rightarrow 0$ by angular mom. conserv.)

$$\mathcal{M}_{0+\mu+}^{N(U)} = \frac{1}{2} \left[\mathcal{M}_{0+\mu+} + (-) \mathcal{M}_{0+-\mu+} \right] \quad \mu = \pm 1$$

$$\implies \mathcal{M}_{0+-+}^{N(U)} = +(-) \mathcal{M}_{0+++}^{N(U)}$$

like a one-particle-exchange of either **N**atural or **U**nnatural parity

nucleon helicity flip: $\mathcal{M}_{0--+} \sim t'$ $\mathcal{M}_{0-++} \sim \text{const}$

sum and difference inconvenient

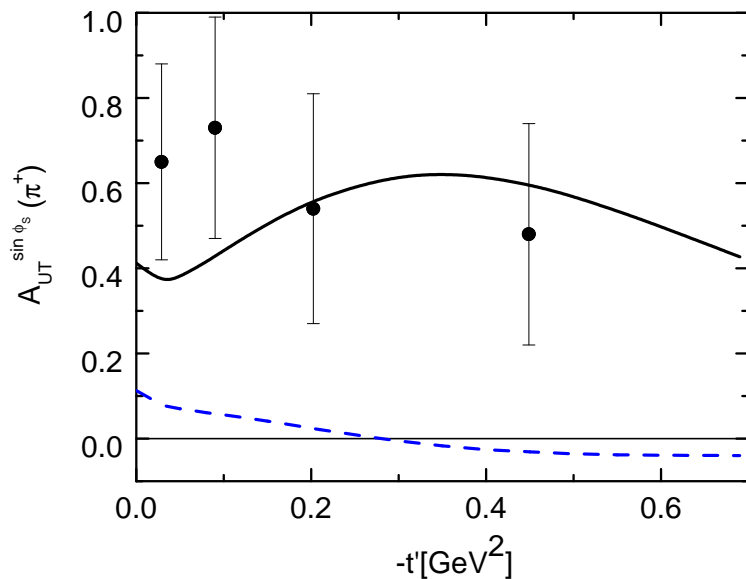
(constant can be small - or zero - for dynamical reasons)

Experiment:

Pion photoproduction: cross section exhibits pronounced maximum at $t = 0$
const. cannot be zero

Phillips (1967): Regge cuts necessary

Pion electroproduction



HERMES(09)

$Q^2 \simeq 2.5 \text{ GeV}^2$, $W = 3.99 \text{ GeV}$

$\sin \phi_S$ modulation very large

does not seem to vanish for $t' \rightarrow 0$

$$A_{UT}^{\sin \phi_S} \propto \text{Im} \left[\mathcal{M}_{0-,++}^* \mathcal{M}_{0+,0+} \right]$$

n-f. ampl. $\mathcal{M}_{0-,++}$ required

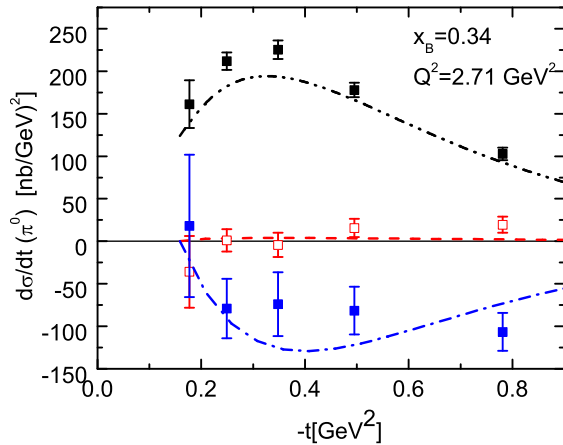
not vanishing in forward direction

assumption: $|\mathcal{M}_{0--}| \ll |\mathcal{M}_{0-++}|, |\mathcal{M}_{0+\pm}|$

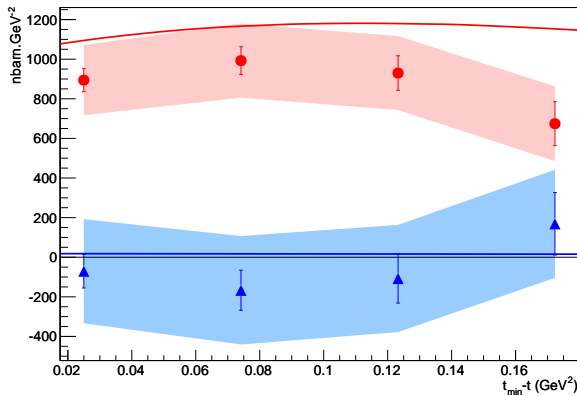
Transverse cross sections

$$\frac{d\sigma_T}{dt} \simeq \frac{1}{2\kappa} \left[|\mathcal{M}_{0-++}|^2 + 2|\mathcal{M}_{0+++}^N|^2 + 2|\mathcal{M}_{0+++}^U|^2 \right] \quad \frac{d\sigma_{TT}}{dt} \simeq -\frac{1}{\kappa} \left[|\mathcal{M}_{0+++}^N|^2 - |\mathcal{M}_{0+++}^U|^2 \right]$$

$$\Rightarrow \left| \frac{d\sigma_{TT}}{dt} \right| \leq \frac{d\sigma_T}{dt} \leq \frac{d\sigma}{dt}$$



σ_T (red circle) and σ_L (blue triangle)



π^0 data CLAS(12)

unsep. cross sec., $d\sigma_{LT}$, $d\sigma_{TT}$

$|\mathcal{M}_{0+++}^N|$ dominant (for $-t' > 0$)

(see forward dip)

$d\sigma_L/dt \ll d\sigma_T/dt$

consistent with $d\sigma_{LT}/dt \simeq 0$

check: $d\sigma_T + d\sigma_{TT} \simeq \frac{A_{LL}^{\cos(0\phi)} d\sigma}{\sqrt{1-\epsilon^2}}$?

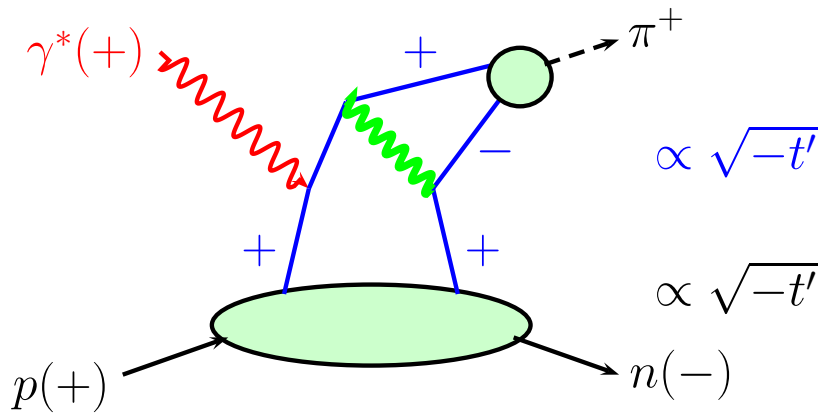
if not - \mathcal{M}_{0+++}^U , \mathcal{M}_{0--+}

experimental verification of transversity dominance

Hall A (preliminary)

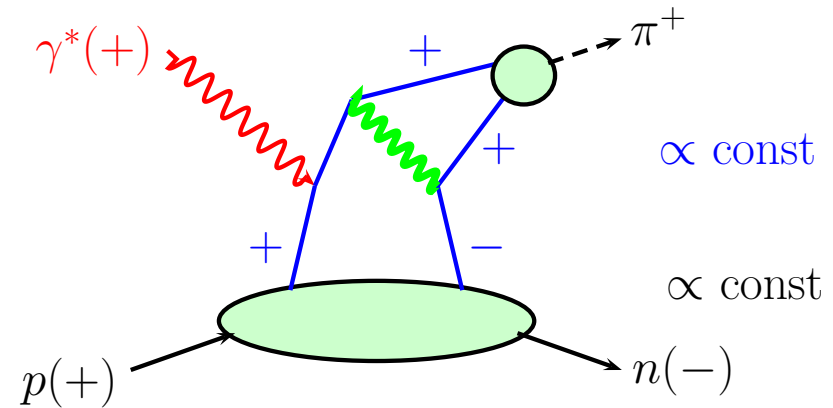
$Q^2 = 1.76 \text{ GeV}^2$ $x_B = 0.36$

Handbag: can $\mathcal{M}_{0-,++}$ be fed by ordinary GPDs?



lead. twist pion wave fct. $\propto q' \cdot \gamma \gamma_5$
 (perhaps including \mathbf{k}_\perp)

$$\mathcal{M}_{0-,++} \propto t'$$



transversity GPDs required
 go along with twist-3 w.f.

$$\mathcal{M}_{0-,++} \propto \text{const}$$

(forced by angular momentum conservation)

prominent role of transversity GPDs also claimed by [Ahmad et al](#)
 analysis and results different

$\gamma_T^* \rightarrow \pi$ in the handbag approach

see Diehl01, GK10, GK11

$$\bar{E}_T \equiv 2\tilde{H}_T + E_T \quad \mu = \pm 1$$

$$\begin{aligned} \mathcal{M}_{0+\mu+} &= e_0 \frac{\sqrt{-t'}}{4m} \int dx \left\{ (H_{0+\mu-} - H_{0-\mu+}) (\bar{E}_T - \xi \tilde{E}_T) \right. \\ &\quad \left. + (H_{0+\mu-} + H_{0-\mu+}) (\tilde{E}_T - \xi E_T) \right\} \\ \mathcal{M}_{0-\mu+} &= e_0 \sqrt{1 - \xi^2} \int dx \left\{ H_{0-\mu+} \left[H_T + \frac{\xi}{1 - \xi^2} (\tilde{E}_T - \xi E_T) \right] \right. \\ &\quad \left. + (H_{0+\mu-} - H_{0-\mu+}) \frac{t'}{4m^2} \tilde{H}_T \right\} \end{aligned}$$

with parity conservation: $\mathcal{M}_{0+\pm+} = \mathcal{M}_{0+++}^N \pm \mathcal{M}_{0+++}^U$

time-reversal invariance: \tilde{E}_T is odd function of ξ

N: \bar{E}_T with corrections of order ξ^2 U: order ξ

small $-t'$: \mathcal{M}_{0-++} mainly H_T with corrections of order ξ^2

\mathcal{M}_{0--} suppressed by t/Q^2 due to H_{0--} and by $t'/4m^2$ from \tilde{H}_T

handbag explains structure of ampl. at least at small ξ and small $-t'$

The twist-3 pion distr. amplitude

projector $q\bar{q} \rightarrow \pi$ (3-part. $q\bar{q}g$ contr. neglected) Beneke-Feldmann (01)

$$\sim q' \cdot \gamma \gamma_5 \Phi + \mu_\pi \gamma_5 \left[\Phi_P - i\sigma_{\mu\nu} (\dots \Phi'_\sigma + \dots \Phi_\sigma \partial / \partial \mathbf{k}_\perp \nu) \right]$$

definition: $\langle \pi^+(q') | \bar{d}(x) \gamma_5 u(-x) | 0 \rangle = f_\pi \mu_\pi \int d\tau e^{iq'x\tau} \Phi_P(\tau)$

local limit $x \rightarrow 0$ related to divergency of axial vector current

$$\implies \mu_\pi = m_\pi^2 / (m_u + m_d) \simeq 2 \text{ GeV at scale } 2 \text{ GeV (conv. } \int d\tau \Phi_P(\tau) = 1)$$

Eq. of motion: $\tau \Phi_P = \Phi_\sigma / N_c - \tau \Phi'_\sigma / (2N_c)$

solution: $\Phi_P = 1, \quad \Phi_\sigma = \Phi_{AS} = 6\tau(1 - \tau)$ Braun-Filyanov (90)

$$H_{0-,++}^{\text{twist-3}} \neq 0, \quad \Phi_P \text{ dominant, } \Phi_\sigma \text{ contr. } \propto t/Q^2$$

in coll. appr.: $H_{0-,++}^{\text{twist-3}}$ singular \mathbf{k}_\perp factorization (m.p.a.) regular

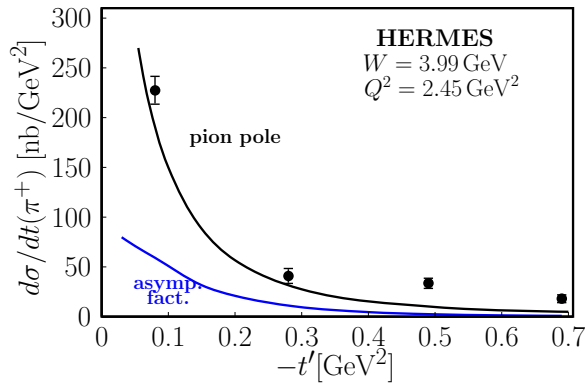
$$M_{0-++} = e_0 \sqrt{1 - \xi^2} \int dx H_{0-++}^{\text{twist-3}} H_T, \quad M_{0+\pm+} = -e_0 \frac{\sqrt{-t'}}{4m} \int dx H_{0-++}^{\text{twist-3}} \bar{E}_T$$

The pion pole

$$\mathcal{M}_{0+0+} = \frac{e_0}{2} \sqrt{1 - \xi^2} \langle \tilde{H} - \frac{\xi^2}{1 - \xi^2} \tilde{E} \rangle \quad \mathcal{M}_{0-0+} = e_0 \frac{\sqrt{-t'}}{4m} \xi \langle \tilde{E} \rangle$$

leading amplitudes for $Q^2 \rightarrow \infty$

For π^+ production - pion pole:



$$\tilde{E}_{\text{pole}}^u = -\tilde{E}_{\text{pole}}^d = \Theta(|x| \leq \xi) \frac{m f_\pi g_{\pi NN}}{\sqrt{2} \xi} \frac{F_{\pi NN}(t)}{m_\pi^2 - t} \Phi_\pi\left(\frac{x + \xi}{2\xi}\right)$$

$$\Rightarrow \frac{d\sigma_L^{\text{pole}}}{dt} \sim \frac{-t}{Q^2} \left[\sqrt{2} e_0 g_{\pi NN} \frac{F_{\pi NN}(t)}{m_\pi^2 - t} Q^2 F_\pi^{\text{pert}}(Q^2) \right]^2$$

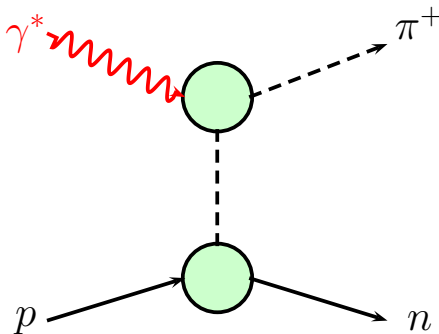
handbag underestimates FF $F_\pi^{\text{pert.}} \simeq 0.3 - 0.5 F_\pi^{\text{exp.}}$

(F_π measured in π^+ electroproduction at Jlab)

Goloskokov-K(09): $F_\pi^{\text{pert}} \rightarrow F_\pi^{\text{exp}}$

knowledge of the sixties suffices to explain

π^+ data at small $-t$ and large Q^2



Parametrizing the GPDs

double distribution ansatz (Mueller *et al* (94), Radyushkin (99))

$$K_i(x, \xi, t) = \int_{-1}^1 d\rho \int_{-1+|\rho|}^{1-|\rho|} d\eta \delta(\rho + \xi\eta - x) K_i(\rho, \xi = 0, t) w_i(\rho, \eta) + D_i(x/\xi, t) \Theta(\xi^2 - \bar{x}^2)$$

weight fct $w_i(\rho, \eta) \sim [(1 - |\rho|)^2 - \eta^2]^{n_i}$ ($n_g = n_{sea} = 2, n_{val} = 1$, generates ξ dep.)

zero-skewness GPD $K_i(\rho, \xi = 0, t) = k_i(\rho) \exp[(b_{ki} + \alpha'_{ki} \ln(1/\rho))t]$

$$k = q, \Delta q, \delta^q \text{ for } H, \tilde{H}, H_T \text{ or } N_{ki} \rho^{-\alpha_{ki}(0)} (1 - \rho)^{\beta_{ki}} \text{ for } E, \tilde{E}, \bar{E}_T$$

Regge-like t dep. (for small $-t$ reasonable appr.)

advantages: polynomiality and reduction formulas automatically satisfied

H_{val}, E_{val} and \tilde{H}_{val} at $\xi = 0$ from analysis of form factors (sum rules)

positivity bounds respected

Diehl *et al*(04), Diehl-K (13)

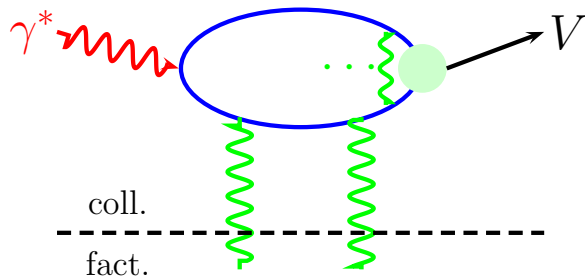
for H and E D -term; neglected

The subprocess amplitude for DVMP

mod. pert. approach - quark trans. momenta in subprocess

(emission and absorption of partons from proton collinear to proton momenta)

transverse separation of color sources \implies gluon radiation



LO pQCD

+ quark trans. mom.

+ Sudakov supp.

\implies asymp. fact. formula

(lead. twist) for $Q^2 \rightarrow \infty$

Sudakov factor Sterman et al(93)

$$S(\tau, \mathbf{b}_\perp, Q^2) \propto \ln \frac{\ln(\tau Q / \sqrt{2} \Lambda_{\text{QCD}})}{-\ln(b_\perp \Lambda_{\text{QCD}})} + \text{NLL}$$

resummed gluon radiation to NLL $\implies \exp[-S]$

provides sharp cut-off at $b_\perp = 1/\Lambda_{\text{QCD}}$

$$\mathcal{H}_{0\lambda,0\lambda}^M = \int d\tau d^2 b_\perp \hat{\Psi}_M(\tau, -\mathbf{b}_\perp) e^{-S} \hat{\mathcal{F}}_{0\lambda,0\lambda}(\bar{x}, \xi, \tau, Q^2, \mathbf{b}_\perp)$$

$\hat{\Psi}_M \sim \exp[\tau \bar{\tau} b_\perp^2 / 4a_M^2]$ LC wave fct of meson

$\hat{\mathcal{F}}$ FT of hard scattering kernel

e.g. $\propto 1/[k_\perp^2 + \tau(\bar{x} + \xi)Q^2/(2\xi)] \implies$ Bessel fct

Sudakov factor generates series of power corr. $\sim (\Lambda_{\text{QCD}}^2/Q^2)^n$

from intrinsic k_\perp in wave fct: series $\sim (a_M Q)^{-n}$

The role of H_T and \bar{E}_T in pion leptonproduction

simplified picture: $H_T^d \simeq -1/2H_T^u$ $\bar{E}_T^d \simeq \bar{E}_T^u$
 $\tilde{H}, \tilde{E} \simeq 0$ pion pole

supported by lattice QCD [QCDSF-UKQCD\(05,06\)](#)

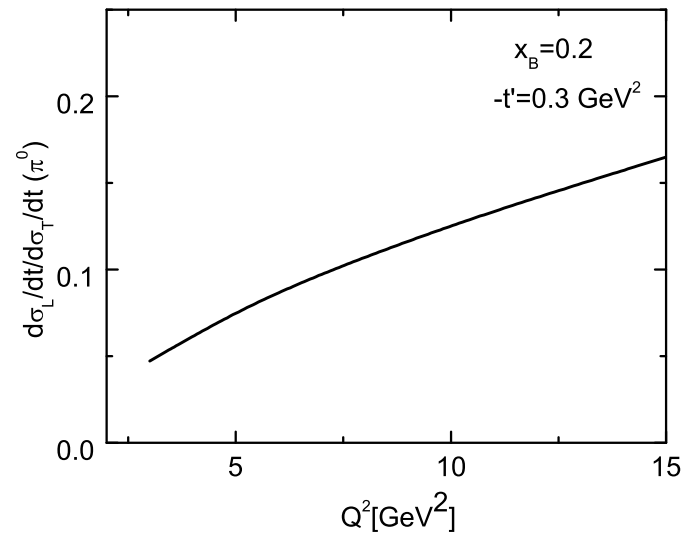
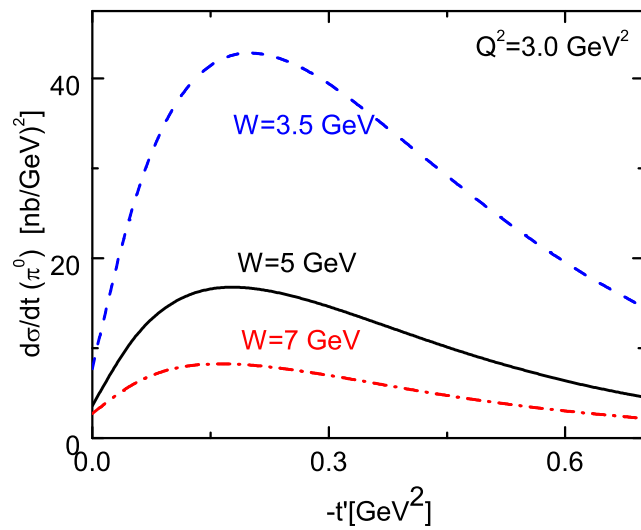
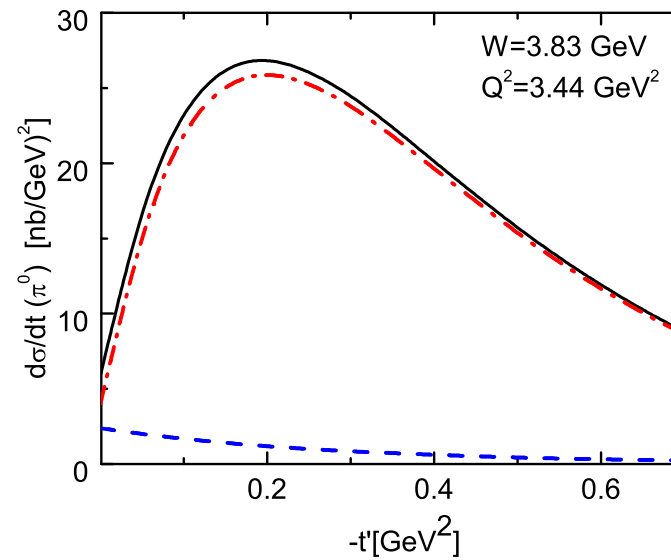
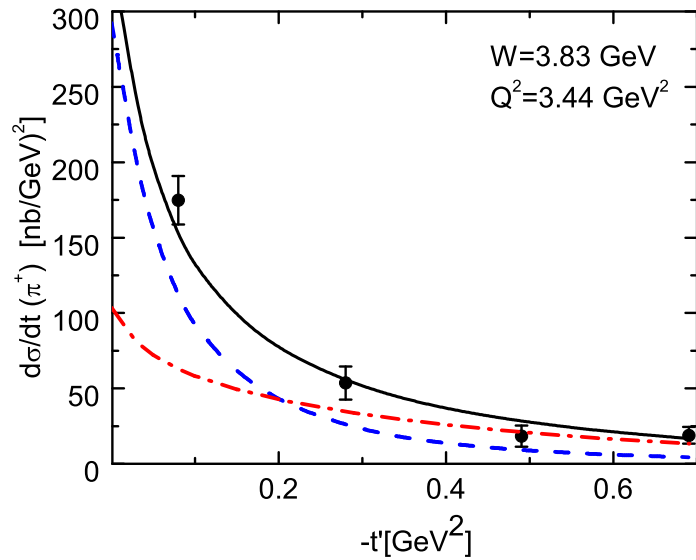
transversity PDFs [Anselmino et al\(09\)](#)

\bar{E}_T related to Boer-Mulders fct $\langle \cos(2\phi) \rangle$ in SIDIS – same pattern [Burkhardt](#)

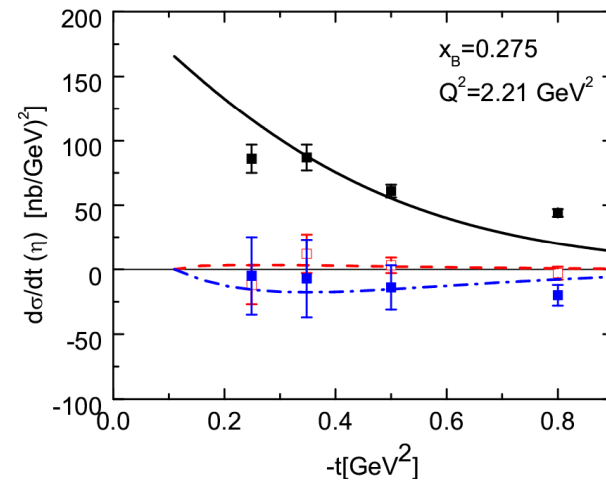
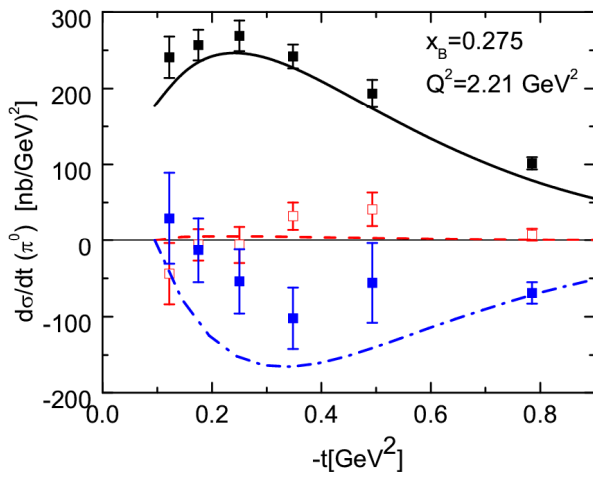
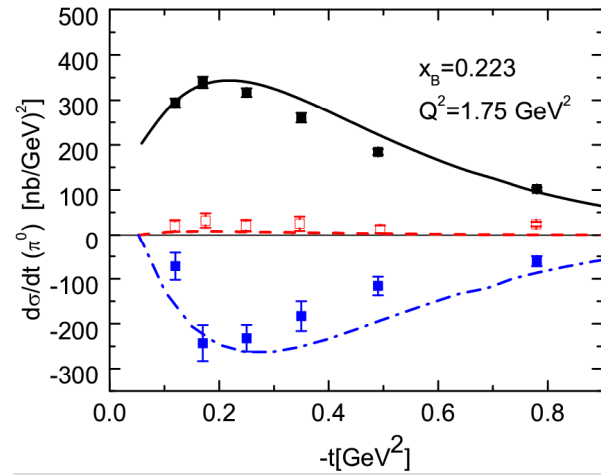
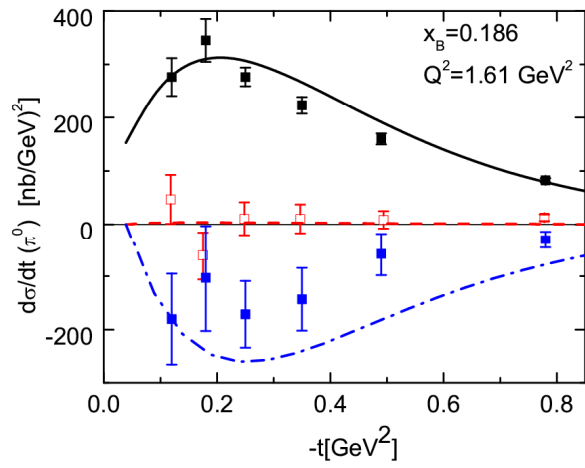
π^+ : pion pole $\rightarrow \sigma_L$ $K_{\pi^+} = K^u - K^d$; $H_T^{\pi^+} = 3/2H_T^u$; $\bar{E}_T^{\pi^+} = 0$
 π^0 : $\sigma_L = 0$ $K_{\pi^0} = e_u K^u - e_d K^d$; $H_T^{\pi^0} = 1/2H_T^u$; $\bar{E}_T^{\pi^0} = \bar{E}_T^u$

	$d\sigma_L$	$d\sigma_T$	$d\sigma_{LT}$	$d\sigma_{TT}$	$A_{UT}^{\sin\phi_s}$
π^+	large	H_T large	large	0	large
π^0	0	\bar{E}_T large	0	large	0

Results for pion production

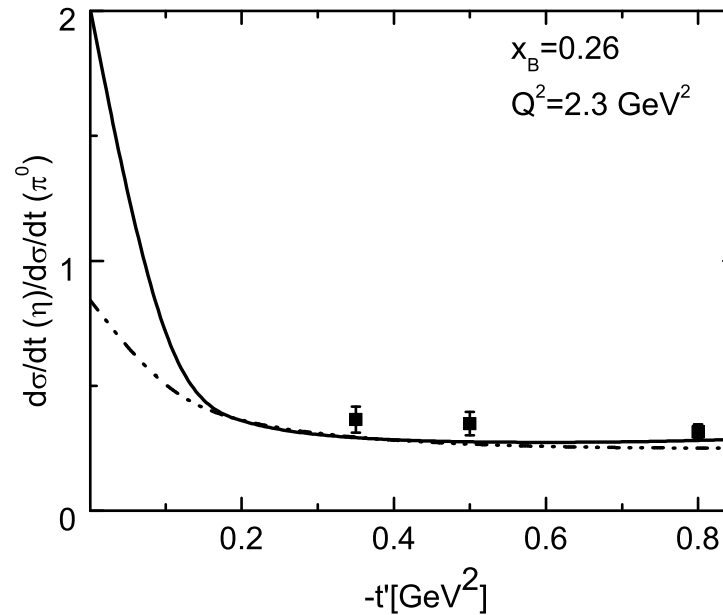


Goloskokov-K (10),(11) optimized for small ξ and large W



Bedlinsky et al (12)

η/π^0 ratio



data CLAS (prel.) unseparated (longitinal, transverse) cross sections

$$\frac{d\sigma(\eta)}{d\sigma(\pi^0)} \simeq \left(\frac{f_\eta}{f_\pi}\right)^2 \frac{1}{3} \left| \frac{e_u \langle K^u \rangle + e_d \langle K^d \rangle}{e_u \langle K^u \rangle - e_d \langle K^d \rangle} \right|^2 \quad (f_\eta = 1.26 f_\pi)$$

if K^u and K^d have opposite sign: $\eta/\pi^0 \simeq 1$ ($\eta = (\cos \theta_8 - \sqrt{2} \sin \theta_1) \eta_q$)

if K^u and K^d have same sign: $\eta/\pi^0 < 1$

$t' \simeq 0$ \tilde{H}, H_T dominant (see also Eides et al(98) from asym. factor. formula for all t')

$t' \neq 0$ \bar{E}_T dominant

Reanalysis of pion electroproduction data

up to now: rather estimates than analysis

new (preliminary) exp. information:

- σ_L, σ_T for π^0 production (settles dominance of $\gamma_T^* \rightarrow \pi$)
- A_{LL}, A_{UL}, A_{LU} for π^+ and π^0 from CLAS
- expected π^0 cross section from COMPASS

\tilde{H} from Diehl-K (13) based on DSSV (11)

H_T, \bar{E}_T parametrized as before (more transversity GPDs ? see A_{LL})

DIFFICULTY:

large $-t_0 = 4m^2\xi^2/(1 - \xi^2)$ implied (e.g. $Q = W = 2$ GeV: $t_0 \simeq -1$ GeV²)

implies corrections in ξ (see also Braun et al (14))

$$\xi = \frac{x_{Bj}}{2 - x_{Bj}} \left[1 + \frac{2}{2 - x_{Bj}} \frac{m_\pi^2}{Q^2} - 2x_{Bj}^2 \frac{1 - x_{Bj}}{2 - x_{Bj}} \frac{m^2}{Q^2} + 2x_{Bj} \frac{1 - x_{Bj}}{2 - x_{Bj}} \frac{t}{Q^2} \right]$$

handbag approach requires $-t \ll Q^2$, Q is the hard scale

for $-t \gtrsim Q^2$ factorization different (subprocess and generalized form factors)

Strangeness production

e.g. $\gamma^* p \rightarrow K^+ \Lambda(\Sigma^0)$

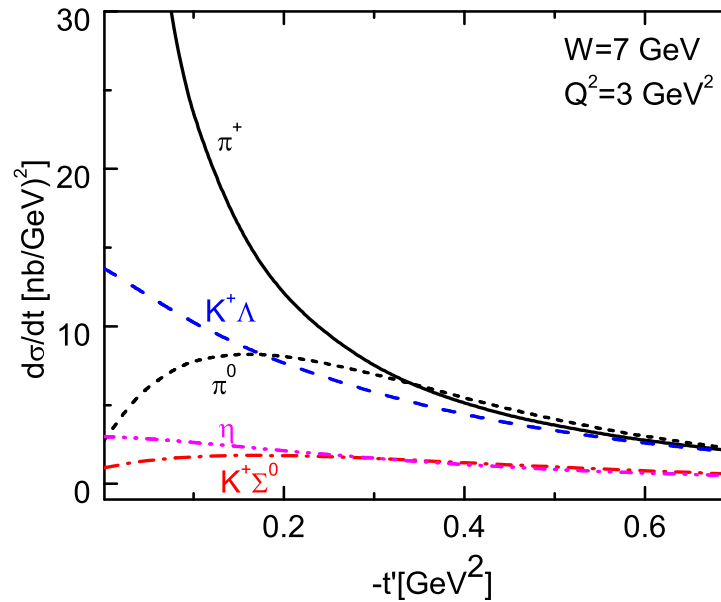
similar to π^+ production

Kaon pole (smaller than pion pole)

and

twist-3 effect with

$$\mu_K = m_K^2 / (m_u + m_s) \simeq 2.0 \text{ GeV}$$



would probe \tilde{H} , \tilde{E} and H_T for flavor symmetry breaking in sea

e.g.

$$K_{p \rightarrow \Sigma^0} = -K_v^d + (K^s - K^{\bar{d}}),$$

$$K_{p \rightarrow \Lambda} = -\frac{1}{\sqrt{6}} \left[2K_v^u - K_v^d + (2K^{\bar{u}} - K^{\bar{d}} - K^s) \right]$$

Transversity in vector meson electroproduction

as for pions: $\gamma_T^* \rightarrow V_L$ amplitudes, same subprocess amplitude
except $\Psi_\pi \rightarrow \Psi_V$, i.e. $f_\pi \rightarrow f_V$, $\mu_\pi/Q \rightarrow m_V/Q$

$\gamma_T^* \rightarrow V_L$ amplitudes of about the same strength as the $\gamma_T^* \rightarrow \pi$ ones but
competition with $\langle H \rangle$ (for gluons and quarks) instead with $\langle \tilde{H} \rangle$ ($|\langle H \rangle| \gg |\langle \tilde{H} \rangle|$)

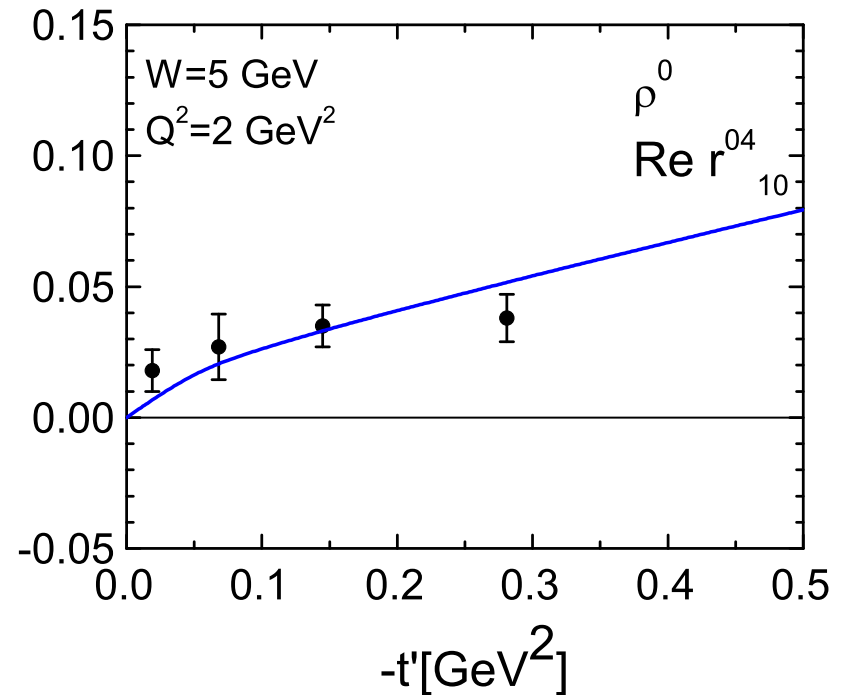
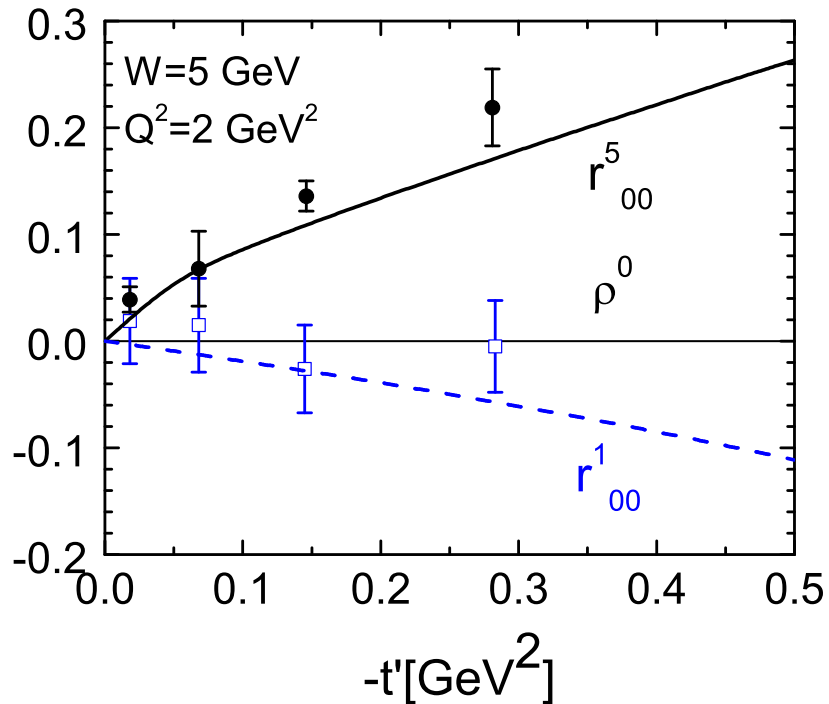
\implies small transversity effects for vector mesons

to be seen in some of the SDMEs and in spin asymmetries

examples from Goloskokov-K(13,14)

estimates, not fits

Spin density matrix elements



SDME from HERMES(09)

$$r_{00}^1 \sim -|\langle \bar{E}_T \rangle|^2$$

$$r_{00}^5 \sim \text{Re}[\langle \bar{E}_T \rangle^* \langle H \rangle_{LL}]$$

$$\text{Re} r_{10}^{04} \sim \text{Re}[\langle \bar{E}_T \rangle^* \langle H \rangle_{TT}]$$

$\langle H \rangle_{LL(TT)}$ convolution of H with $\gamma_L^* \rightarrow V_L$ ($\gamma_T^* \rightarrow V_T$) subprocess ampl.

Gluon transversity?

only non-flip subprocess ampl. $\gamma^* g \rightarrow V g$ with gluon helicity-flip $\mathcal{H}_{--,++}$
(helicities ± 1)

\implies contribution to $\gamma_T^* \rightarrow V_{-T}$ amplitudes $\mathcal{M}_{-\mu\nu'\mu\nu}$

SDME (HERMES(09), H1(09)): $\gamma_T^* \rightarrow V_{-T}$ ampl. are small, compatible with zero
consistent with small gluon transv. GPDs

not in contradiction with large quark transv. GPDs:

gluon and quark transv. GPDs evolve independently with scale

Hoodbhoy-Ji(98), Belitsky et al(00)

gluon transv. contribution to $\gamma_T^* \rightarrow \gamma_{-T}$ DVCS at NLO

Hoodbhoy-Ji(98), Belitsky-Müller (00)

From pion leptonproduction we learn about \tilde{H} and \tilde{E}

state of the art 10-15 years ago

obsolete now it is to be revised:

From pion leptonproduction
we learn about H_T and \bar{E}_T