

N^* Form Factors and Distribution Amplitudes in QCD

Nils Offen

University of Regensburg

based on arxiv:1310.1375

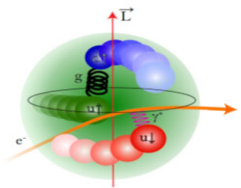
arxiv:1505.05759

EmNN* Workshop, Trento 14.10.2015



- 1 Introduction
- 2 Light-cone sum rules
- 3 Results
- 4 Outlook and Conclusion

What is it all about?

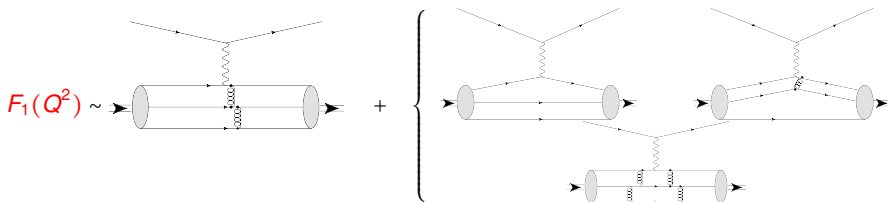


- described by form factors

$$\langle N(p+q) | j_{\mu}^{em} | N(p) \rangle$$

$$= \bar{N}(p+q) \left[F_1(Q^2) \gamma_{\mu} - \frac{i \sigma_{\mu\nu} q^{\nu}}{2m_N} F_2(Q^2) \right] N(p)$$

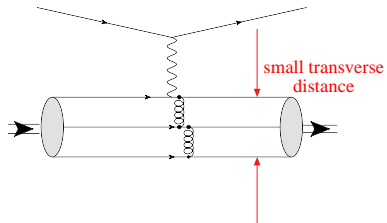
- $F_1(Q^2)$ can formally be calculated via



factorizable

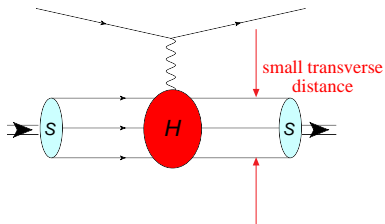
nonfactorizable

Factorizable and non-factorizable?



- quarks can be treated as collinear

Factorizable and non-factorizable?

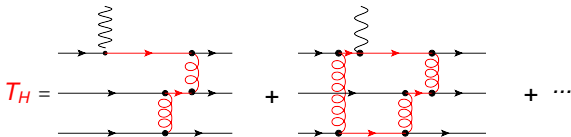


- quarks can be treated as collinear
- can be factorized in **hard** and soft part

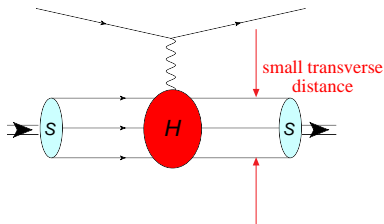
$$F_1(Q^2) \sim \phi_N \otimes T_H \otimes \phi_N$$

- formally leading in $\frac{1}{Q^2}$

- T_H perturbatively calculable partonic amplitude



Factorizable and non-factorizable?

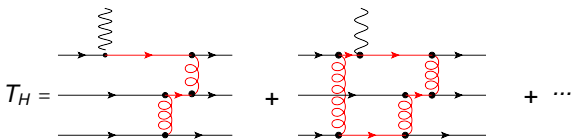


- quarks can be treated as collinear
- can be factorized in hard and **soft** part

$$F_1(Q^2) \sim \phi_N \otimes T_H \otimes \phi_N$$

- formally leading in $\frac{1}{Q^2}$

- T_H perturbatively calculable partonic amplitude



- ϕ_N leading distribution amplitude
 - ▶ describes longitudinal momentum distribution for lowest Fock-state



What is the problem?

- formally leading part suppressed by $(\frac{\alpha_s}{\pi})^2 \sim 0.01$
- fails miserably to describe experimental data
- non-factorizable part dominant at current energies
- non-factorizable part cannot be reduced to simpler quantities
exception: Duncan, Mueller part
- cannot be calculated from first principles



What are the solutions?

- calculate overlap integral of baryon wave functions with model functions
quark models, ADS/QCD
- TMD factorization with Sudakov suppression of large transverse distances
models for TMDs, complicated nonperturbative input
- calculate everything in terms of distribution amplitudes using dispersion relations and duality
LCSRs
- calculate parameters from first principles on the lattice
Only few parameters possible



What are the solutions?

- calculate overlap integral of baryon wave functions with model functions
quark models, ADS/QCD
- TMD factorization with Sudakov suppression of large transverse distances
models for TMDs, complicated nonperturbative input
- calculate everything in terms of distribution amplitudes using dispersion relations and duality
LCSRs
- calculate parameters from first principles on the lattice
Only few parameters possible

TMDs vs. DAs

- s-wave light-cone wave function

$$|P \uparrow\rangle^{Lz=0} = \int \frac{[dx][d^2\vec{k}]}{12\sqrt{x_1 x_2 x_3}} \psi^{L=0}(x_i, \vec{k}_i) \\ \times \{ |u^\uparrow(x_1, \vec{k}_1) u^\downarrow(x_2, \vec{k}_2) d^\uparrow(x_3, \vec{k}_3)\rangle - |u^\uparrow(x_1, \vec{k}_1) d^\downarrow(x_2, \vec{k}_2) u^\uparrow(x_3, \vec{k}_3)\rangle \}$$

- leading twist distribution amplitude

$$\phi_N(x_1, x_2, x_3; \mu) = 2 \int^\mu [d^2\vec{k}] \psi^{L=0}(x_1, x_2, x_3, \vec{k}_1, \vec{k}_2, \vec{k}_3)$$

- defined as

$$\epsilon^{ijk} \langle 0 | u_\alpha^i(z_1) u_\beta^j(z_2) d_\gamma^k(z_3) | N(P) \rangle \sim (CP)_{\alpha\beta} N_\gamma \int e^{iP \cdot (x_1 z_1 + x_2 z_2 + x_3 z_3)} \phi_N(x_i; \mu)$$

- can be expanded using OPE

$$\phi_N(x_i; \mu) = 120 f_N x_1 x_2 x_3 [1 + \varphi_{10}(\mu)(x_1 - 2x_2 + x_3) + \varphi_{11}(\mu)(x_1 - x_3) \\ + \dots]$$

$\varphi_{ij}(\mu)$ shape parameters, related to local operators



What are light-cone sum rules?

- ▷ use analyticity, operator product expansion and quark-hadron duality
- ▷ calculate non-factorizable and factorizable part in terms of same nucleon DAs
- ▷ no double counting
- ▷ quark-hadron duality is only model assumption
- ▷ systematic improvement possible

though limited accuracy ~ 10-20 %

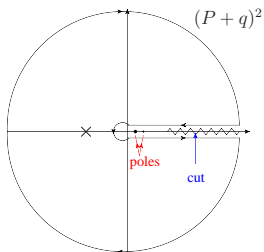


Dispersion relations?

- correlation function is analytic

$$T_{\mu}(P, q) = \int d^4 x e^{iqx} \langle 0 | T \{ \eta(0) j_{\mu}^{em}(x) \} | N(P) \rangle$$

- except on positive real axis



Dispersion relations?

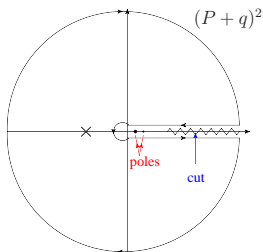
- correlation function is analytic

$$T_\mu(P, q) = \int d^4x e^{iqx} \langle 0 | T \{ \eta(0) j_\mu^{em}(x) \} | N(P) \rangle$$

- except on positive real axis

- away from positive real axis it can be expanded around the light cone

$$\int d^4x e^{iqx} \langle 0 | \epsilon^{ijk} T \{ u^j (C \gamma_\nu) u^i (\gamma_5 \gamma^\nu d^k)_\gamma (e_u \bar{u} \gamma_\mu u + e_d \bar{d} \gamma_\mu d)(x) \} | N(P) \rangle$$



Dispersion relations?

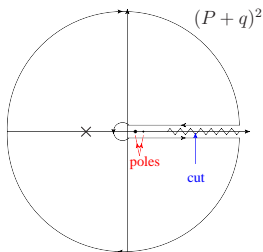
- correlation function is analytic

$$T_\mu(P, q) = \int d^4x e^{iqx} \langle 0 | T \{ \eta(0) j_\mu^{em}(x) \} | N(P) \rangle$$

- except on positive real axis

- away from positive real axis it can be expanded around the light cone

$$\int d^4x e^{iqx} \langle 0 | \epsilon^{ijk} T \{ u^j (C \gamma_\nu) u^j (\gamma_5 \gamma^\nu \mathbf{d}^k)_\gamma (e_u \bar{u} \gamma_\mu u + e_d \bar{d} \gamma_\mu d)(x) \} | N(P) \rangle$$



Dispersion relations?

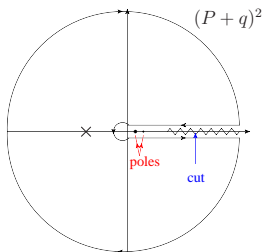
- correlation function is analytic

$$T_\mu(P, q) = \int d^4x e^{iqx} \langle 0 | T \{ \eta(0) j_\mu^{em}(x) \} | N(P) \rangle$$

- except on positive real axis

- away from positive real axis it can be expanded around the light cone

$$e_d \int d^4x e^{iqx} (C\gamma_\nu)_{\alpha\beta} (\gamma_5 \gamma^\nu \frac{-i \not{x}^T}{2\pi^2 x^4} \gamma_\mu)_{\gamma\delta} \langle 0 | \epsilon^{ijk} u_\alpha^i u_\beta^j d_\delta^k(x) | N(P) \rangle$$



Dispersion relations?

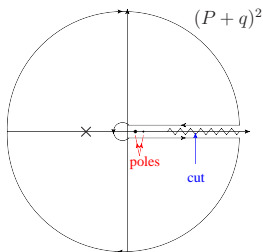
- correlation function is analytic

$$T_{\mu}(P, q) = \int d^4 x e^{iqx} \langle 0 | T \{ \eta(0) j_{\mu}^{em}(x) \} | N(P) \rangle$$

- except on positive real axis

- away from positive real axis it can be expanded around the light cone

$$e_d \int d^4 x e^{iqx} (C\gamma_{\nu})_{\alpha\beta} (\gamma_5 \gamma^{\nu} \frac{-i \not{x}^T}{2\pi^2 x^4} \gamma_{\mu})_{\gamma\delta} \langle 0 | \epsilon^{ijk} u_{\alpha}^i u_{\beta}^j d_{\delta}^k(x) | N(P) \rangle$$



Dispersion relations?

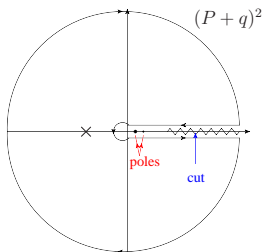
- correlation function is analytic

$$T_{\mu}(P, q) = \int d^4 x e^{iqx} \langle 0 | T \{ \eta(0) j_{\mu}^{em}(x) \} | N(P) \rangle$$

- except on positive real axis

- away from positive real axis it can be expanded around the light cone

$$T_{\mu}(P, q) = \sum_t \int [dx] (C_{\mu}^t(x_i, P, P+q))_{\gamma\delta} \phi_N^t(x_i) N_{\delta}$$

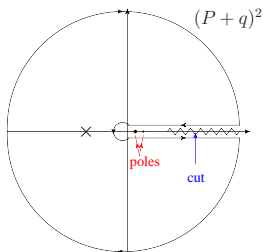


Dispersion relations?

- correlation function is analytic

$$T_\mu(P, q) = \int d^4x e^{iqx} \langle 0 | T \{ \eta(0) j_\mu^{em}(x) \} | N(P) \rangle$$

- except on positive real axis



- away from positive real axis it can be expanded around the light cone

$$P_+ z^\mu T_\mu(P, q) = \sum_t \int [dx] (m_N A^t(x_i, Q^2, (P+q)^2) + \not{q}_\perp B^t(x_i, Q^2, (P+q)^2)) \phi_N^t(x_i) N^+$$

- and analytically continued to positive $(P+q)^2$ (modulo subtractions)

$$\int_0^\infty \frac{ds}{s - (P+q)^2} \int [dx] \text{Im} (m_N A^t(x_i, Q^2, s) + \not{q}_\perp B^t(x_i, Q^2, s)) \phi_N^t(x_i) N_\gamma^+ - \text{subtractions}$$



Hadronic Sum

$$P_+ z^\mu T_\mu(P, q) = P_+ \int d^4 x e^{iqx} \langle 0 | T \{ \eta(0) j_z^{em}(x) \} | N(P) \rangle$$

$$\sum_h \int \frac{d^3 p}{2p_0 (2\pi)^3} |h(\vec{p})\rangle \langle h(\vec{p})|$$

$$f_N \frac{m_N F_1(Q^2) + \not{q} F_2(Q^2)}{m_N^2 - (P+q)^2} N^+ + \int \frac{ds}{s - (P+q)^2} \rho(s, Q^2) N^+$$

- Combining hadronic sum and OPE result

$$\int_0^\infty \frac{ds}{s - (P+q)^2} \int [dx] \text{Im} A^t(x_i, Q^2, s) \phi_N^t(x_i) = \frac{f_N F_1(Q^2)}{m_N^2 - (P+q)^2} + \int_{s_h}^\infty \frac{ds}{s - (P+q)^2} \rho(s, Q^2)$$

- need some assumption to extract $F_1(Q^2)$ or $F_2(Q^2)$

Quark-Hadron-Duality

Some details on Duality

- Assume that

$$\int_{s_0}^{\infty} \frac{ds}{s - (p+q)^2} \quad \begin{array}{c} \text{P} \\ \nearrow \\ \text{---} \\ \searrow \\ \text{O} \\ \nearrow \\ \text{P}' \end{array} \quad \sim \quad \sum_H \int_{s_H}^{\infty} \frac{ds}{s - (p+q)^2} \quad \begin{array}{c} \text{---} \\ \searrow \\ \text{H} \\ \nearrow \\ \text{H} \end{array}$$

- leads to

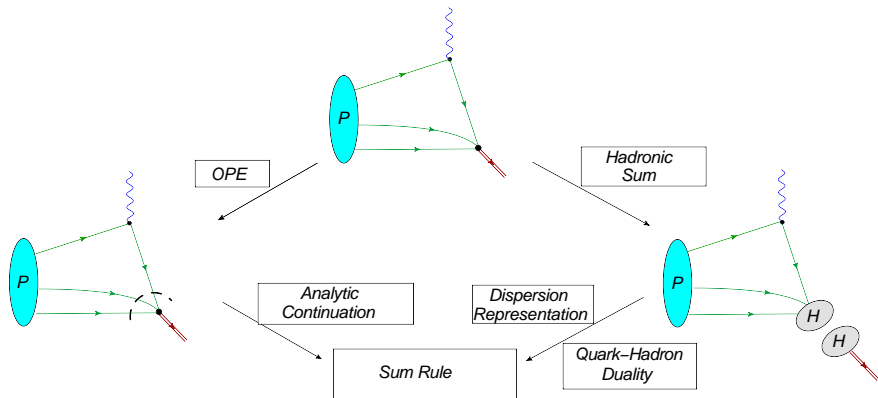
$$\int_0^{s_0} \frac{ds}{s - (p+q)^2} \quad \begin{array}{c} \text{P} \\ \nearrow \\ \text{---} \\ \searrow \\ \text{O} \\ \nearrow \\ \text{P}' \end{array} = f_N \frac{1}{m_N - (p+q)^2} \quad \begin{array}{c} \text{---} \\ \searrow \\ \text{N} \\ \nearrow \\ \text{N} \end{array}$$

- so that

$$\frac{f_N F_1(Q^2)}{m_N^2 - (P+q)^2} = \int_0^{s_0} \frac{ds}{s - (P+q)^2} \int [DX] \sum_t \text{Im} A^t(x_i, s, Q^2, \mu^2) \phi_t(x_i, \mu^2)$$

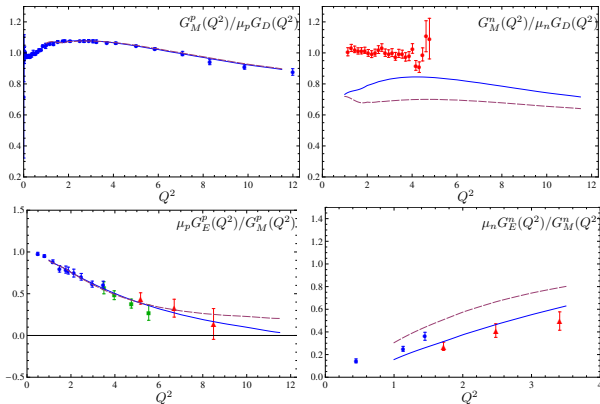
Procedure schematically

$$T_\mu(P, q) = i \int d^4x e^{iq \cdot x} \langle 0 | T \{ \eta(0) j_\mu(x) \} | P \rangle$$



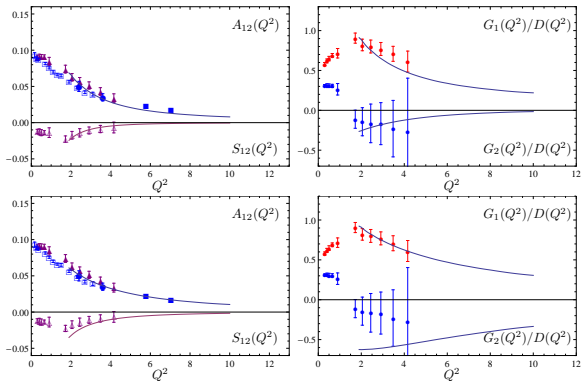
$$F_1(Q^2) = \int_0^{s_0} ds e^{\frac{s-m_N^2}{M^2}} \int [DX] \sum_t \text{Im} A^t(x_i, s, Q^2, \mu^2) \phi_t(x_i, \mu^2)$$

How does it compare to experiment N?



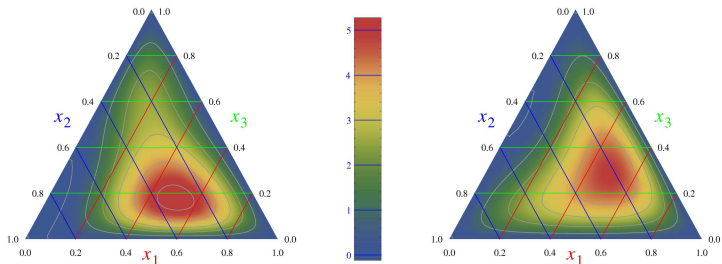
- fits to proton data

How does it compare to experiment N^* ?



- upper line: fit only to Clas data
- lower line: fit to all available data on helicity amplitudes

What do we learn N?



- leading distribution amplitude can be extracted with 10-20% accuracy
- leading twist distribution amplitude peaks at

$$40\% : 30\% : 30\%$$
- orbital angular momentum contributions are important



What do we learn N^* ?

Method	$\lambda_1^{N^*} / \lambda_1^N$	$f_{N^*} / \lambda_1^{N^*}$	φ_{10}	φ_{11}	φ_{20}	φ_{21}	φ_{22}	η_{10}	η_{11}
LCSR (1)	0.633	0.027	0.36	-0.95	0	0	0	0.00	0.94
LCSR (2)	0.633	0.027	0.37	-0.96	0	0	0	-0.29	0.23
LATTICE	0.633(43)	0.027(2)	0.28(12)	-0.86(10)	1.7(14)	-2.0(18)	1.7(26)	-	-

Parameters of the N^* distribution amplitude at $\mu^2 = 2 \text{ GeV}^2$

- results nearly insensitive to leading twist 3 distribution amplitude
 - related to small value of $f_{N^*} \sim 0.7 \cdot 10^{-3} \text{ GeV}^2$
- dominated by twist 4 DAs related to orbital angular momentum
- mass corrections very important at low Q^2
- $qqqG$ -Fock states not taking into account
- need larger Q^2 fit region to reliably extract shape parameters of DAs



What has been done?

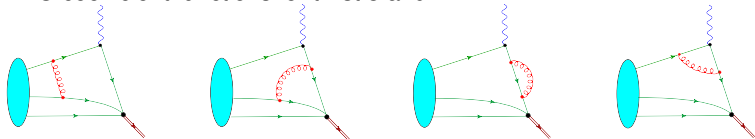
- consistent renormalization scheme for three-quark operators

Krankl, Manashov

- light-cone expansion of three-quark operators with generic coordinates

Anikin, Braun, Offen

- NLO coefficient functions for twist 3 and 4



Anikin, Braun, Offen

- nucleon mass corrections for all twists

Anikin, Manashov

- nucleon electromagnetic form factors

- N^* form factors

Anikin, Braun, Offen



What else can be done/improved?

What can be done?

- Roper resonance and $N^*(1650)$ in principle no problem
 - but constraints from lattice helpful
- axial form factors
- threshold pion production at large momentum transfer
- $\Lambda_{b,c} \rightarrow N^*$ or other heavy baryon decays first estimate gives $\text{BR}(\Lambda_b \rightarrow N^* l \nu) \sim \frac{1}{6} \text{BR}(\Lambda_b \rightarrow N l \nu)$

What improvements are possible?

- m_N^2 -corrections (complete resummation of m_N corrections?)
- inclusion of $qqqG$ -Fock state distribution amplitudes

Conclusions

- light cone sum rules give most direct connection of experimental data and distribution amplitudes
- only minimal model assumption
 - Quark-Hadron-duality
- large contribution of angular momentum
 - important for $N(940)$
 - dominant for $N^*(1535)$
- qualitatively different distribution amplitudes for $N(940)$ and $N^*(1535)$
- extension to heavier resonances possible
- more data from experiment and lattice are needed

Example of Coefficient functions

$$\begin{aligned}
 x_2 C_4^{\mathcal{N}^*}(x_1) &= \\
 &= -2x_2x_3[3(L-2)g_1(x_3) + 2(L-1)g_{11}(x_3, x_3) + g_{21}(x_3, x_3)] + [2x_2 + (4L-3)x_3]h_{11}(x_3) + (3-4L)x_1h_{11}(x_1) \\
 &+ 2x_3h_{21}(x_3) - 2x_1h_{21}(x_1) - 2[3(x_3/x_3)(2L-3) + 5L-7]h_{12}(x_3) + 2(5L-7)h_{12}(x_1) - [6(x_2/x_3) + 5]h_{22}(x_3) \\
 &+ 5h_{22}(x_1) + (6/x_3)(L-2)h_{13}(x_3) - (6/x_1)(L-2)h_{13}(x_1) + (3/x_3)h_{23}(x_3) - (3/x_1)h_{23}(x_1), \quad (\text{E.1})
 \end{aligned}$$

$$\begin{aligned}
 x_1x_3C_4^{\mathcal{N}^*}(x_2) &= \\
 &= x_1x_2x_3[(17-7L)g_1(x_2) + (1+2L)g_{11}(x_1, x_2) + 2(2L-3)g_{11}(x_3, x_2) + 2(5-7L)g_{11}(x_2, x_2) + g_{21}(x_1, x_2) \\
 &+ 2g_{21}(x_3, x_2) - 7g_{21}(x_2, x_2)] - x_1x_3[(1+2L)h_{11}(x_1) + 2(2L-3)h_{11}(x_3) + 2(5-7L)h_{11}(x_2)] \\
 &+ (1+2L)x_1h_{12}(x_1) + 4x_3h_{12}(x_3) - [4x_3 + (x_1/x_3)]x_2(1+2L) + 4x_3(4-L)]h_{12}(x_2) - 2(L-2)(x_1/x_1)h_{13}(x_1) \\
 &+ 2(2L-7)(x_3/x_3)h_{13}(x_3) + (1/x_2)[2(L-2)x_1 + 2(7-2L)x_3]h_{13}(x_2) - x_1x_3[h_{21}(x_1) + 2h_{21}(x_3) - 7h_{21}(x_2)] \\
 &+ x_1h_{22}(x_1) + x_1[2(x_3/x_2) - 1]h_{22}(x_2) - (x_1/x_1)h_{23}(x_1) + 2(x_3/x_3)h_{23}(x_3) + [(x_1-2x_3)/x_2]h_{23}(x_2), \quad (\text{E.2})
 \end{aligned}$$

$$\begin{aligned}
 x_2C_5^{\mathcal{N}^*}(x_3) &= \\
 &= 2x_2x_3[(5-3L)g_1(x_3) + (3-4L)g_{11}(x_1, x_3) + 2(2L-1)g_{11}(x_3, x_3) - 2g_{21}(x_1, x_3) + 2g_{21}(x_3, x_3)] \\
 &+ 2(4L-3)(2x_2 + x_3)h_{11}(x_1) + [8(1-2L)x_2 + 2(3-4L)x_3]h_{11}(x_3) + 4(2x_2 + x_3)[h_{21}(x_1) - h_{21}(x_3)] \\
 &+ 6(3-4L)h_{12}(x_1) + 6[4L-3 + 4(x_2/x_3)(L-1)]h_{12}(x_3) - 12h_{22}(x_1) + 12(x_1/x_3)h_{22}(x_3) \\
 &+ (4/x_3)(2L-1)h_{13}(x_1) - (4/x_3)(2L-1)h_{13}(x_3) + (4/x_1)h_{23}(x_1) - (4/x_3)h_{23}(x_3), \quad (\text{E.3})
 \end{aligned}$$

$$\begin{aligned}
 x_1x_3C_5^{\mathcal{N}^*}(x_2) &= \\
 &= 2x_1x_2x_3[5(L-3)g_1(x_2) + 2(1-2L)g_{11}(x_1, x_2) + (5-4L)g_{11}(x_3, x_2) + 2(8L-5)g_{11}(x_2, x_2) \\
 &- 2g_{21}(x_1, x_2) - 2g_{21}(x_3, x_2) + 8g_{21}(x_2, x_2)] + 2x_3[6(L-8)x_1 + (2L-3)x_2]h_{11}(x_3) \\
 &+ 4x_1[Lx_2 + (3L-1)x_3]h_{11}(x_1) - 2[4x_1x_3(5L-3) + x_2x_3(2L-3) + 2x_1x_2L]h_{11}(x_2) \\
 &+ 2x_1(x_2 + 3x_3)h_{21}(x_1) + 2x_3(3x_1 + x_2)h_{21}(x_3) - 2[10x_1x_3 + x_2x_3]h_{21}(x_2) - 4(3+2L)x_1h_{12}(x_1) \\
 &+ 2x_3(15-8L)h_{12}(x_3) + 2(x_3/x_2)[4(L-1)x_1 + (8L-15)x_2]h_{12}(x_2) + 4(x_1/x_2)[(3+2L)x_2 + 6x_3]h_{12}(x_2) \\
 &- 4x_1h_{22}(x_1) - 8x_3h_{22}(x_3) + (4/x_2)[2x_2x_3 + x_1x_3]h_{22}(x_2) + 12(x_1/x_1)h_{13}(x_1) + 8(x_3/x_3)(L-2)h_{13}(x_3) \\
 &- (4/x_2)[3x_1 + 2x_3(L-2)]h_{13}(x_2) + 4(x_3/x_3)h_{23}(x_3) - 4(x_3/x_2)h_{23}(x_2), \quad (\text{E.4})
 \end{aligned}$$

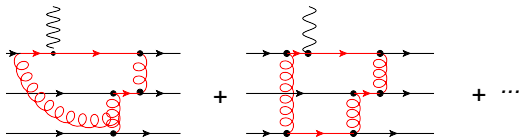
$$\begin{aligned}
 x_1x_2C_6^{\mathcal{N}^*}(x_3) &= \\
 &= -8x_1x_2g_1(x_3) + 2x_2^2[4(L-2) + (2L-5)x_1]g_1(x_2) - 4x_2^2[(L-3) + (2L-3)x_1]g_{11}(x_2, x_2) \\
 &+ 2x_2^2(1+2x_1)[2(L-1)g_{11}(x_2, x_2) - g_{21}(x_3, x_2) + g_{21}(x_2, x_2)] + 4x_2[(L-3) + (2L-3)x_1]h_{11}(x_3) \\
 &- 2x_2(1+2x_1)[2(L-1)h_{11}(x_2) - h_{21}(x_3) + 2h_{21}(x_2)] + 2x_2[4(L-12)/x_3 + (4L-13)/x_1 - 4]h_{12}(x_3) \\
 &+ 2[4(1+x_2-L) + (x_2/x_1)(13-4L)]h_{12}(x_2) + 4[1 - (x_1/x_3) + (x_2/x_1)]h_{22}(x_3) - 4[1 + (x_2/x_1)]h_{22}(x_2) \\
 &+ 2[(x_1/x_3^2)(8L-25) - 2(x_2/x_3)(2L-7) - (1/x_1)(8L-25)]h_{13}(x_3) + 2[2(2L-7) + (1/x_1)(8L-25)]h_{13}(x_2) \\
 &+ 4[2(x_1/x_3^2) - (x_2/x_3) - (2/x_1)]h_{23}(x_3) + 4[1 + (2/x_1)]h_{23}(x_2), \quad (\text{E.5})
 \end{aligned}$$

Perturbative part of F_1

- next to leading order calculation in progress

$$F_1(Q^2) = \frac{1}{Q^4} \int [dx_i] \int [dy_i] \phi_N(x_i; \mu) T_H(x_i, y_i; \mu) \phi_N(y_i; \mu)$$

- 1890 diagrams with up to seven legs needed



- renormalization for asymptotic distribution amplitude done
- some tricks to avoid overlapping phase space divergences still needed