

From Resonance Extraction to LQCD
and
N* Excitations of Neutron

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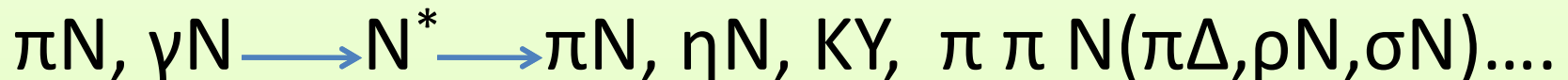
Extraction of Nucleon resonances

Why ?

- N^* are unstable and coupled with meson-baryon continuum to form nucleon resonances



- N^* can only be studied by extracting resonances from the data of meson production reactions:



Theoretical formulation of Resonances:

(Gamow, Peierls, Dalitz, Moorhouse, Bohm....)

Resonances are the **eigenstates** of the Hamiltonian of the underlying fundamental theory with **outgoing-wave** boundary condition :

$$H |\psi_R\rangle = E_R |\psi_R\rangle; \quad \psi_R(r) \xrightarrow{r \rightarrow \infty} \frac{e^{ikr}}{r}$$

$$M_R - i M_I = [m_M^2 + k^2]^{1/2} + [m_B^2 + k^2]^{1/2}$$



Scattering amplitude:

$$T(E \rightarrow E_R) \longrightarrow \frac{\Gamma_R}{E - E_R}$$

Pole on **complex-E-plane**

Procedures of Resonance Extraction

1. Determine partial-wave amplitudes (PWA) from the available data
2. Extract resonances by analytic continuation of PWA to complex-E plane

Determination of PWA

Theorem :

PWA can be determined up to an overall **phase** from data of **all** observables from **complete** experiment

Ideal situation: Perform **complete** experiments

A complete measurement of $\gamma N \rightarrow \pi N, K\Lambda$:

$d\sigma/d\Omega, T, P, \Sigma$ (un-polarized γ)

$O_{x'}, O_{z'}$ (linear-polarized γ)

$C_{x'}, C_{z'}$ (circular-polarized γ)

at **all** angles at each energy

Reality :

1. Data are **incomplete**
2. Even data are **complete**, many solutions are possible in determining **PWA**

Intrinsic difficulty:

bi-linear relations: $d\sigma/d\Omega = |f^R(\Theta) + i f^I(\Theta)|^2$

Example:

Study **CLAS** data of **8** observables of $\gamma p \rightarrow K \Lambda$

Treat E_{L+} , E_{L-} , M_{L+} , M_{L-} as parameters to fit the data by Monte-Carlo sampling

Sandorfi, Hoblit, Kamano, Lee, J. of Phys. G38, 053001 (2011)

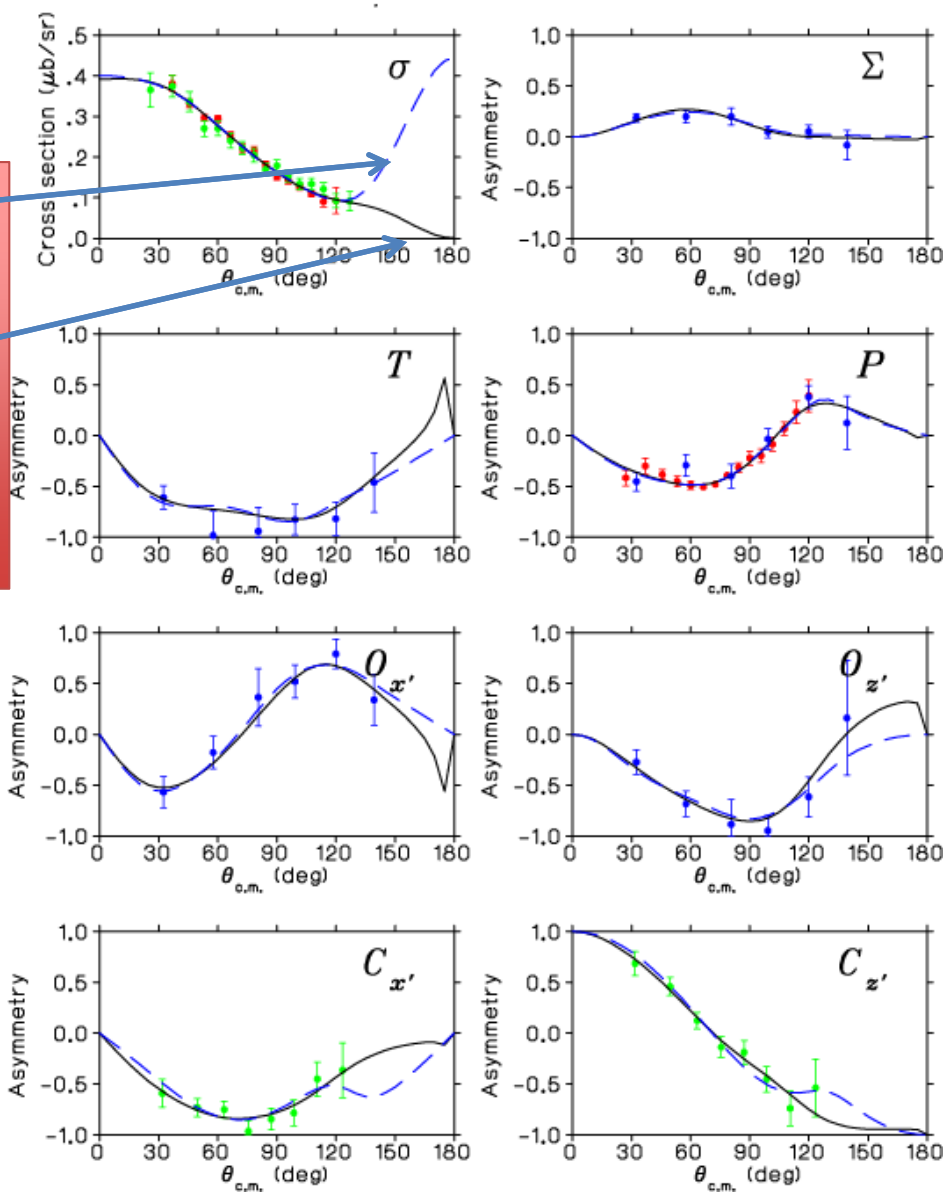
Monte-Carlo Fits (Sandorfi, Hobit, Kamano, Lee, J. of Phys. (2011))

$\chi^2 / \text{data} = 0.62$

$\chi^2 / \text{data} = 0.59$

Many solutions in determining PWA

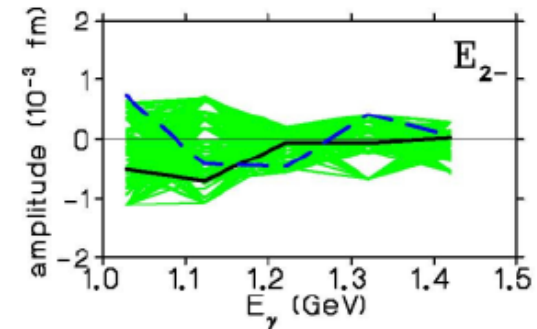
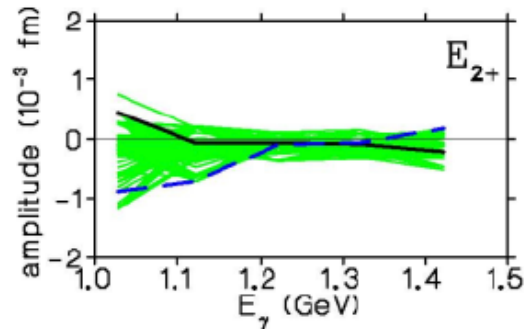
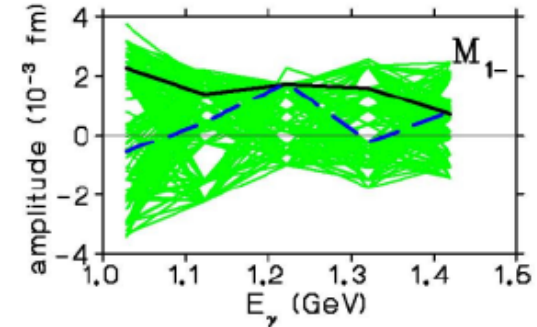
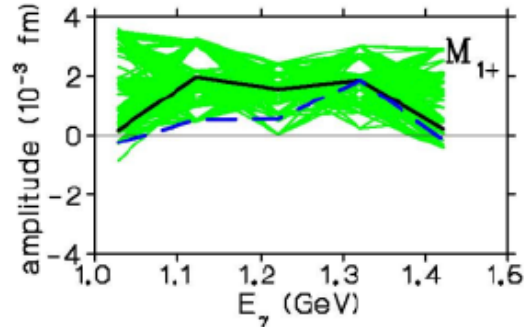
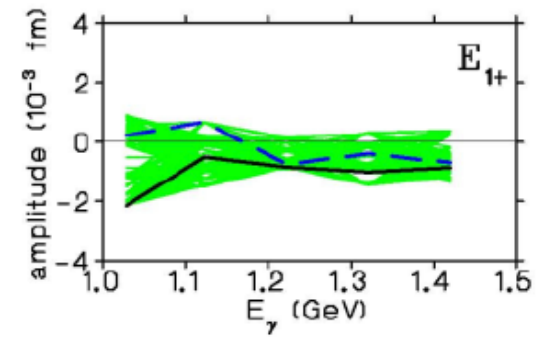
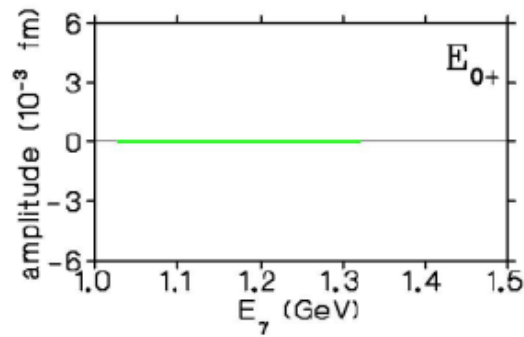
CLAS data of $\gamma p \rightarrow K \Lambda$



Impose constraint

Set $E_{0+} = 0$

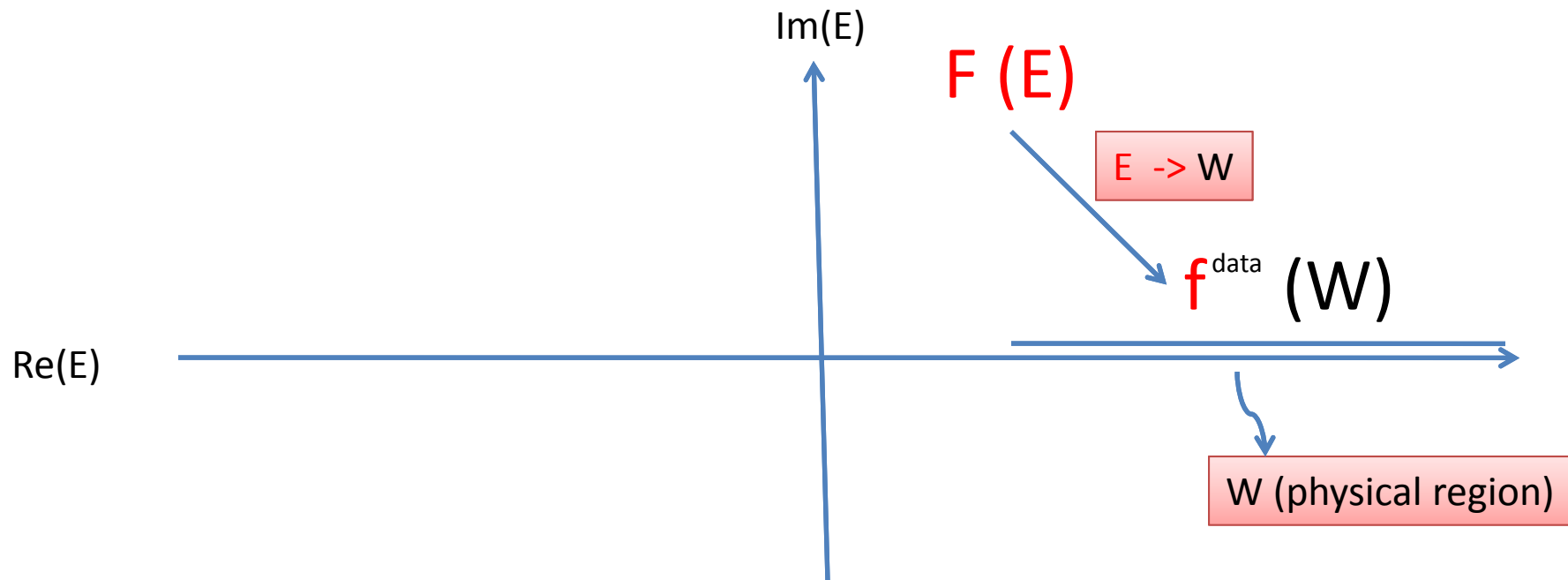
PWA with $L > 3$ are fixed by Born terms



Need **theoretical constraints** in determining **PWA** from data

Next Step:

- Use analytic functions $F(E)$ to fit the determined PWA $f^{\text{data}}(W)$ in the $E = W$ physical region.
- Extract resonance poles and residues from $F(E)$



Important **condition**:

The extracted resonance parameters should be **independent** of the **parameterization** of $F(E)$



To check this condition, study $\pi\pi \rightarrow \pi\pi, K\bar{K}$ reactions with three models for $F(E)$

Wu, Lee (2014)

PWA of a dynamical model of $\pi\pi \rightarrow \pi\pi, K\bar{K}$

$$T_{i,j}(E) = h_i(k_i)\tau(E)h_j(k_j) + \frac{g_i(k_i)g_j(k_j)}{E - m_0 - \Sigma(E)}$$

$\tau(E), \Sigma(E)$: determined by $h_i(k_i), g_i(k_i)$

$i, j = \pi\pi, K\bar{K}$

Parameterizations of H'

Model A

$$g(k) = 1/(1+(ck)^2)$$

$$h(k) = 1/(1+(dk)^2)^2$$

Model B

$$g(k) = 1/(1+(ck)^2)^2$$

$$h(k) = 1/(1+(dk)^2)^4$$

Model C

$$g(k) = \exp(-(ck)^2)$$

$$h(k) = \exp(-(dk)^2)$$

Adjust parameters **c, d, and m_0**



PWA from models A, B and C
agree within **1%**



Compare the extracted **poles and residues**
of resonances

PWA from models A, B, and C

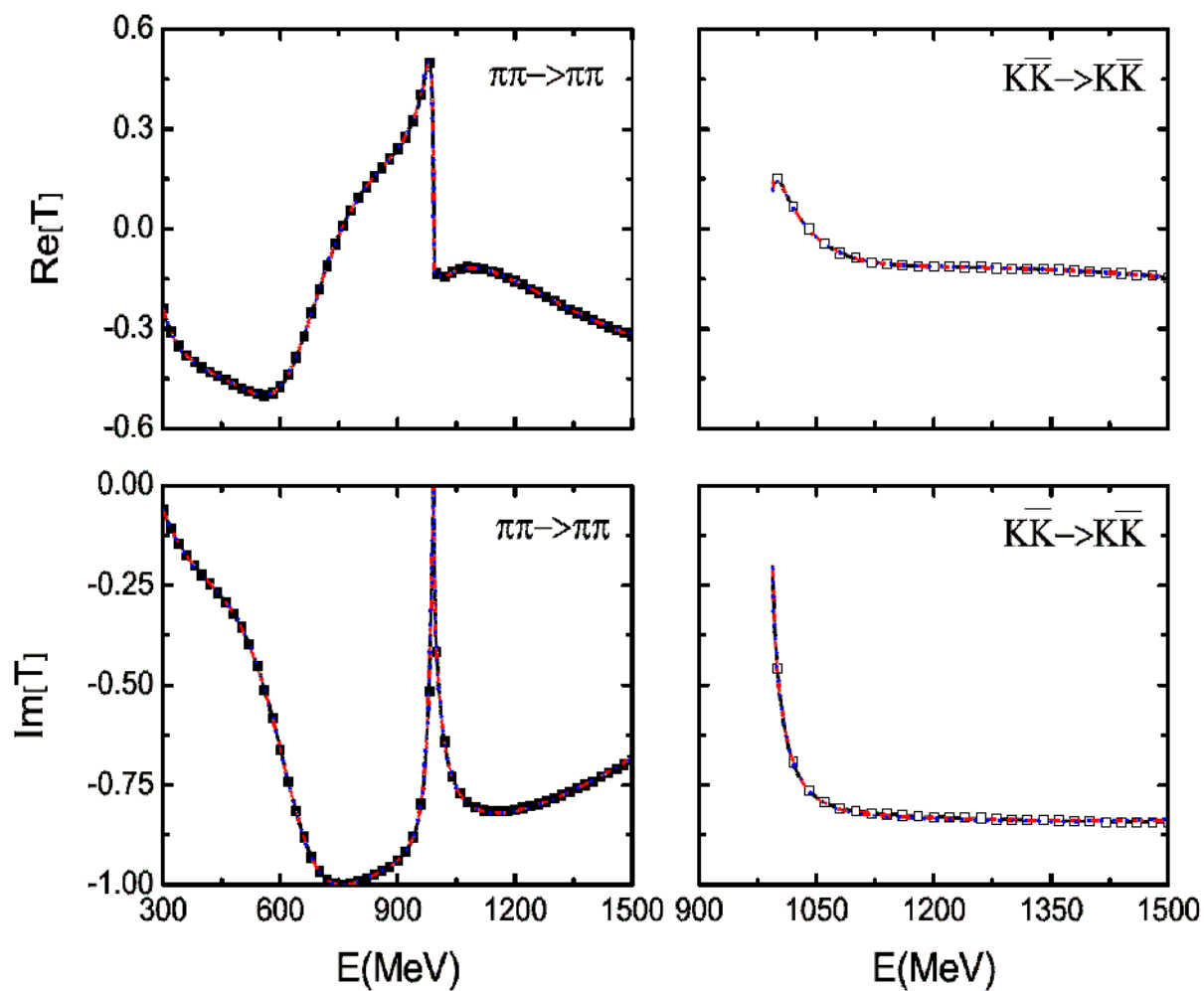




TABLE II: The pole positions and residue of Models I-A, I-B, I-C.

Model	Pole Position(MeV)	Residue of $\pi\pi$	Residue of $K\bar{K}$
II sheet-1		$\times 10^{-4}$	
I-A	639.3 - i 158.9	5.295 - i 2.153	-
I-B	637.8 - i 159.9	5.368 - i 2.285	-
I-C	634.5 - i 156.2	5.076 - i 2.556	-
II sheet-2		$\times 10^{-5}$	$\times 10^{-5}$
I-A	1000.30 - i 8.89	-3.514 - i 3.088	1.822 + i 33.81
I-B	1000.14 - i 8.88	-3.493 - i 3.111	2.140 + i 34.62
I-C	1000.04 - i 8.83	-3.467 - i 3.162	2.955 + i 35.39


Poles


Residues

Models A, B, and C agree well

Finding:

If **PWA** have **no error** and are fitted **perfectly**



The extracted resonance parameters
are **independent** of the **parameterization** of $F(E)$

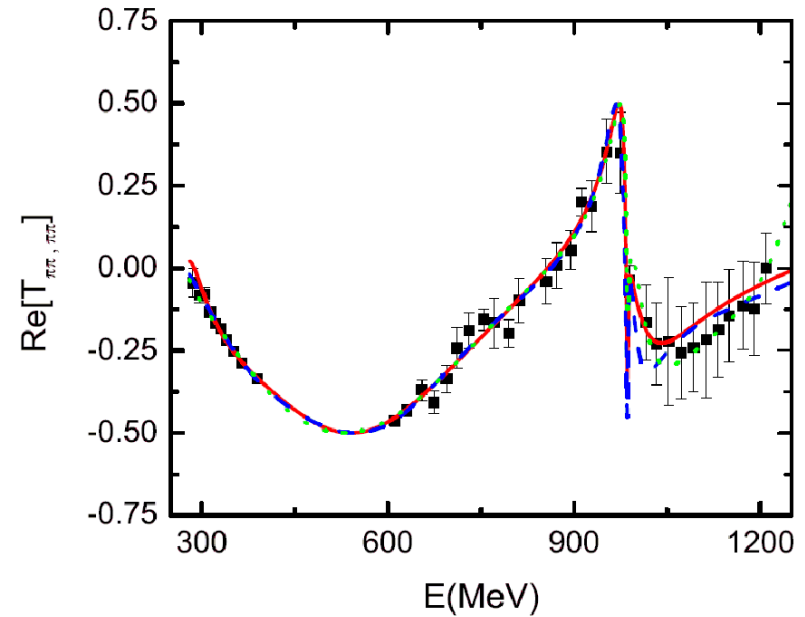
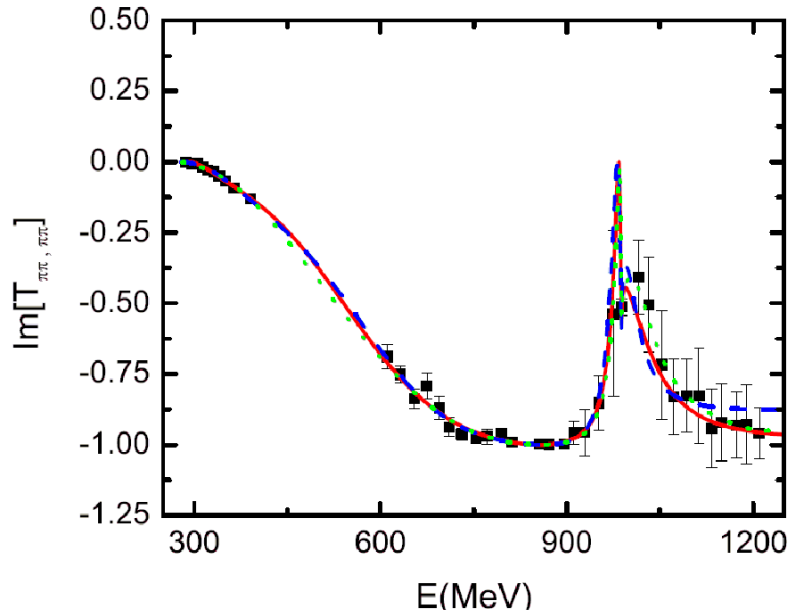
Reality :

- Determined $\pi\pi \rightarrow \pi\pi$ PWA have errors
- $\overline{K}K \rightarrow \overline{K}K$ PWA are not available

Finding :

The extracted resonance parameters depend on the parameterization of amplitudes


Fits of the current $\pi\pi \rightarrow \pi\pi$ data



Models A, B, and C get **equally good fits**

TABLE VI: The pole positions and residue of Models II-A, II-B, II-C.

Model	χ^2	Pole Position(MeV)	Residue of $\pi\pi$	Residue of $K\bar{K}$
II sheet-1				
II-A	40	$523.7 - i264.6$	$\left. \begin{matrix} \times 10^{-4} \\ 10.78 - i9.323 \end{matrix} \right\}$	—
II-B	36	$597.0 - i217.1$	$\left. \begin{matrix} 6.157 - i3.573 \end{matrix} \right\}$	← —
II-C	43	$672.3 - i292.0$	$\left. \begin{matrix} 5.753 + i2.102 \end{matrix} \right\}$	—
II sheet-2				
II-A		$992.7 - i9.73$	$\times 10^{-5}$ $-6.356 - i3.709$	$\left. \begin{matrix} \times 10^{-4} \\ -10.83 + i0.3889 \end{matrix} \right\}$
II-B		$986.6 - i15.25$	$-6.284 - i1.020$	$\left. \begin{matrix} 4.588 + i7.788 \end{matrix} \right\}$
II-C		$998.5 - i11.21$	$-8.870 - i0.9770$	$\left. \begin{matrix} -15.51 + i2.208 \end{matrix} \right\}$



Do not agree well



Reliable amplitude determinations
and resonance extractions (**poles, residues**)
must include **theoretical constraints**



ANL-Osaka approach:
Implement meson-exchange mechanisms within
Hamiltonian Formulation of reactions

Outcome of ANL-Osaka analysis:

- a. PWA of $\pi N, \gamma N \rightarrow \pi N, \eta N, KY, \pi\pi N(\pi\Delta, \rho N, \sigma N)$
- b. Poles and $N-N^*$ form factors of N^* up to $W=2$ GeV

Will be reported by Hiroyuki Kamano

Outcome of ANL-Osaka analysis:

c. A determined Hamiltonian:

1. Provide **interpretations** of N^* :

Example:

Q^2 -dependence of meson cloud effects

within Constituent Quark model

Dyson-Schwinger model

.....

2. New direction : generate data to test **LQCD**

ANL-Osaka Hamiltonian

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graph TD; A[ANL-Osaka Hamiltonian] --> B[Finite-Volume Hamiltonian Method of Adelaide]; B --> C[Predict spectrum in a finite volume]; C --> D[Test spectrum from LQCD];
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Finite-Volume Hamiltonian Method of **Adelaide**

Predict spectrum in a finite volume

Test spectrum from LQCD

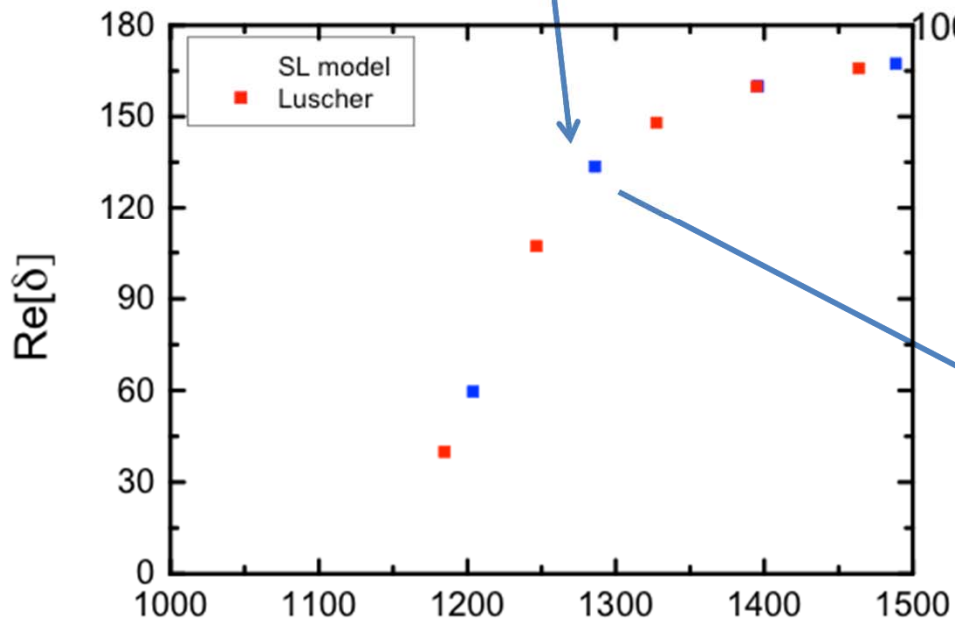
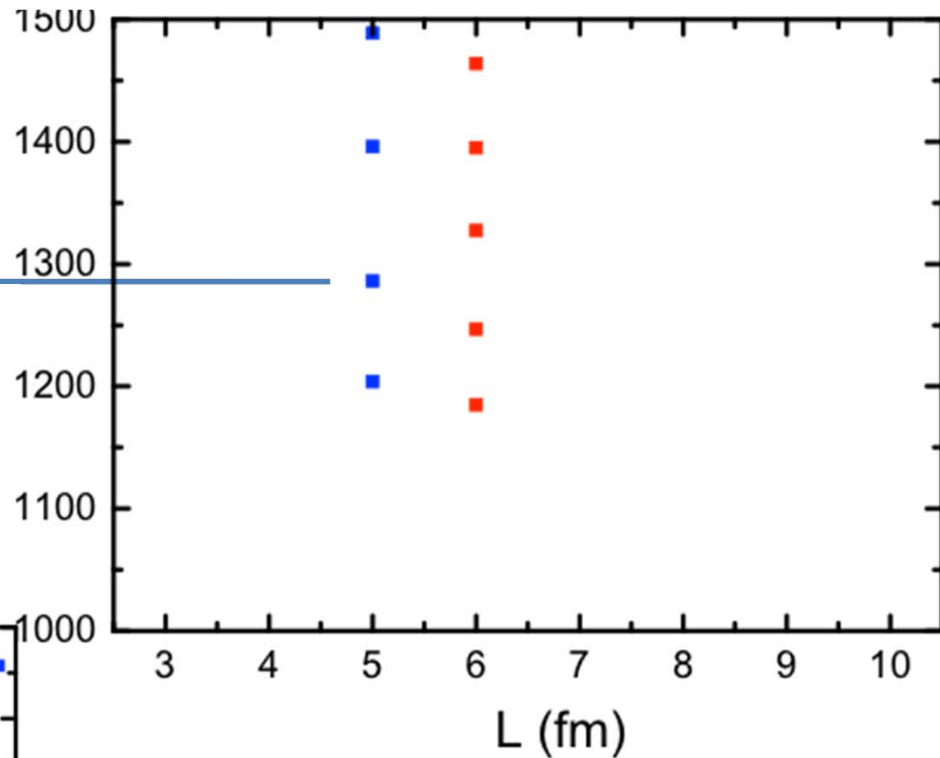
Adelaide's Finite-Volume Hamiltonian Method

J. M. M. Hall, A. C.-P. Hsu, D. B. Leinweber, A. W. Thomas and R. D. Young,
Phys. Rev.D 87, 094510 (2013)

- a method to relate the
Experimental data to **LQCD**
- In **one-channel** case, it is equivalent to the approach based on **Lüscher's** formula

Current approach

Lüscher relation



Spectrum from LQCD with $L=5,6$ fm

Compare with data

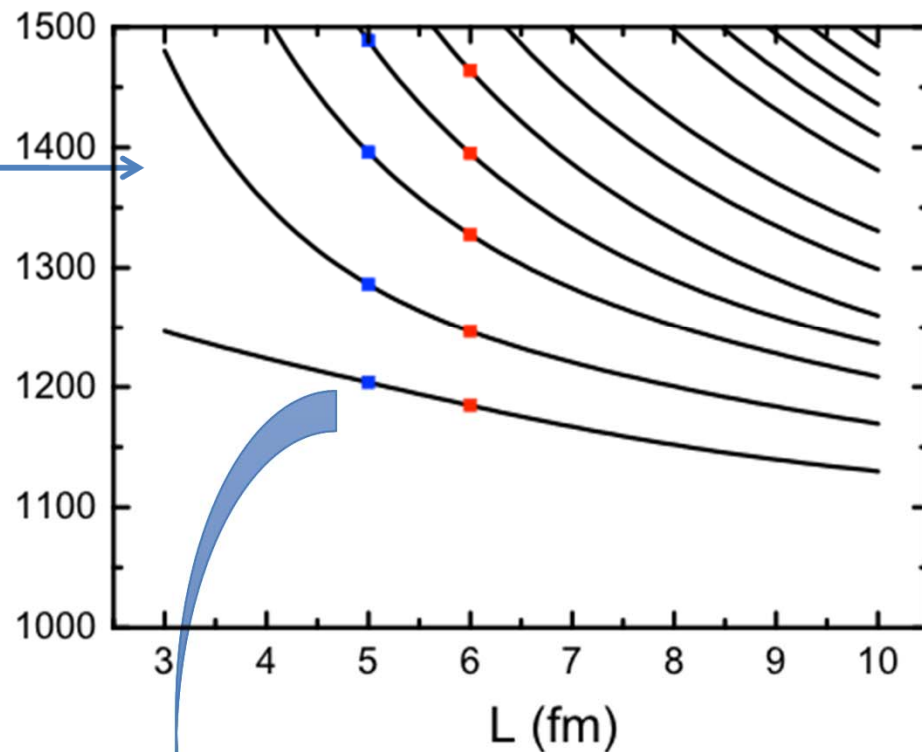
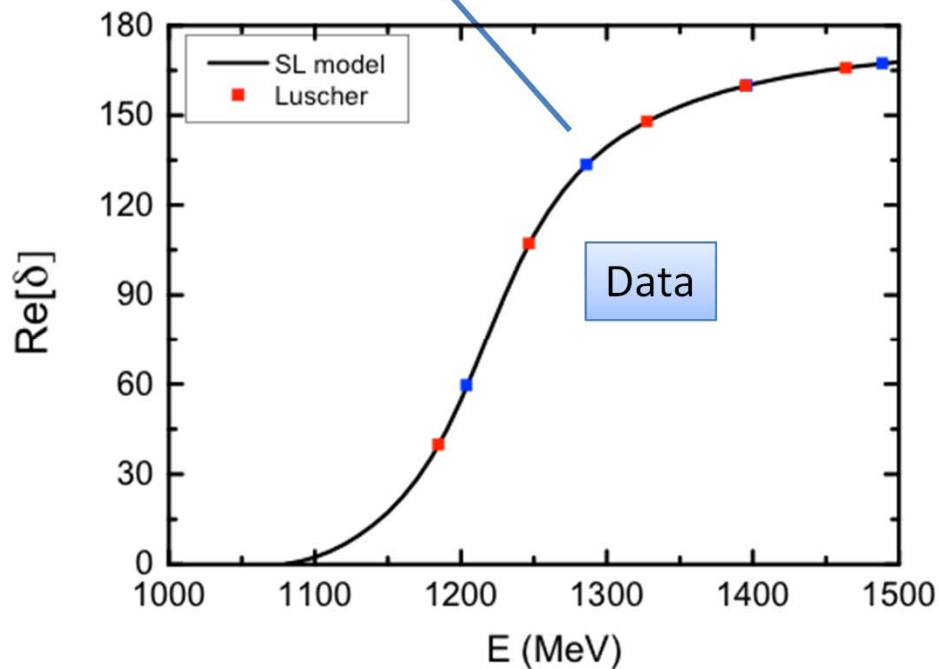
Test LQCD with $L=5,6$ fm

Finite-Volume Hamiltonian approach

$$\text{Det}[H_0 + H_I - EI] = 0$$

$$H = H_0 + H_I$$

In finite volume



Spectrum in finite volume

Test LQCD with $L=5$ fm

Necessary step to test N^* from LQCD :

Extend Adelaide's Finite-Volume Method
to **multi-channel**

Example:

$P_{11}(1440) : \pi N, \pi\pi N(\pi\Delta, \rho N, \sigma N)$

J. Wu, T.-S. H. Lee, A. W. Thomas, R.D. Young, *Phy. Rev. C*90, 055209 (2014)

Testing case : $\pi\pi$, KK scattering

Model Hamiltonian with $\pi\pi$, KK , σ

$$H = H_0 + H_1$$

$$H_1 = G + V$$



Finite-Volume with size L

$$k : k_i = n_i \left[\frac{2\pi}{L} \right]^{3/2}$$

$$G : g_a(k_i) = G_a(k_i) \left[\frac{2\pi}{L} \right]^{3/2}$$

$$V : v_{ab}(k_i, k_j) \left[\frac{2\pi}{L} \right]^3$$



$$[H_0] = \begin{pmatrix} m_0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 2E_a(k_1) & 0 & 0 & 0 & \dots \\ 0 & 0 & 2E_b(k_1) & 0 & 0 & \dots \\ 0 & 0 & 0 & 2E_a(k_2) & 0 & \dots \\ 0 & 0 & 0 & 0 & 2E_b(k_2) & \dots \\ \cdot & \cdot & \cdot & \cdot & \cdot & \dots \\ \cdot & \cdot & \cdot & \cdot & \cdot & \dots \end{pmatrix}$$

a,b = ππ, KK

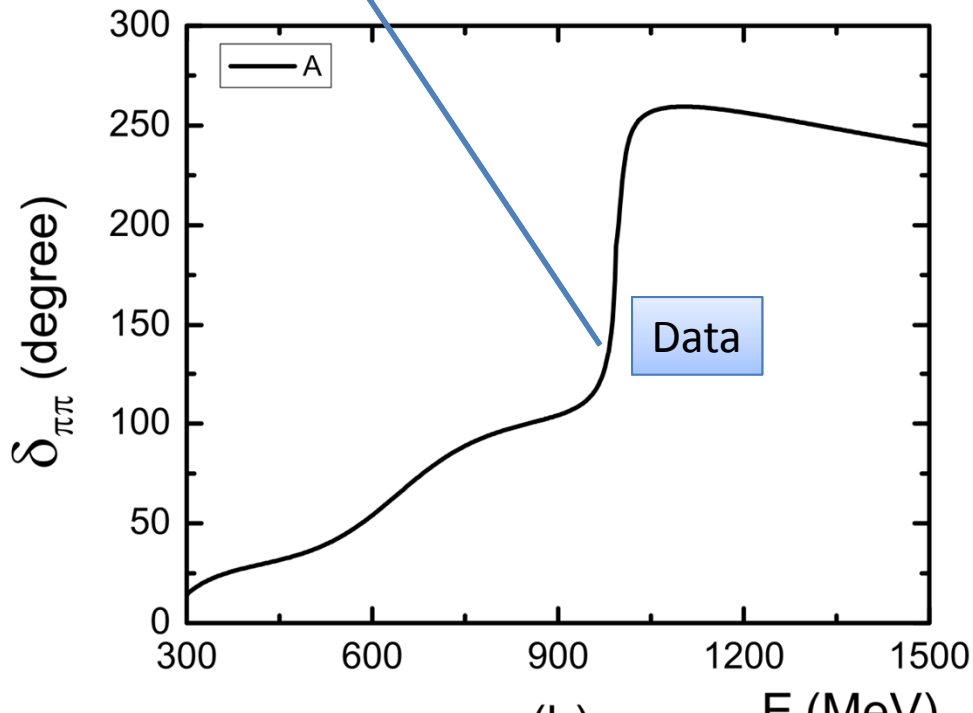
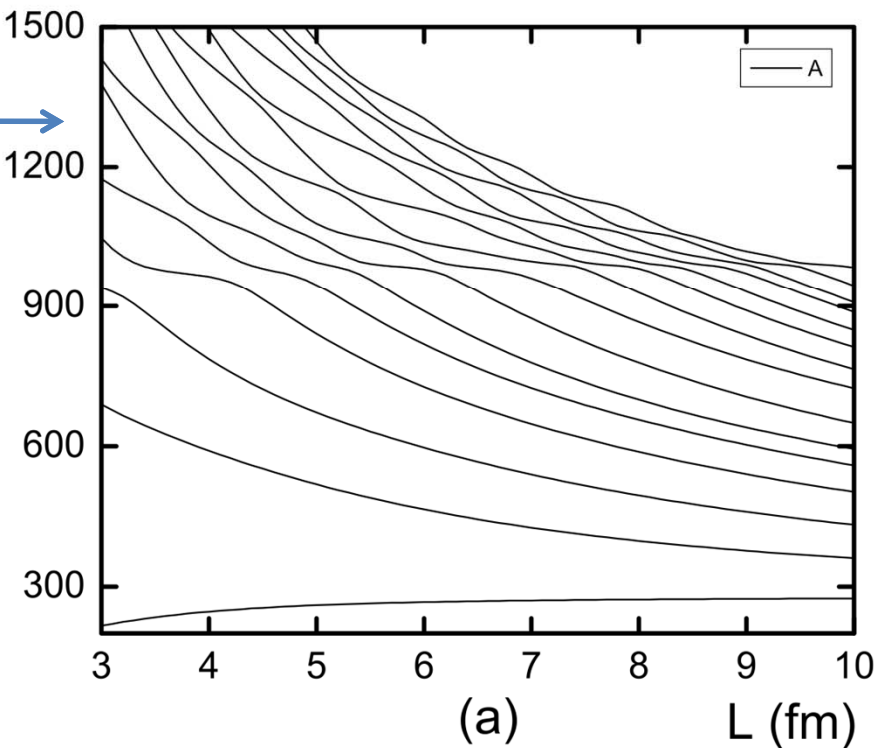
$$[H_1] = \begin{pmatrix} 0 & g_a(k_1) & G_b(k_1) & g_a(k_2) & g_b(k_2) & \dots \\ g_a(k_1) & v_{aa}(k_1, k_1) & v_{ab}(k_1, k_1) & v_{aa}(k_1, k_2) & v_{ab}(k_1, k_2) & \dots \\ g_b(k_1) & v_{ba}(k_1, k_1) & v_{bb}(k_1, k_1) & v_{ba}(k_1, k_2) & v_{bb}(k_1, k_2) & \dots \\ g_a(k_2) & v_{aa}(k_2, k_1) & v_{ab}(k_2, k_1) & v_{aa}(k_2, k_2) & v_{ab}(k_2, k_2) & \dots \\ g_b(k_2) & v_{ba}(k_2, k_1) & v_{bb}(k_2, k_1) & v_{ba}(k_2, k_2) & v_{bb}(k_2, k_2) & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

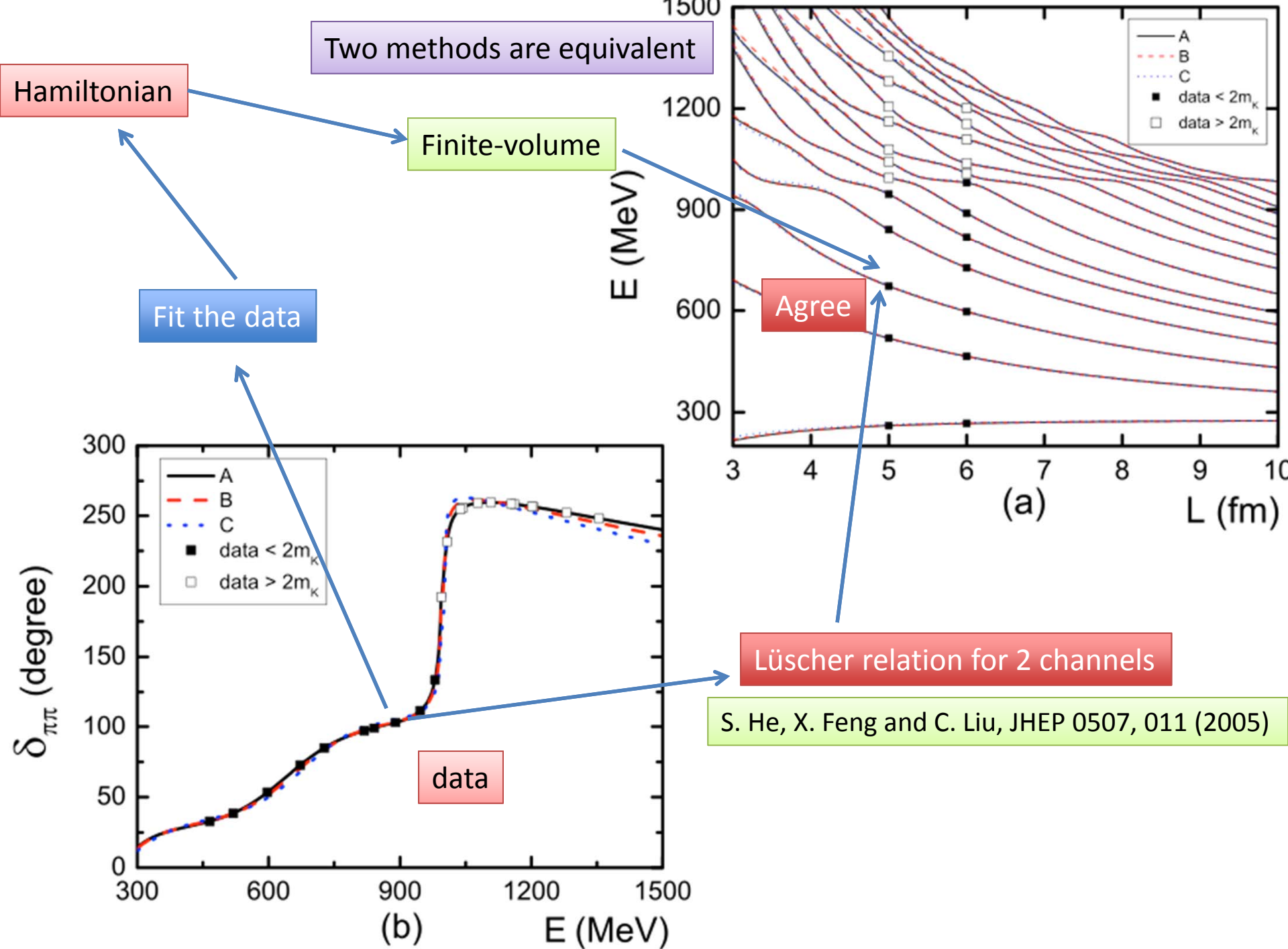
$$\text{Det}[H_0 + H_1 - EI] = 0$$

$$H = H_0 + H_1$$

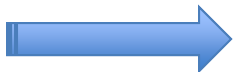
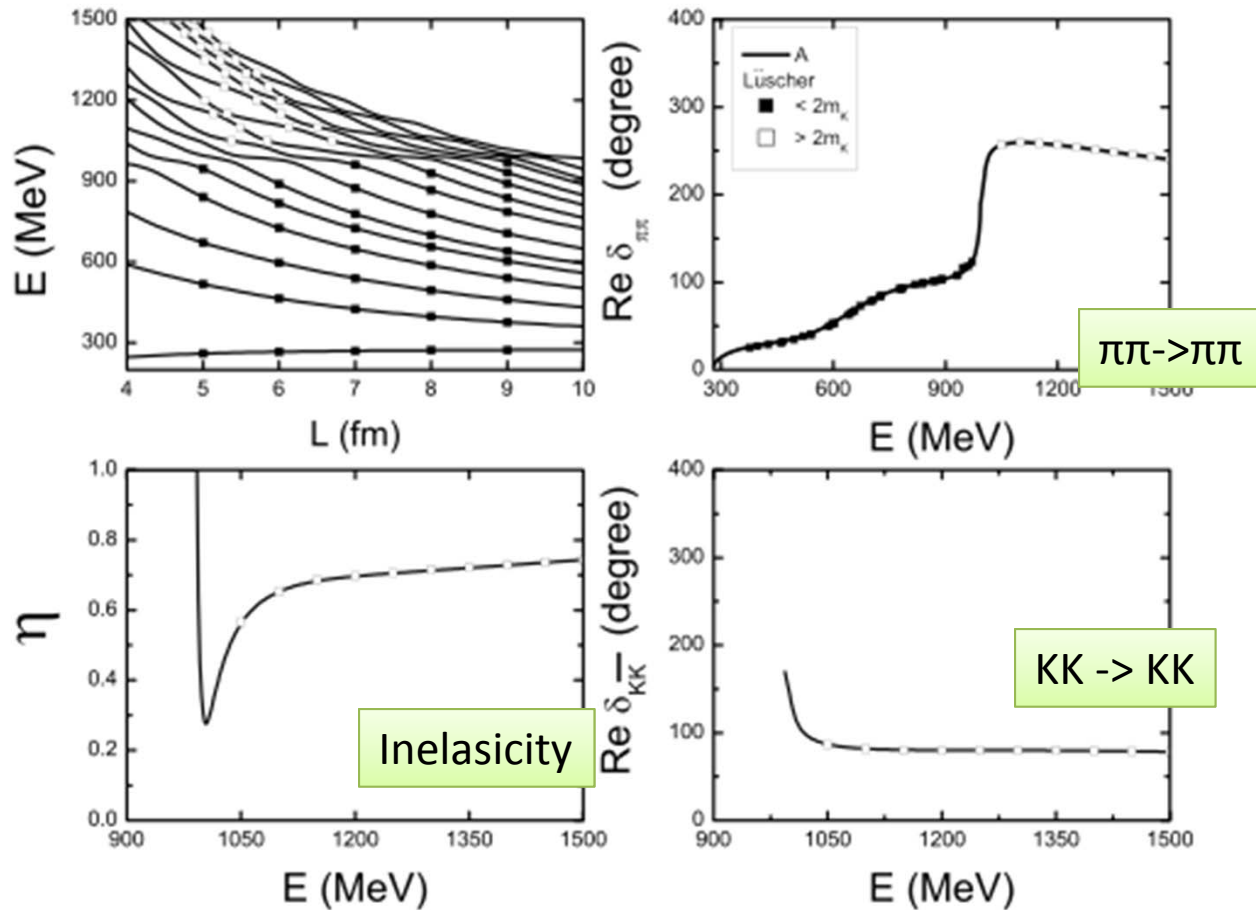
In finite volume

E (MeV)






Full results




Two methods are equivalent for **two-channel case**

- Finite-volume Hamiltonian method is equivalent to Lüscher's method for one-channel and two-channel cases
- Finite-volume Hamiltonian method can readily be used for **multi-channel** cases

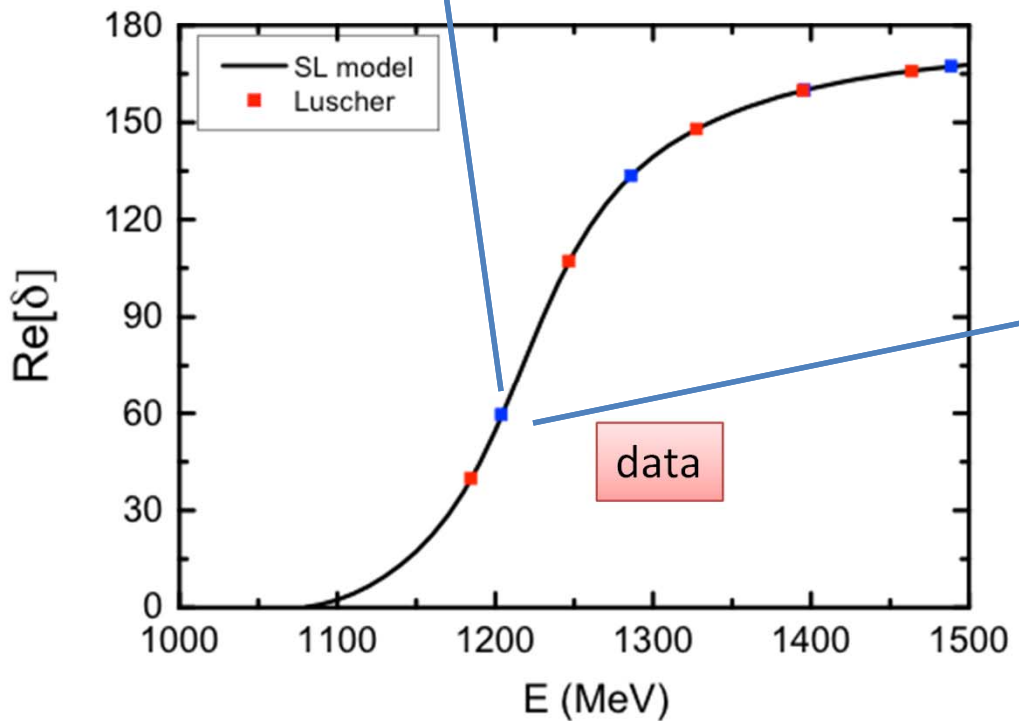
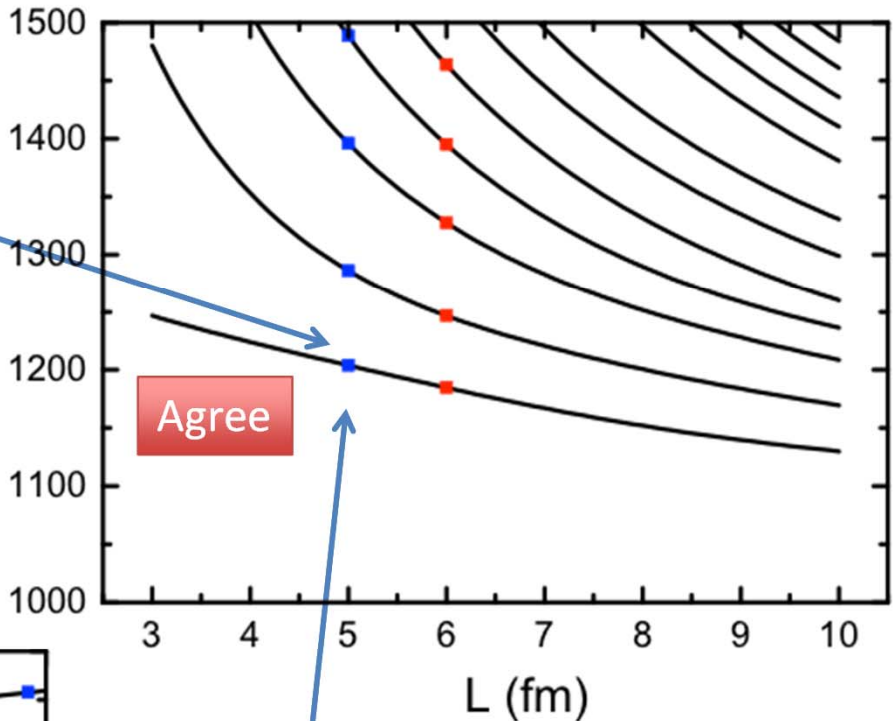
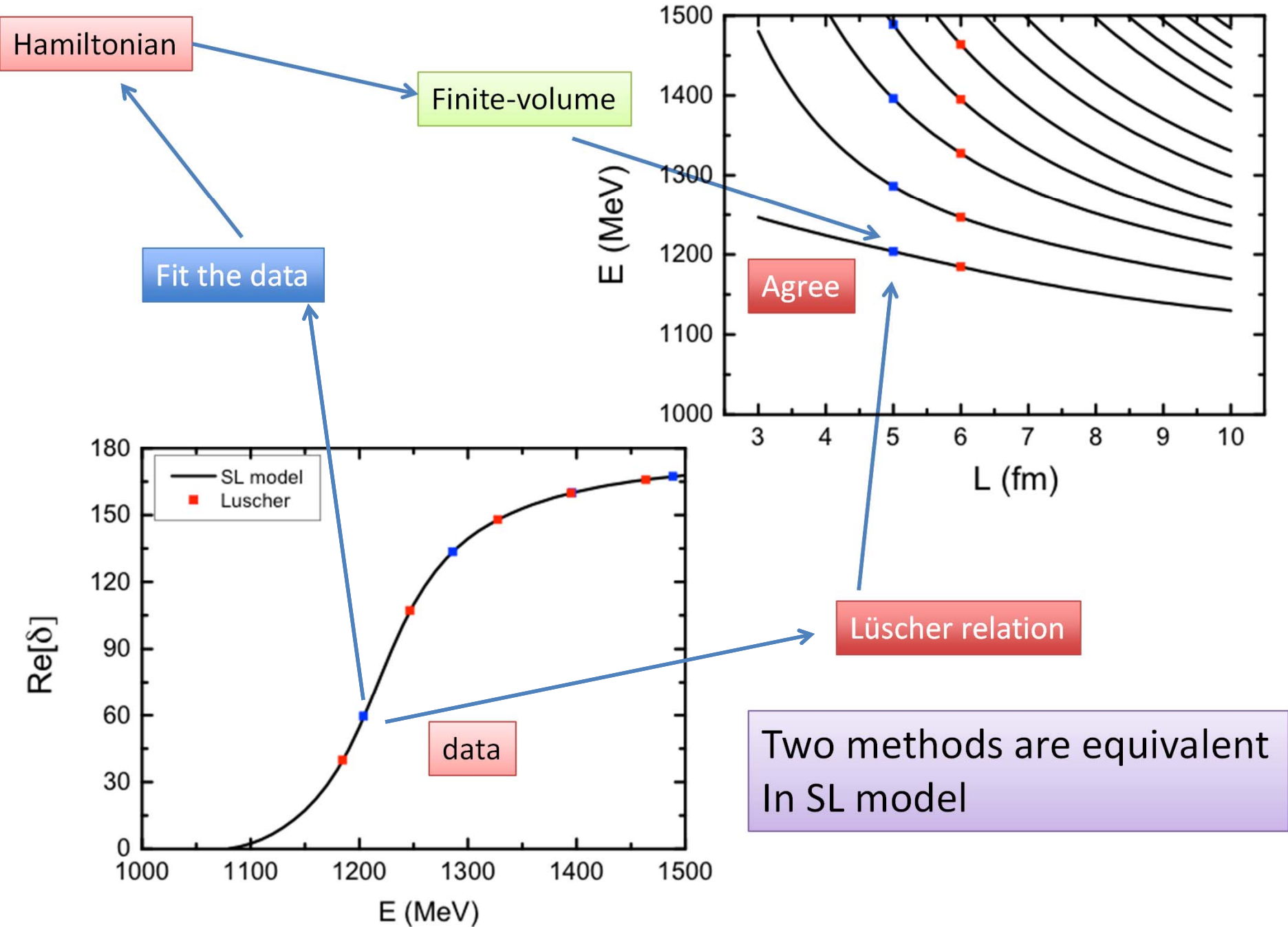


Can be applied to ANL-Osaka Hamiltonian for predicting spectrum to test **LQCD**



First step (Wu, Lee, 2015):

One-channel limit of ANL-Osaka Model: SL Model



Lüscher relation

Two methods are equivalent
In SL model

Extraction of N^* excitation of neutron

No neutron target



Perform analysis of

$d(\gamma, \pi)NN$
 $d(e, e'\pi)NN$

Developments:

1. Apply SL Model

K. Hafidi, T.-S. H. Lee, Phys. Rev. C (2001)

Jiajun Wu, T. Sato, T.-S. H. Lee, Phys. Rev. C 91, 035203 (2014)

2. Apply ANL-Osaka Model

In progress with preliminary results

Model Hamiltonian with N , π , Δ , and γ

$$H = H_0 + H'_1 + H'_2$$

$$H'_2 = V_{NN,NN} + V_{N\Delta,NN} + V_{N\Delta,N\Delta}$$

$$H'_1 = v_{\pi N,\pi N} + h_{\Delta,\pi N}$$

$$+ v_{\pi N,\gamma N} + h_{\Delta,\gamma N}$$

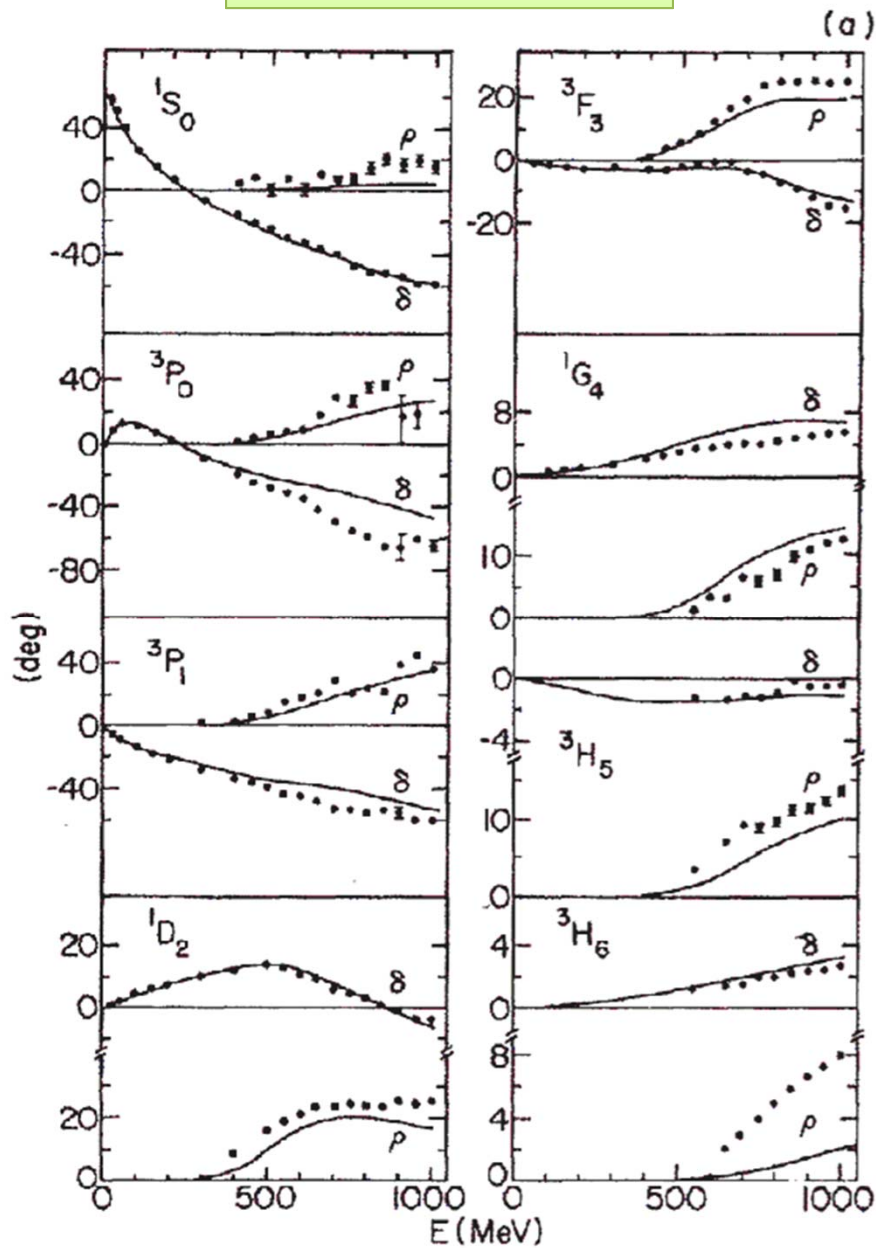
Lee, Matsuyama (1985-1992)

Determined by
 $\pi N \rightarrow \pi N$
 $NN \rightarrow NN, \pi NN$

Determined by
 $\gamma N \rightarrow \pi N$
 $N(e, e'\pi) N$

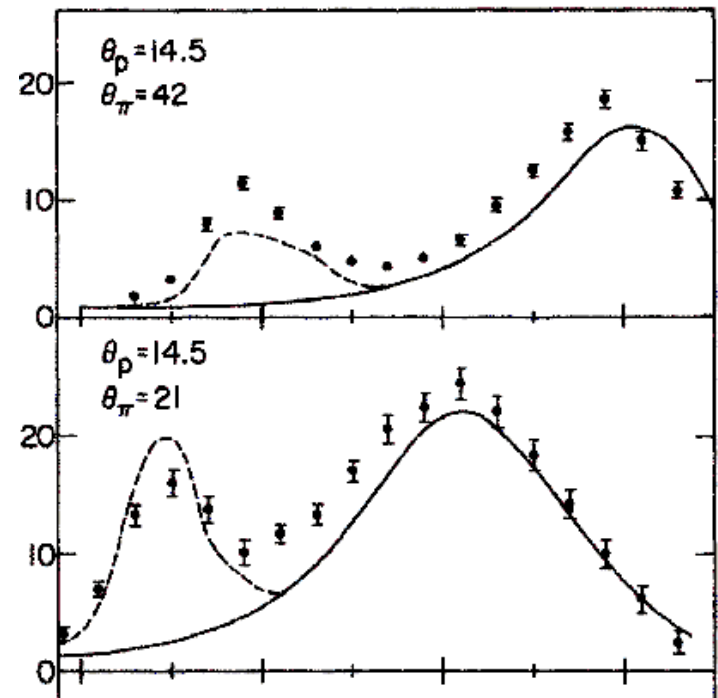
Sato, Lee, 1996-2001

NN phase shifts

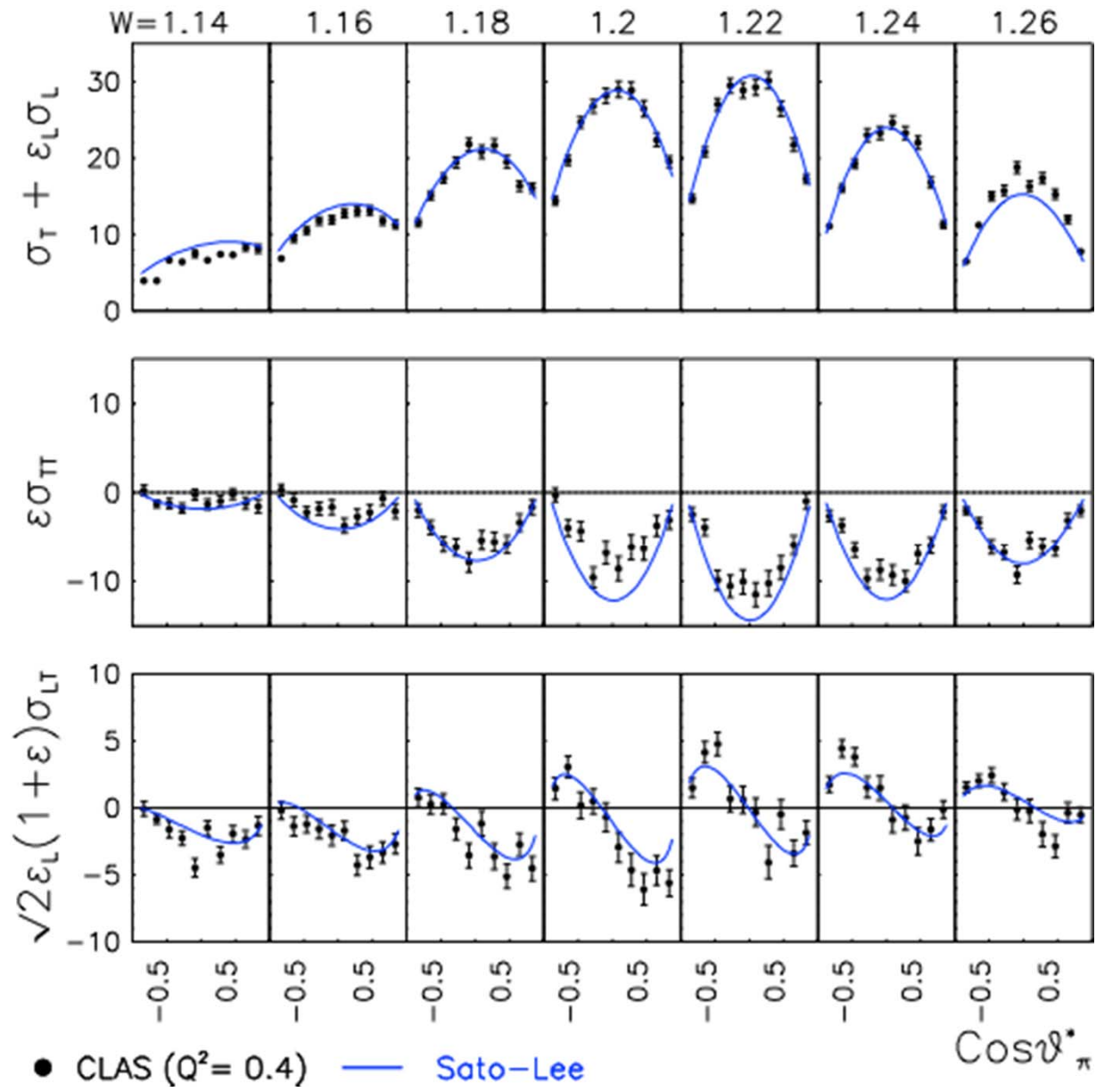


Lee, Matsuyama (1985-1992)

$pp \rightarrow \pi^+ np$

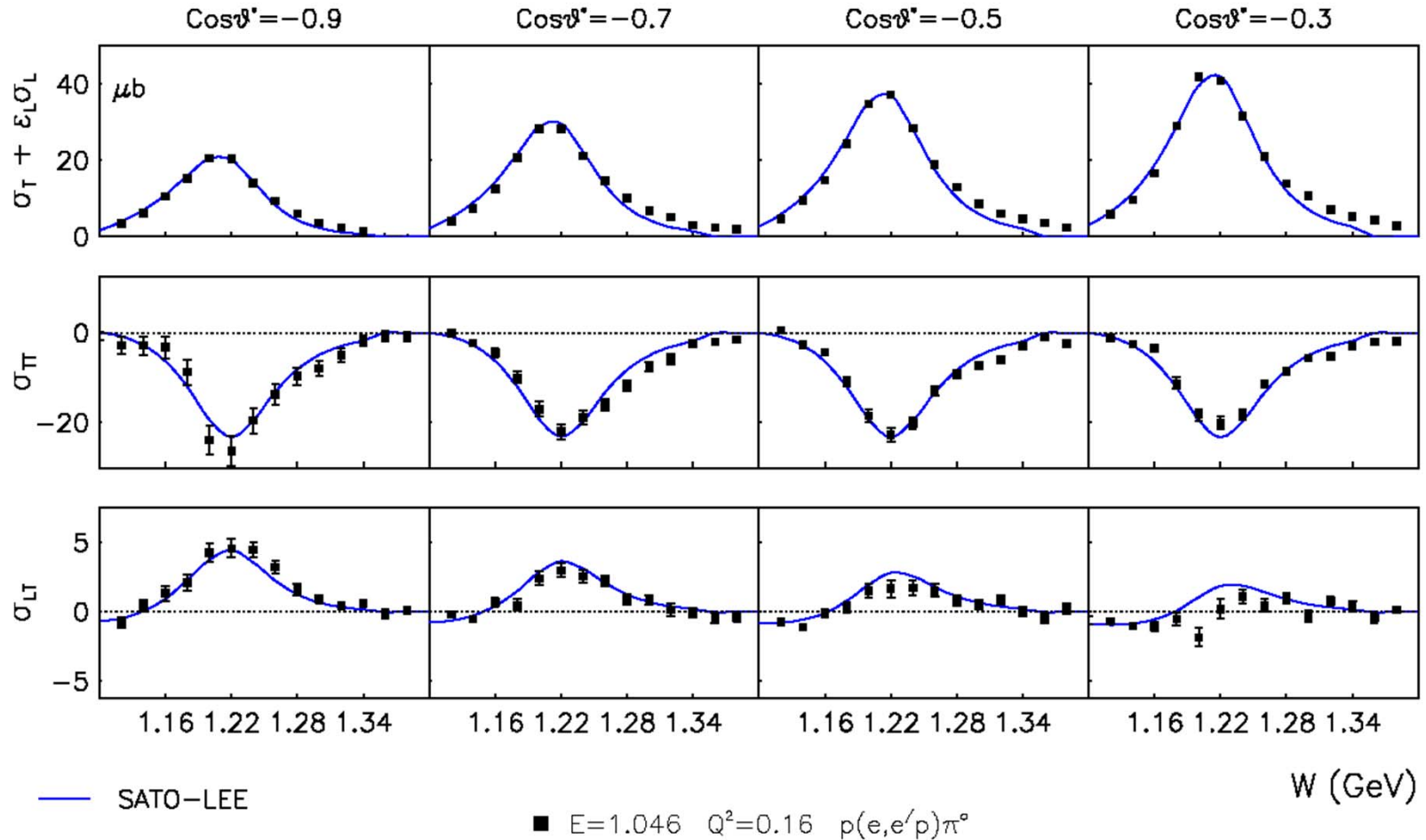


CLAS data of $p(e, e' \pi^0)p$ (From Joo, 2000)



Pion electroproduction Structure functions

(data CLAS from C. Smith, 2004)

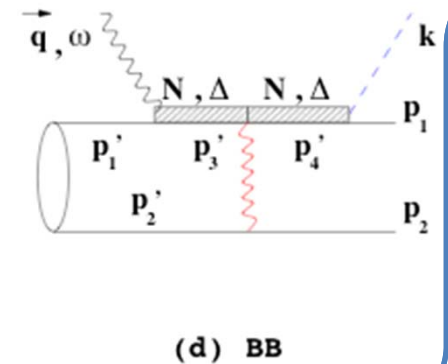
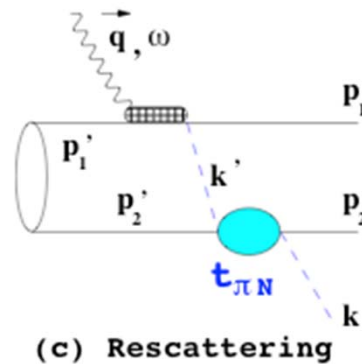
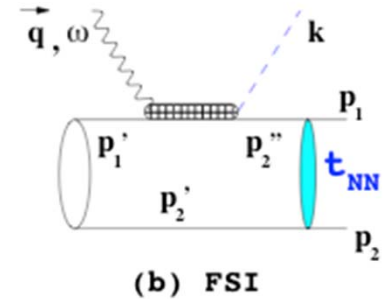
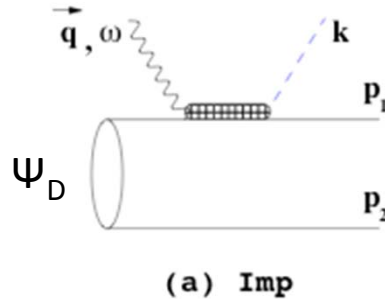


Model Hamiltonian with N , π , Δ , and γ

multiple scattering theory

$$\langle \pi NN | T | \gamma^* \Psi_d \rangle$$

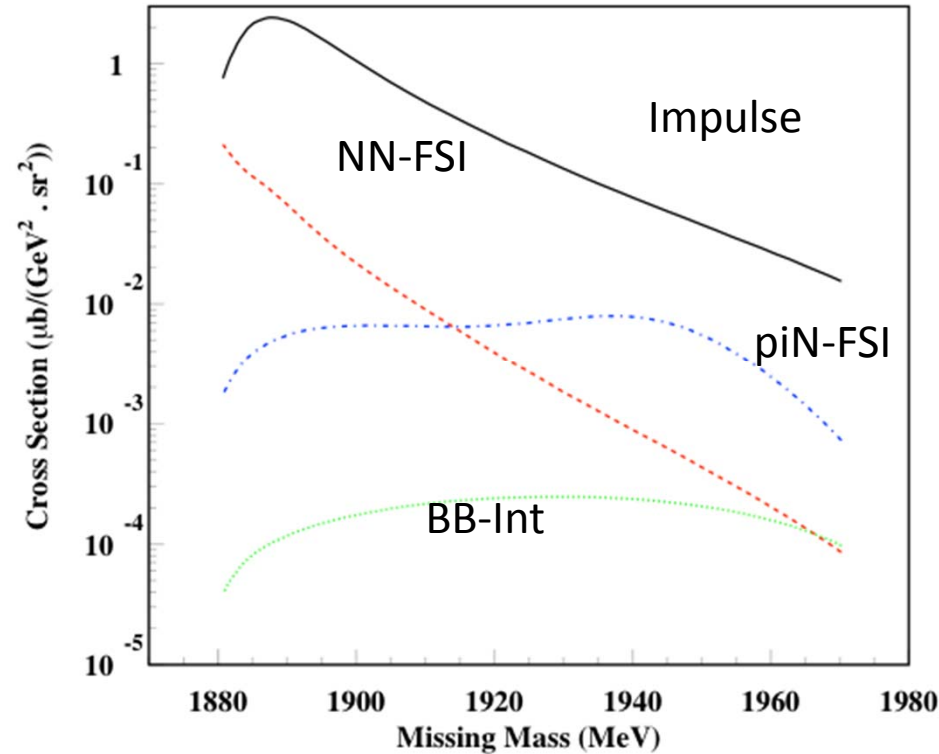
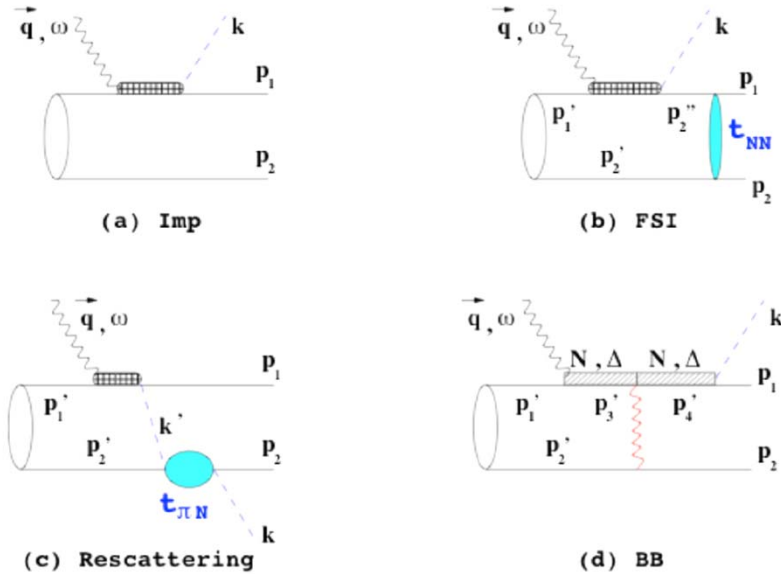
$$\sigma (\gamma^* d \rightarrow \pi NN)$$



Calculations include :

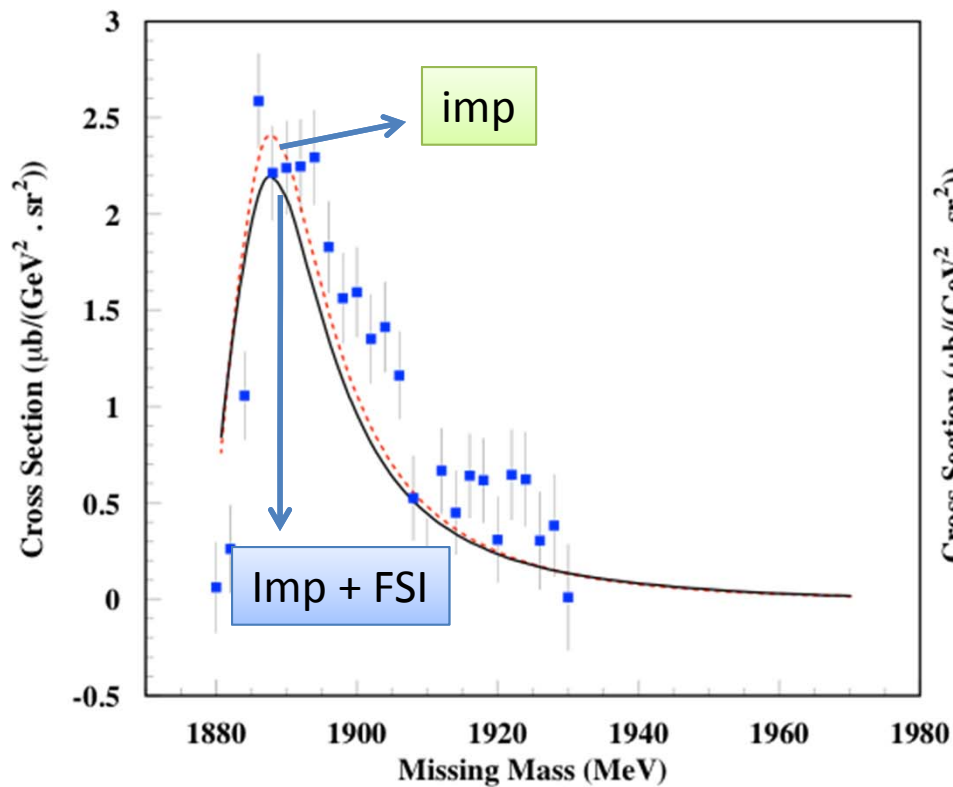
- Fermi motion effects
- Spin rotation effects
 $|p_L, m_s\rangle_d = R_w(\Lambda) |p_c, m_s\rangle$
- Lorentz transformation of currents
 $[J]_d = \Lambda [j]_N \Lambda^{-1}$
- Exact loop-integrations of FSI terms

$d(e, e' \pi^+) nn$



K. Hafidi, T.-S. H. Lee, Phys. Rev. C (2001)

Impulse term dominant
BB Int can be neglected

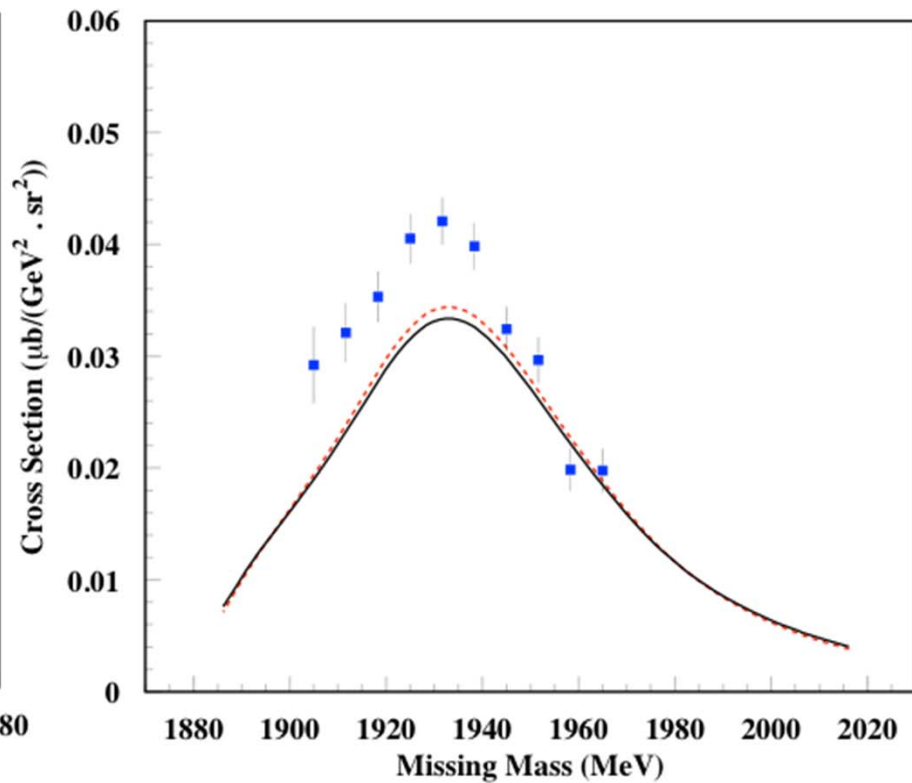


Saclay data

$$Q^2 = 0.08 (\text{GeV}/c)^2$$

$$W = 1.16 \text{ GeV}$$

$$E_e = 645 \text{ MeV}$$



Jlab data

$$Q^2 = 0.4 (\text{GeV}/c)^2$$

$$W = 1.16 \text{ GeV}$$

$$E_e = 844 \text{ MeV}$$

Apply the SL model to study

$$\gamma d \rightarrow \pi^- pp$$

$$\gamma d \rightarrow \pi^0 np$$

J. Wu, T. Sato, T.-S. H. Lee Phys. Rev. C91, 035203 (2014)

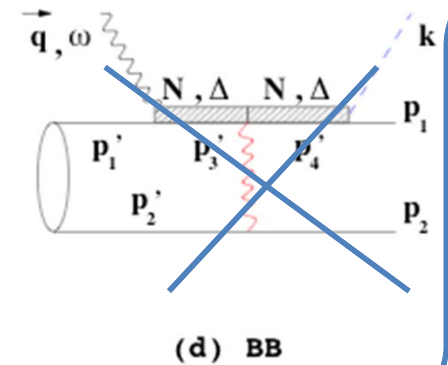
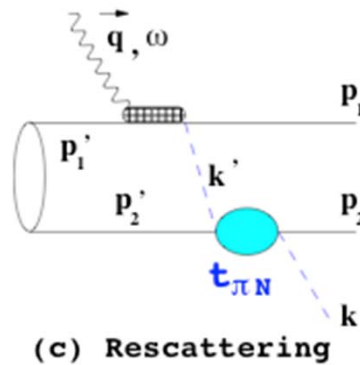
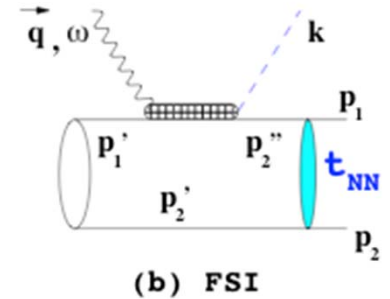
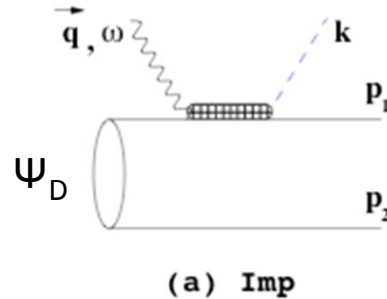
Model Hamiltonian with N , π , Δ , and γ

multiple scattering theory

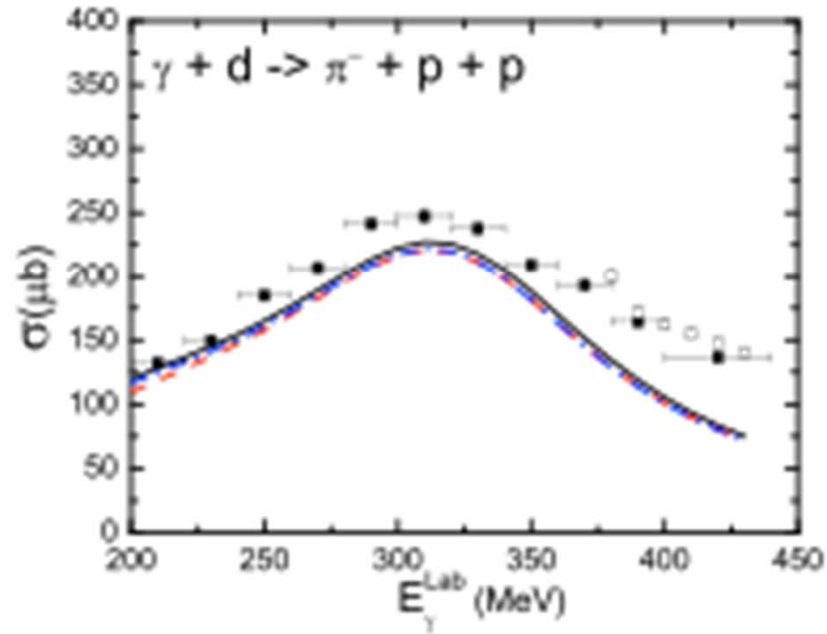
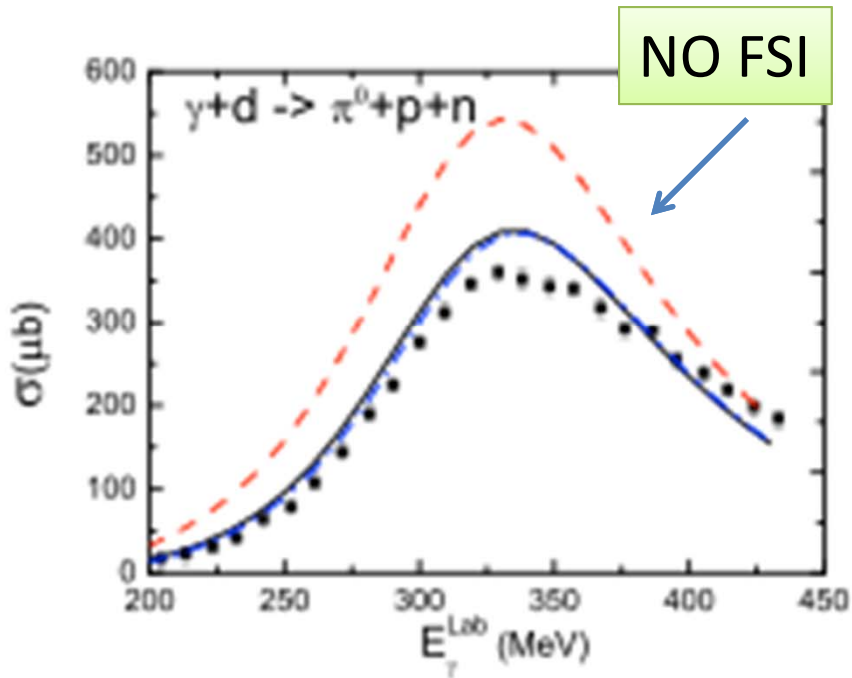
$$\langle \pi NN | T | \gamma \Psi_D \rangle$$

$$\sigma (\gamma d \rightarrow \pi^- pp)$$

$$\sigma (\gamma d \rightarrow \pi^0 np)$$

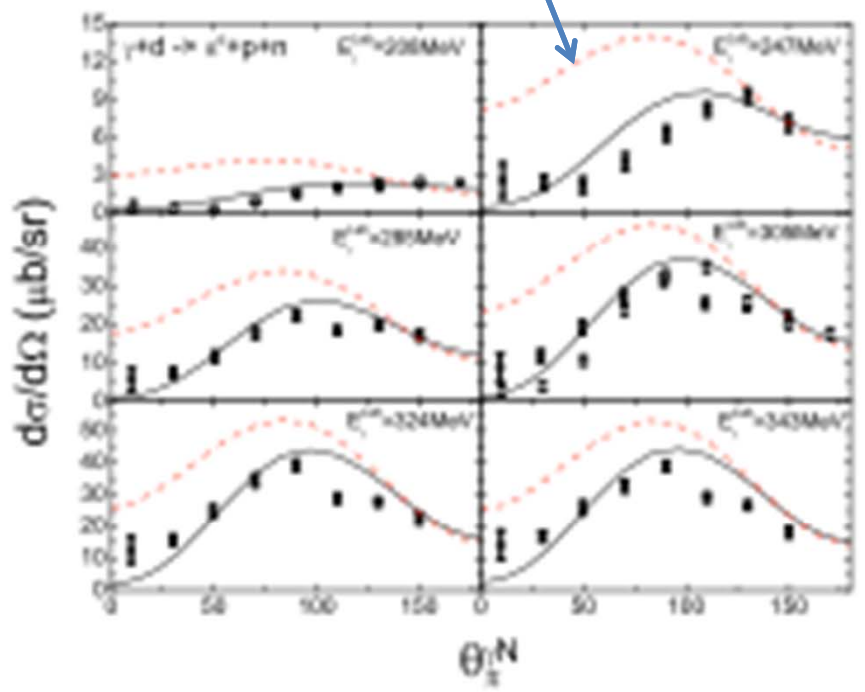


In the $\Delta(1232)$ region

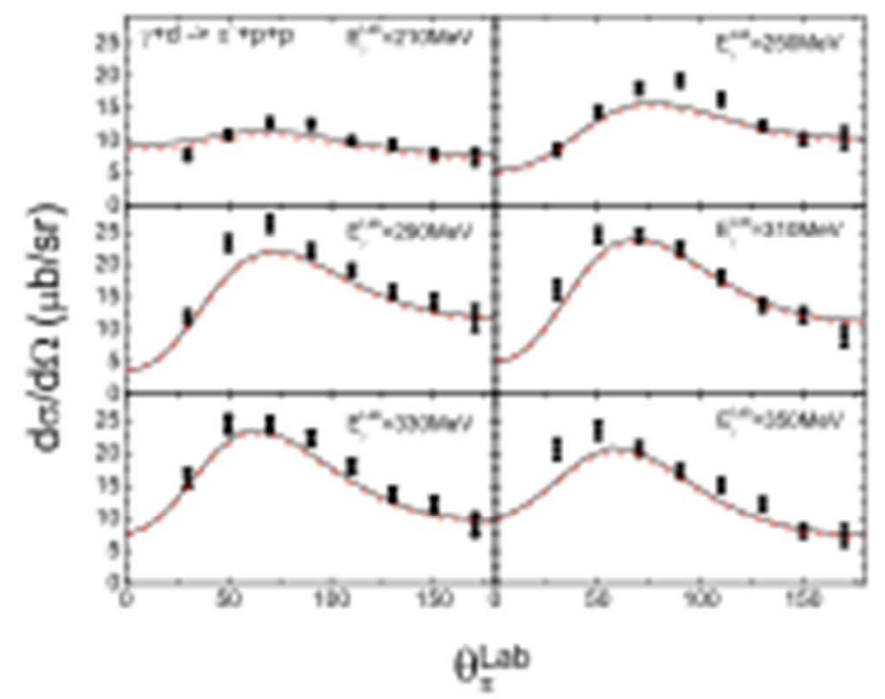


→ FSI is large for T=0 NN state
FSI is weak for T=1 NN state

NO FSI



$\gamma d \rightarrow \pi^0 np$



$\gamma d \rightarrow \pi^- nn$



FSI is large for T=0 NN state
 FSI is weak for T=1 NN state

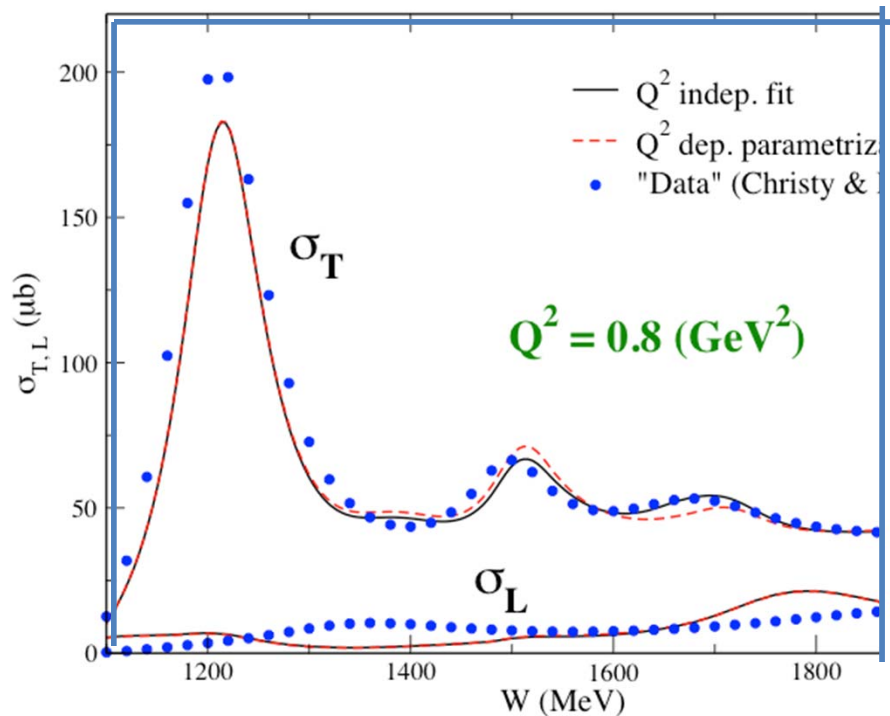
Apply ANL-Osaka Model

Make Predictions for the analysis of JLab data

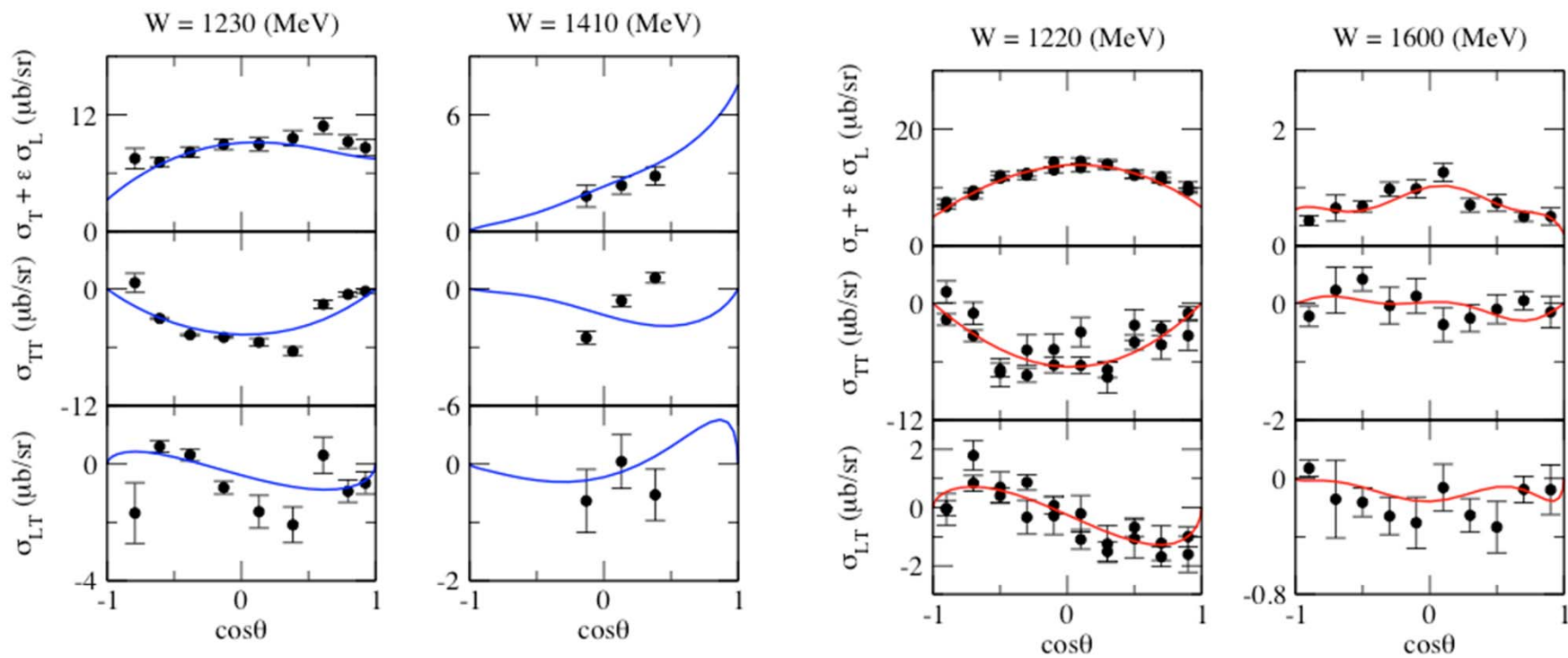
- $d(\gamma, \pi^-)nn$, $E_\gamma = 250 - 1600$ MeV
- $d(e, e'\pi^-)nn$, $E_e = 2.039$ GeV, $Q^2 < 2.0$ (GeV/c)²
 $W = 1.236, 1.600$ GeV

neutron-N* form factors are determined by simultaneous fits to the data of

- inclusive** $d(e,e')X$ total cross sections
- $\sigma_T + \epsilon\sigma_L$ of $p(e,e'\pi^0)p$, $p(e,e'\pi^+)n$



$d(e,e')X$



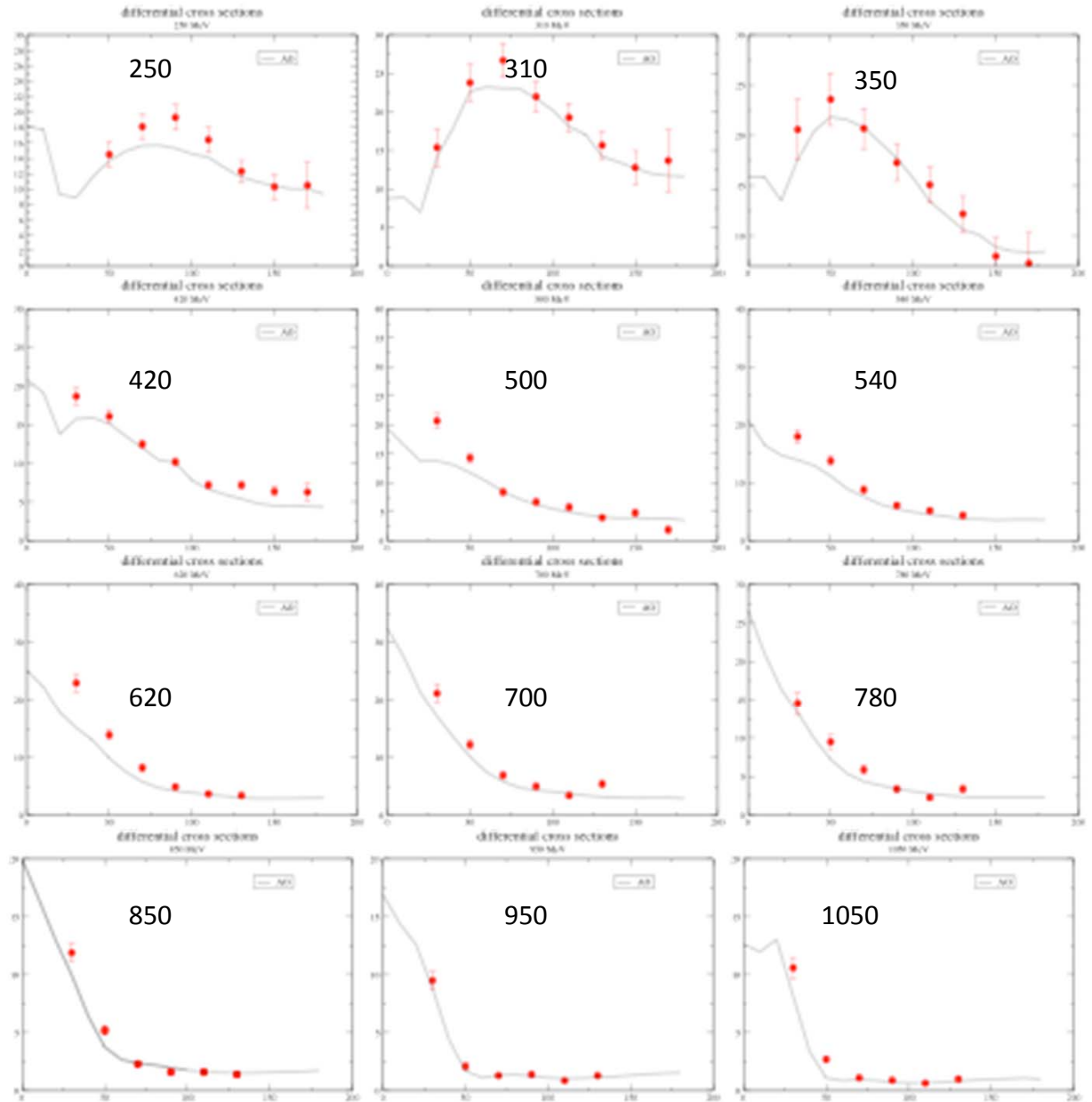
$\sigma_T + \epsilon\sigma_L$: $p(e, e'\pi^+)n$, $p(e, e'\pi^0)p$

Jlab data (from K. Joo and L.C. Smith)

$d(\gamma, \pi^-)pp$

Agree with the old data

$$d\sigma / d\Omega_{\pi}$$



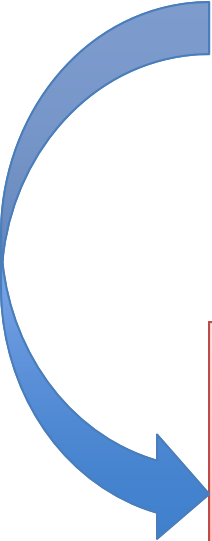
Compare the predictions with

Data from JLab-g14-E06-101 (from A. Sandorfi)

1. ϕ -dependence of the spectator proton
2. Double polarization E

Preliminary conclusions:

- Can describe **unpolarized** $d\sigma/d\Omega$
- Need to tune ANL-Osaka model to fit **polarization** data $P, \Sigma, E, G...$



can improve $\gamma n \rightarrow \pi^- p$ amplitudes which are needed to determine the **isospin** structure of $\gamma N \rightarrow N^*$ transitions

$d(e, e' \pi^-) pp$

(Ralf Gothe's talk)

Predictions have been made for

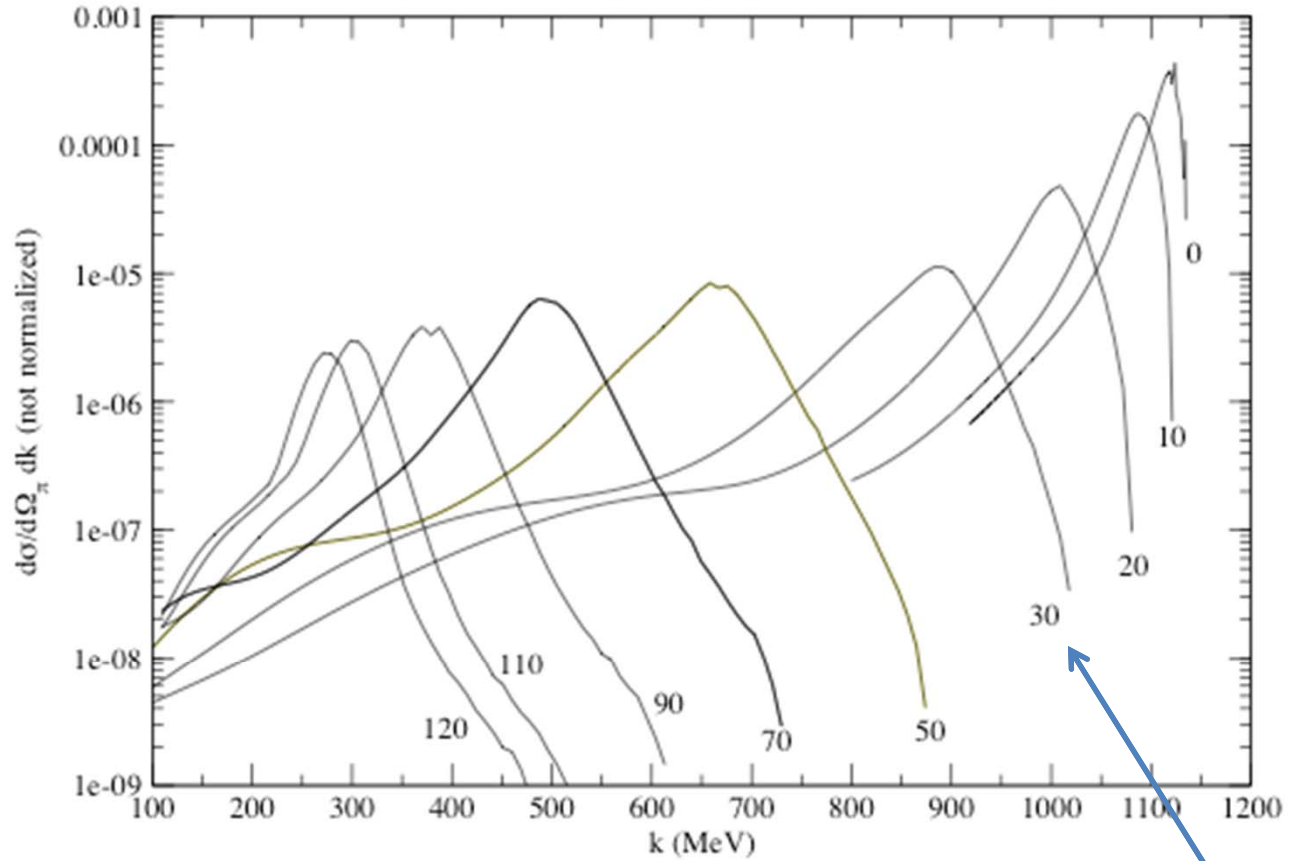
$$E_e = 2.039 \text{ GeV}, Q^2 < 2.0 (\text{GeV}/c)^2$$

$$W = 1.236, 1.600 \text{ GeV}$$

$$\frac{d\sigma_T}{d\Omega_\pi dk}(Q^2, W, k, \theta_\pi, \phi_\pi = 0)$$

$Q^2=0.5, W=1.601$

k-dependence at each pion angle (indicated on each curve)



k (pion momentum)

θ_π

$$\frac{d\sigma_{T,L}}{d\Omega_{\pi}}(Q^2, W, \theta_{\pi}, \phi_{\pi} = 0) = \int dk \frac{d\sigma_T}{d\Omega_{\pi} dk}(Q^2, W, k, \theta_{\pi}, \phi_{\pi} = 0)$$

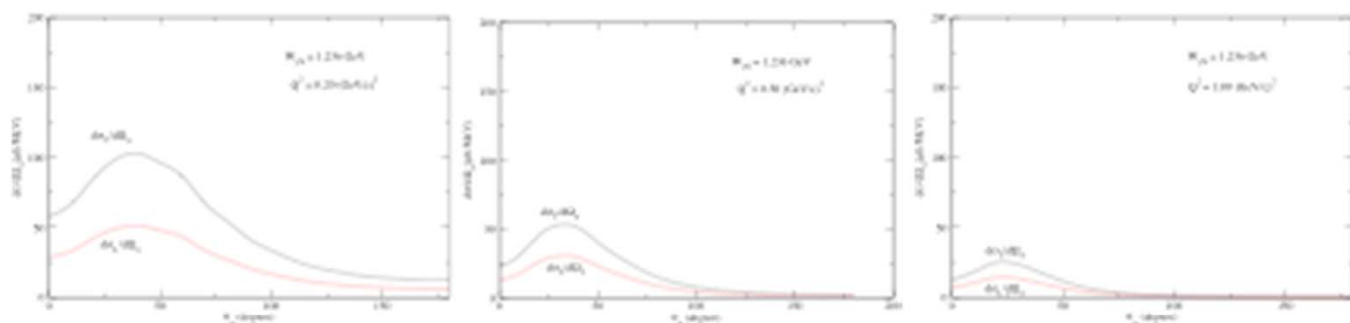


FIG. 1. $d(e, e' \pi^-)pp$ at $W = 1.236$ GeV.

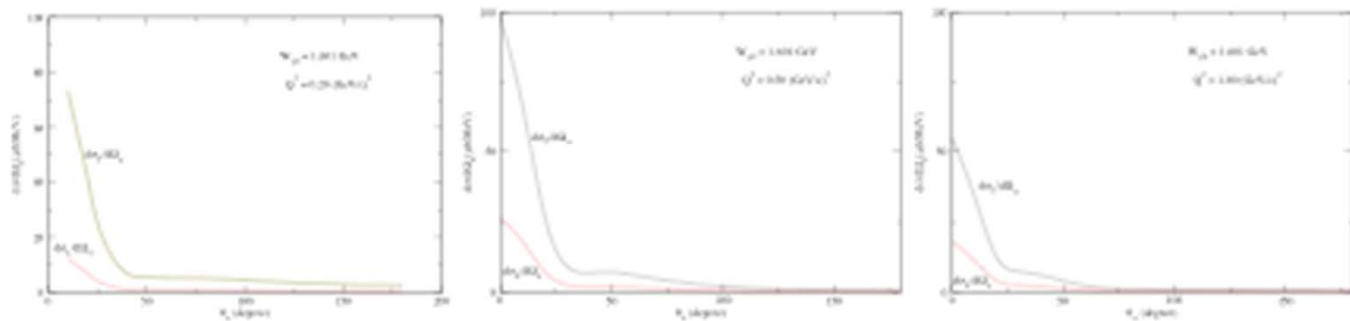
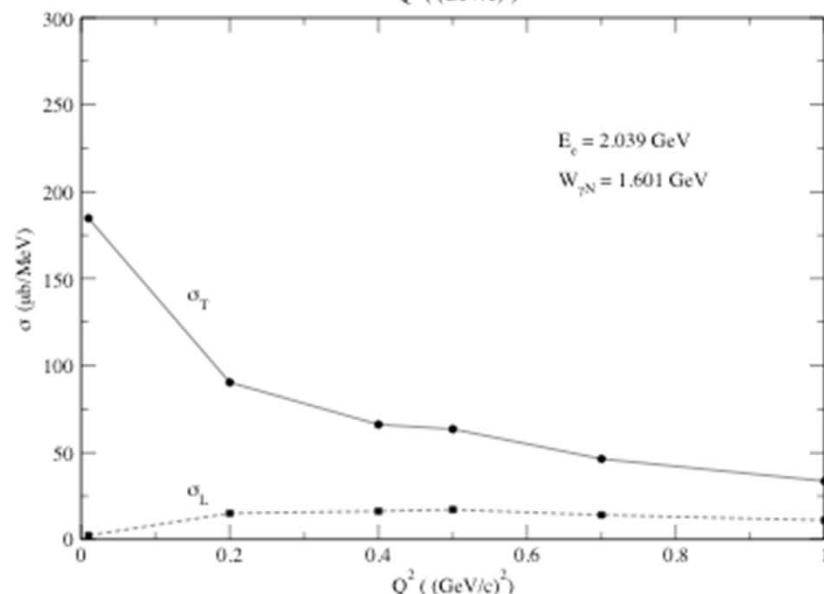
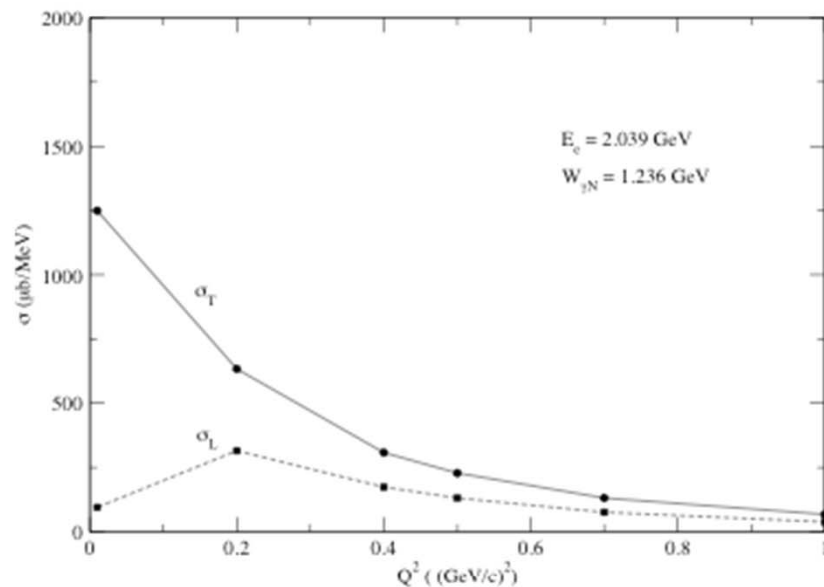
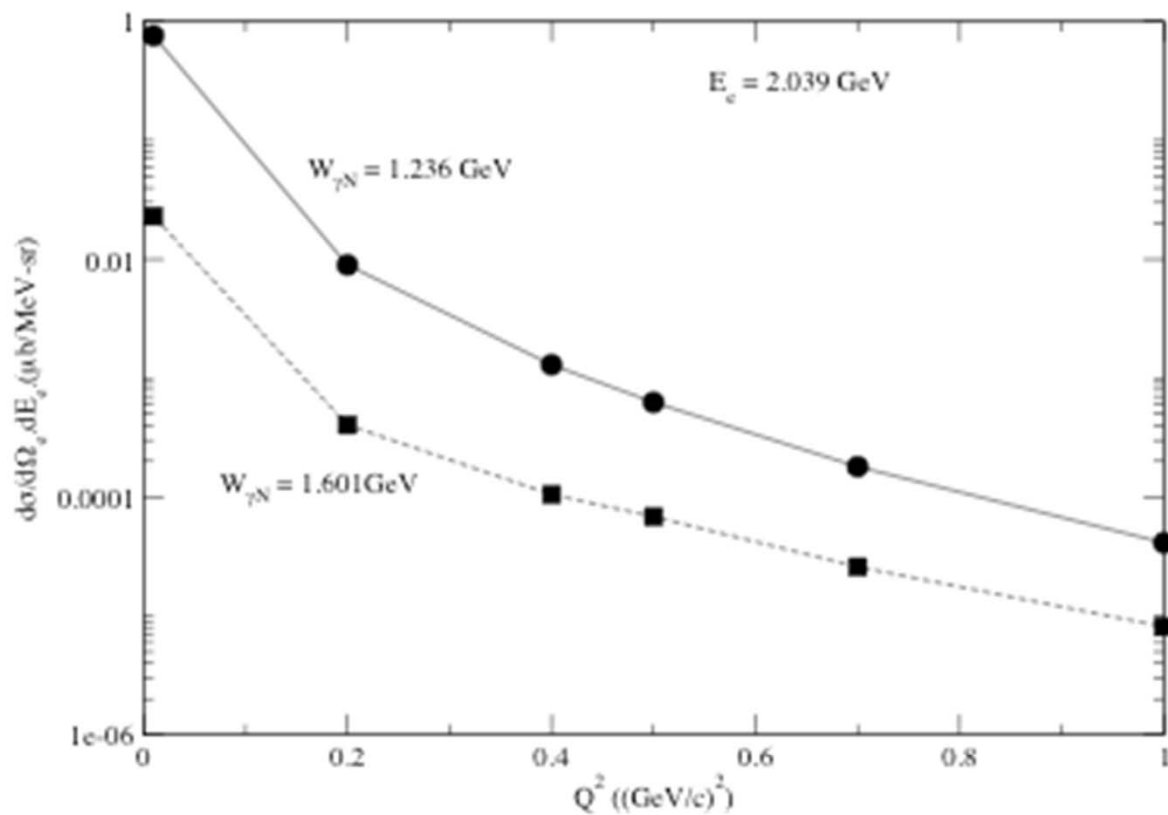


FIG. 2. $d(e, e' \pi^-)pp$ at $W = 1.601$ GeV.

$$\sigma_{T,L}(Q^2, W) = (2\pi) \times \int d \cos\theta_\pi \frac{d\sigma_{T,L}}{d\Omega}(Q^2, W, k, \theta_\pi, \phi_\pi = 0)$$



$$\frac{d\sigma}{d\Omega_e dE'_e} = \Gamma_\nu [\sigma_T(Q^2, W) + \epsilon \sigma_L(Q^2, W)]$$



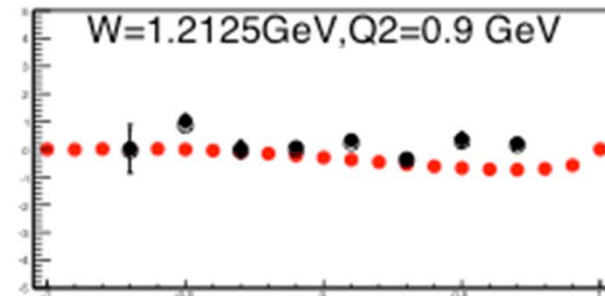
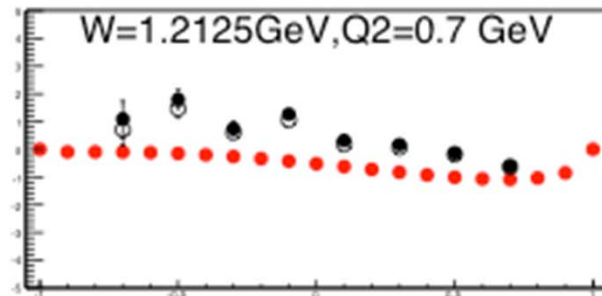
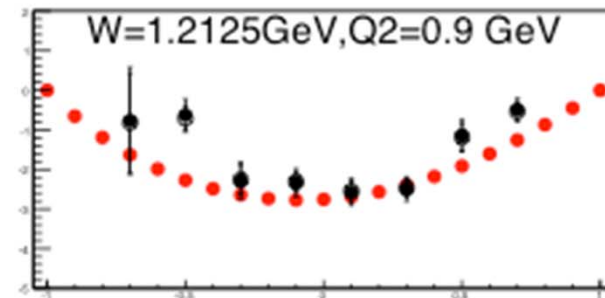
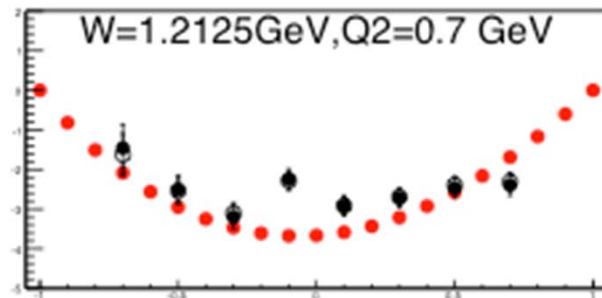
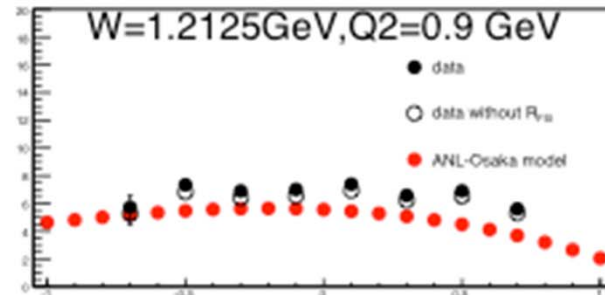
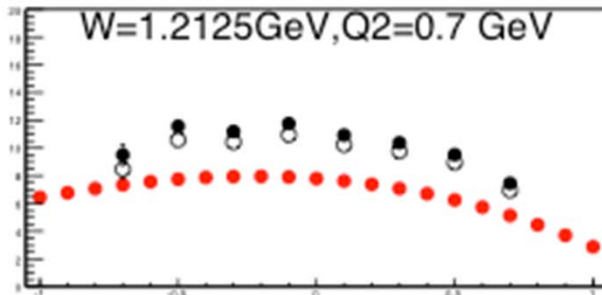
To be tested by the Jlab data
being analyzed by Ye Tian, Ralf Gothe ...

First comparisons (Oct. 7, 2015) :

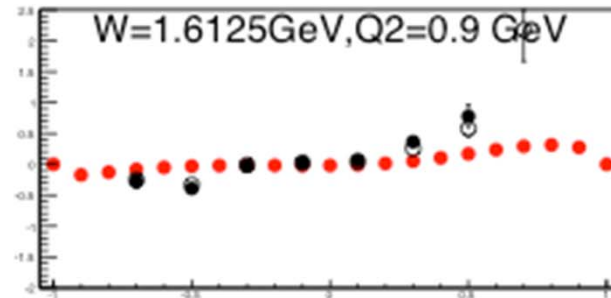
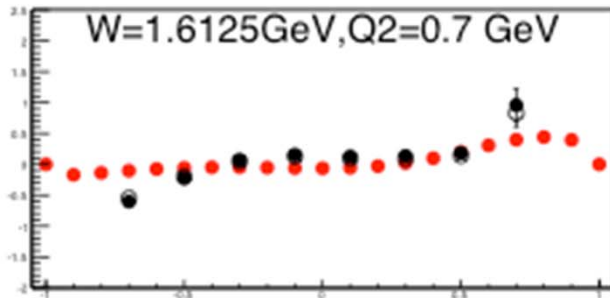
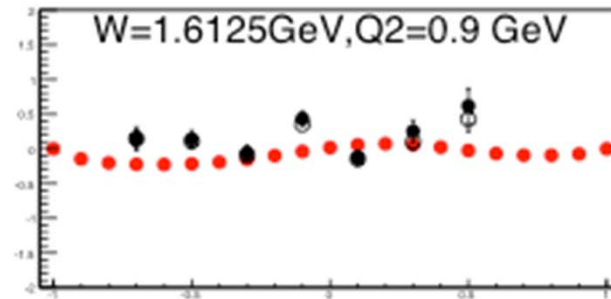
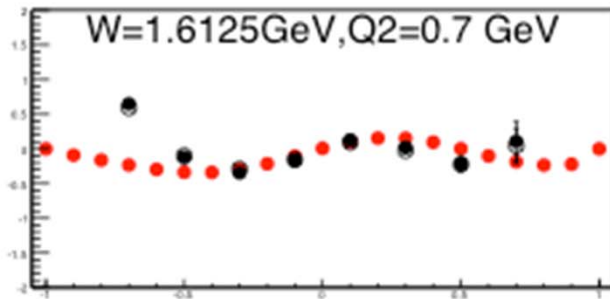
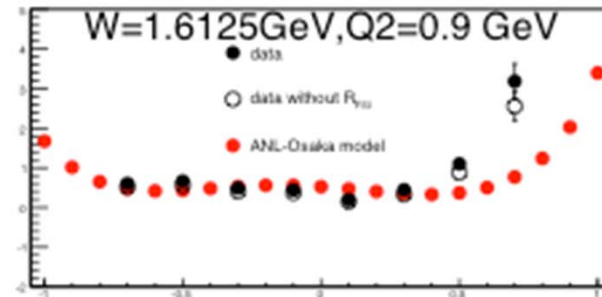
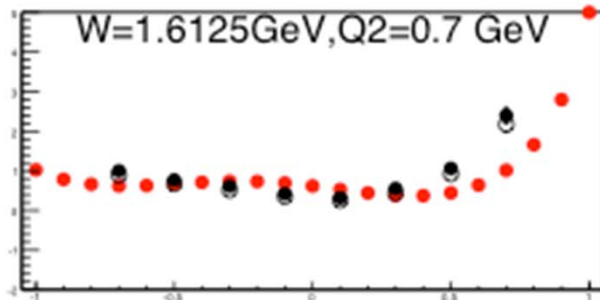
Structure functions of $n(e, e' \pi^-) p$ from

- a. Extracted from $d(e, e' \pi^-) pp$ data (Gothe's talk)
- b. Calculated from ANL-Osaka model

Preliminary data from Ye Tian



Preliminary data from Ye Tian



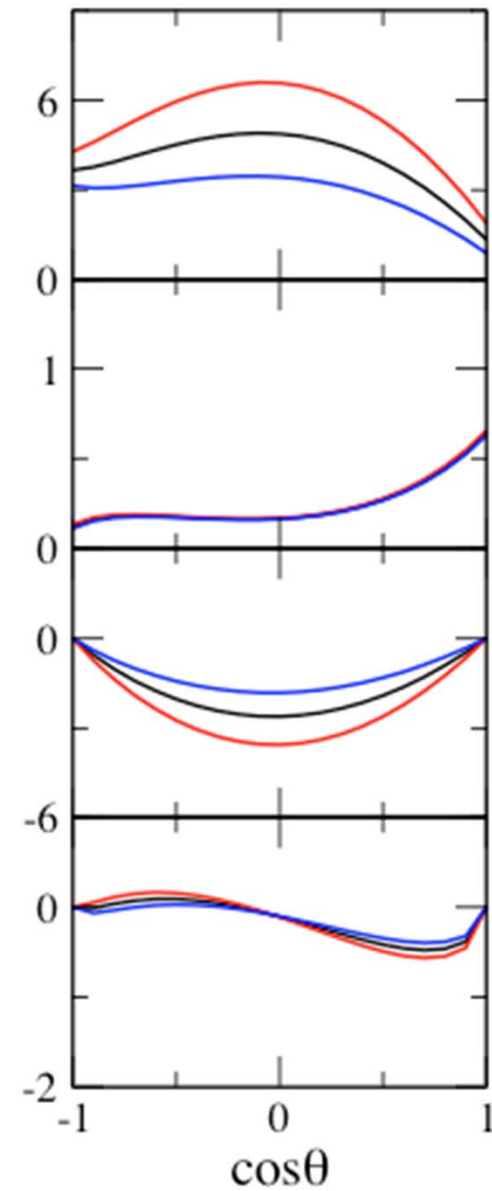
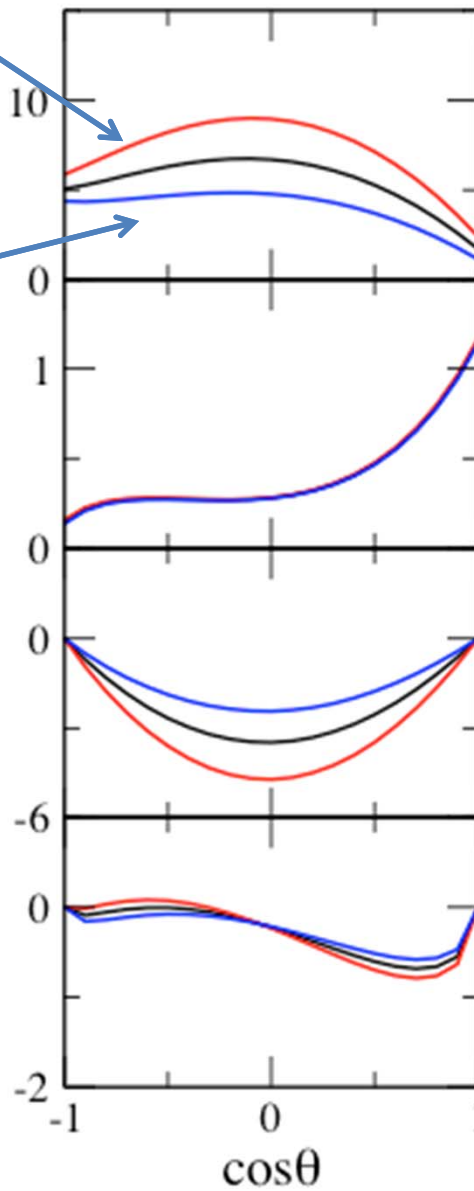
$Q^2 = 0.7 \text{ (GeV}^2\text{)}$

$Q^2 = 0.9 \text{ (GeV}^2\text{)}$

$g_{\Delta, \gamma N} \times 1.2$

$g_{\Delta, \gamma N} \times 0.8$

New data can be used to determine $g_{\Delta, \gamma N}$



Much more works are needed to extract **quantitative**
Information on the N^* excitations of the **neutron**

Summary

1. An approach including final state interactions has been developed to extract N^* of **neutron** from meson production data on **deuteron** target

Interactions between theoretical calculations and data analysis are **essential**

2. **Theoretical constraints** must be included in the partial-wave analysis of data and the extractions of nucleon resonances
3. Resonance **poles** are related to the eigenstates of the underlying **fundamental Theory** and should be extracted by **each** analysis group to **minimize** the errors

4. New development:

ANL-Osaka Hamiltonian

```
graph TD; A[ANL-Osaka Hamiltonian] --> B[Finite-Volume Hamiltonian Method of Adelaide]; B --> C[Test spectrum from LQCD];
```

Finite-Volume Hamiltonian Method of **Adelaide**

Test spectrum from LQCD

Main challenge:

Including $\pi\pi N$ channels for **N^*** study